



**Ealing Borough Council**  
**Town Hall**  
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## Cabinet

**Venue:**The Liz Cantell Room, Town Hall, New Broadway, Ealing, W5 2BY

**Date:** Tuesday, 19 March 2019 at 19:00

<b>Members:</b>	<b>Portfolio</b>
Councillor Bell	(Leader of the Council, Policy Overview, Regeneration and Transport) - Chair
Councillor Anand	(Business & community Services)
Councillor Camadoo	(Community Safety & Inclusion)
Councillor Johnson	(Schools & Children's Services and Deputy Leader)
Councillor Mahfouz	(Finance & Leisure)
Councillor Mason	(Housing, Planning & Transformation)
Councillor Rai	(Health & Adults' Services)
Councillor Sabiers	(Environment & Highways)
Councillor Gordon	(Chief Whip) (ex-officio member)

## AGENDA

**Open to Public and Press**

- 1. Apologies for Absence**
- 2. Urgent Matters**

3. **Matters to be Considered in Private**
4. **Declarations of Interest**
5. **Minutes**  
To approve as a correct record the minutes of the meeting held on 12 February 2019.  
**Cabinet Minutes - 12 February 2019** **3 - 16**
6. **Appointments to Sub-Committees and Outside Bodies**
7. **Preparations for Exiting the European Union** **17 - 42**
8. **Road and Footway Infrastructure Improvement Programme 2019/20** **43 - 70**
9. **Approval of Expenditure of New and Repurposed Section 106 Funding Received by Ealing since February 2018** **71 - 98**
10. **Council Performance Report Quarter 3 2018/19** **99 - 112**
11. **Authority to Tender the School Meal Service** **113 - 130**
12. **Date of Next Meeting**  
The next meeting will be held on 23 April 2019.  
**Paul Najsarek, Chief Executive, 11 March 2019**

*Please note that in the event of an emergency your attention is drawn to the evacuation instructions displayed on the wall by the entrance to the committee room. First Aid advice can also be found there.*

## **CABINET**

### **Tuesday 12 February 2019 at 7pm Minutes**

#### **PRESENT:**

Councillors: Bell, Anand (Part), Camadoo, Johnson, Mahfouz, Rai and Sabiers

#### **ALSO PRESENT:**

In accordance with paragraph 2.6(a) of the Constitution, Councillors Dabrowska, Malcolm, G Stafford and Young addressed the Cabinet with regard to the following items:

- Item 07 - Budget Update 2018/19 (Councillor Young)
- Item 08 - Budget Strategy 2019/20 (Councillors Malcolm and Young)
- Item 10 - Ealing Town Hall Development and Refurbishment – Update on Development and Response to ACV Application (Councillors Malcolm and G Stafford)
- Item 12 - Local Implementation Plan (Transport) 2019-22 (Including the Cycle Plan and Travel to School [Sustainable Modes of Transport] Strategy) (Councillor Dabrowska and Malcolm)
- Item 13 - Materials Recovery Facility (MRF) Services Contract Extension (Councillors Malcolm and G Stafford)

#### **Also in Attendance and Vote of Thanks**

Councillor Rice was also in attendance.

Cabinet noted that this was Ian O'Donnell (Executive Director, Corporate Resources) and Keith Townsend's (Executive Director, Environment and Customer Services) final Cabinet meeting and Cabinet put on record its thanks for their service and for all the work carried out and their long-sustained effort throughout their time in Ealing.

#### **1. Apologies for Absence**

Councillors Gordon and Mason.

#### **2. Urgent Matters**

There were none.

#### **3. Matters to be Considered in Private**

Items 9, 10, 11, and 14 contained confidential appendices but were not taken in private as it was not necessary to discuss the confidential information provided.

Item 13 contained confidential appendices and was taken in part in private.

#### **4. Declarations of Interest**

Councillor Bell declared an interest in item 8, Budget Strategy 2019/20, by virtue of his wife working for a care company that contracts domiciliary care for the Council.

Councillor Mahfouz declared an interest in item 8, Budget Strategy 2019/20, by virtue of his wife working for Ealing Council.

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**5. Minutes**

**Resolved:**

That the minutes of the Cabinet meeting held on 15 January 2019 be agreed and signed as a true and correct record.

**6. Appointments to Sub-Committees and Outside Bodies**

There were none.

**7. Budget Update 2018/19**

**Resolved**

That Cabinet:

- i) notes the General Fund revenue budget forecast outturn position of £0.959m, a net overspend (0.39%) for 2018/19 (paragraph 4 of the report) and a break-even position on the Housing Revenue Account (paragraph 6 of the report).
- ii) notes the progress in delivering 2018/19 savings (paragraph 5.3 of the report).
- iii) notes officers continue to develop plans to contain, reduce and mitigate the projected overspend;
- iv) notes the 2018/19 capital programme forecast (paragraph 8.3 of the report).

**Reason for Decision and Options Considered**

To forecast the Council's financial position for 2018/19 based on information available at the end of 30 November 2018. The report outlines the council's forecast position for revenue and capital, income and expenditure.

**8. Budget Strategy 2019/20**

**Resolved**

That Cabinet:

Revenue Budget 2019/20

- i) notes the latest Medium Term Financial Strategy MTFS for 2019/20 to 2022/23 (paragraph 4.1 and Appendix 1 of the report).
- ii) approves £4.381m of additional savings, submitted as part of the 2019/20 budget review process, for 2019/22 to 2022/23 (paragraph 5.1.5 and Appendix 3b of the report).
- iii) notes that particular savings proposals may have significant and important implications not set out in this report, for example in relation to the council's equality duties or other legal responsibilities, or consultation may be required because of the likely impact upon staff, service users or providers. Where this is the case those implications will be fully explored, equalities analysis assessments undertaken and, if necessary, a further report will be considered by Cabinet or the relevant officer or portfolio holder before a final decision is taken on implementation. Where a decision is taken not to proceed with any savings proposal then alternative proposals will be brought forward for consideration by the Executive Director with responsibility for that proposal.
- iv) authorises the Chief Executive or Executive Director with responsibility for each proposal to:
  - a) carry out all steps required in relation to each proposal, including carrying out any appropriate consultation,
  - b) consider any consultation outcomes and any other detailed implications,
  - c) complete and consider the implications of any equalities analysis assessment required,
  - d) following completion of iv) a) to iv) c) above, and before taking the final decision on whether or not to proceed to implement each proposal, determine whether or not

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to amend any proposal prior to implementation as appropriate, and to make any amendment required

- e) where appropriate following iv) a), iv) b), iv) c) and iv) d) above, determine that implementation of a proposal should not happen.
- f) notes that where a decision is taken not to proceed with any savings proposal then alternative proposals will be brought forward for consideration by the Chief Executive or Executive Director with responsibility for that proposal.
- v) notes in relation to the authorisations given above in iii) and iv), that where appropriate, any key decisions will be brought back to Cabinet.
- vi) approves £7.461m of growth items for 2019/20 to 2022/23 (paragraph 5.1.3 of the report).
- vii) agrees the officer recommendation of an increase of 1% for the Social Care Precept and an increase of 2.99% for Council Tax in 2019/20 (paragraph 5.6.6 of the report) for recommendation to Full Council;
- viii) notes that the council is in a position to agree a balanced budget for 2019/20 and that any remaining budget gap following the Council Tax decision by Full Council on 26 February 2019 will be closed using reserves.

#### Business Rates Discount

- ix) approves that, pursuant to the Council's powers under Section 47 of the Local Government Finance Act 1988, for 2019/20, the Council will offer a discount in National Non-Domestic Rates NNDR of two times the cost of accreditation to the first 100 businesses in Ealing which are, or which become accredited with the Living Wage Foundation and who meet the criteria as set out in the February 2016 Cabinet report: Discretionary Discount Scheme for Businesses accredited to Living Wage Foundation (paragraph 5.7.10 of the report)
- x) authorises the Section 151 Officer to make determinations in relation to applications for such discounts;

#### Fees and Charges 2019/20

- xi) approves the schedule of fees and charges for 2019/20 (paragraph 5.2.4 and Appendix 10 of the report).

#### Capital Programme 2019/20 – 2022/23

- xii) notes the new General Fund capital programme additions totalling £51.420m to be approved by Full Council on 26 February 2019 (paragraph 5.11 and Appendix 6 of the report).
- xiii) notes the capital programme additions relating to the Housing Revenue Account HRA (paragraph 5.11 of the report) that were considered as part of the HRA Business Plan by Cabinet on 11 December 2018.

#### **Cabinet endorses and approves the following recommendations and recommends to Full Council that, on 26 February 2019, it:**

- xiv) Revenue Budget 2019/20 and Medium Term Financial Strategy 2019/20 to 2022/23
  - a) considers and approves the Revenue Budget for 2019/20 as summarised in Appendix 2 of the report.
  - b) considers the advice of the Executive Director of Corporate Resources on the levels of reserves and robustness of estimates in setting the budget as required by Section 25 of the Local Government Act 2003 (paragraphs 5.15 and 5.16 of the report).
  - c) notes the financial risks and pressures set out in the report (paragraphs 4.2.15 and 5.13 of the report)
  - d) approves the Parking Account 2019/20 (paragraph 5.9 and Appendix 4 of the report).

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- e) approves the draft Schools budget of £326.656m and agrees that any changes to the budget reasonably required as a result of the final 2019/20 DSG settlement are delegated for decision to the Executive Director of Children, Adults & Public Health following consultation with the Section 151 Officer paragraph 5.10 of the report.
  - f) notes that the General Fund balance is scheduled to remain the same at £15.473m for 2019/20 and notes the forecast levels of earmarked reserves (paragraph 5.15 and Appendix 5 of the report).
- xv) Capital Programme 2019/20 – 2022/23
- a) approves the new General Fund capital programme additions totalling £51.420m (paragraph 5.11 and Appendix 6 of the report).
  - b) approves the revised Capital Programme of £845.680m (before additions), as set out in paragraph 5.11.6 and Appendix 7 of the report.
- xvi) Capital Strategy, Treasury Management and Pension Fund
- a) approves the Treasury Management Strategy including the associated Prudential Indicators and Annual Investment Strategy (paragraph 5.12 and Appendix 9 of the report).
  - b) approves the Treasury Management Policy Statement (Appendix 9 of the report).
  - c) notes the Director of Finance will implement the Treasury Management Strategy under existing officer delegated powers (Appendix 9 of the report).
  - d) approves the Minimum Revenue Provision MRP policy (Appendix 9 of the report).
  - e) notes that the Pension Fund cash where held in house and West London Waste Authority cash is also managed in accordance with the Treasury Management Strategy (Appendix 9 of the report)
  - f) approves the Capital Strategy (Appendix 8 of the report).
- xvii) Council Tax and Business Rates
- a) notes the Greater London Authority GLA Band D precept of £320.51 for 2019/20 (paragraph 5.5 of the report).
  - b) notes that the Executive Director of Corporate Resources calculated under delegated authority on 31 January 2019 the amount of 115,489.90 as the Council Tax Base, being the number of properties in Bands A-H in the Borough, expressed as an equivalent number of Band D units for the year 2019/20; in accordance with regulation 3 of the Local Authorities Calculation of Council Tax Base Regulations 1992 as amended made under Section 335 and 344 of the Local Government Finance Act 1992 (paragraph 5.6.1 of the report).
  - c) notes the forecast Collection Fund position for 2018/19 (paragraph 5.6.3 of the report).
  - d) notes the council's share of the business rates income forecast for 2019/20, as approved by the Executive Director for Corporate Resources (paragraph 5.7.6 of the report).
  - e) approves charge of a 100% premium (increased from 50% extra) on top of standard tax council for properties which have been empty for more than 2 years with effect from 1st April 2019 (paragraph 5.6.10 of the report).
- xviii) Addendum and revised appendix 3b to the report
- a) notes the addendum to the report
  - b) notes the revised appendix 3b to the report

### **Reasons for Decisions and Options Considered**

This is the final update report to Members on the 2019/20 Budget and Medium Term Financial Strategy (MTFS). It finalises the position since the last budget strategy report to Cabinet on 11 December 2018 and it brings together a number of significant issues for Cabinet decision. The main purpose is to enable Cabinet to consider further budget

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proposals and make recommendations to Full Council for when it finalises the budget and sets the council tax on 26 February 2019.

## 9. Vendor Neutral Supply Chain and Materials Corporate Contracts

### Resolved

That Cabinet:

- i) notes the status and progress of the Council's Commercial Hub and the finalised Commercial Policy for the Council.
- ii) notes, where appropriate for the category or service in question and directed by the Commercial Hub, the use of a new vendor neutral supply chain corporate contract primarily, but not exclusively for one-off/spot, bespoke, time limited or lower value type purchases.
- iii) gives approval to go out to tender for the provision of a vendor neutral supply chain corporate contract(s) using the Competitive Procedure with Negotiation, for up to the next eight years on a 4+2+2-year contract basis for use by Ealing and also other local authorities.
- iv) gives delegated authority to the Director of Finance, following consultation with the Portfolio Holder for Finance & Performance following the tender process to award the vendor neutral contract for a 4+2+2-year contract.
- v) notes the approach being taken for procuring a contract for the provision of construction materials for use by both Ealing's internal resource and for use by our supply chain of external contractors and gives approval to call off, either through direct award or mini-competition, from the Crown Commercial Service Buildings Materials framework (or similar) for Lot 1 - Building Materials and Associated Services (including plumbing) and Lot 2 – Electrical.
- vi) gives delegated authority to the Director of Finance, following consultation with the Portfolio Holder for Finance & Performance to award the contracts under Lots 1 and 2 of the Crown Commercial Service Buildings Materials framework (or similar) following the mini-competitions.

### Reason for Decision and Options Considered

#### Commercial Hub Background

The Council spends approximately £320M every year on contracts to support delivery of services to residents. How the Council procures and manages contracts around the nine Future Ealing outcomes is key to getting the best value we can from limited resources.

It was agreed by the Commerciality and Assets Programme Board in late 2017 to select a pilot project in Procurement and Contract Management to commence rapidly into delivery, which was subsequently approved by the Future Ealing Board. The project comprised of two key elements:

- Development of a Target Operating Model.
- Review of contracts and spend areas leading to the realisation of budget savings and service outcome improvements.

Following ongoing engagement through Modern Council Board and consultation across the Council, the pilot culminated in a new Commercial Hub being launched in November of this year to replace the old Procurement team to provide greater support across the Council. This new team, financed through the existing budget with no increase in funds, will provide support across the whole commercial cycle, from commissioning, to procurement and through to contract management. Appendix 1 of the report outlines the function of the Commercial Hub. To further support the Council and the introduction of the Hub, a new Commercial Policy has also been developed in Appendix 2 of the report.

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The policy is also designed to ensure the Council focuses on those areas for development that are required to be in-line with the LGA National Procurement Strategy for which a full diagnostic of the Council has been undertaken. The Council has already begun a range of contract reviews which the Commercial Hub have been leading on with service areas, with additional support over two rounds by EY. The first round of these has delivered budget savings of £1.337M in 18/19 with a further £1.450M already built into the MTFs for 19-20 and which is being worked on. Additionally, the Commercial Hub is supporting on its own a range of other contract reviews with a prudent savings figure of £0.717M for 19/20. In addition to this there are specific separate savings proposals that are contract focussed and which the Hub is supporting e.g. Parking, All Age Disabilities Outcomes Commissioning etc. In total, all the contract-related savings for 19/20 are worth £3.311M to the MTFs.

#### Vendor Neutral Supply Chain Partner

Please see the Commercial Strategy in Appendix 3 of the report for the detail to this procurement.

Whilst this specific contract is not part of a wider Category Strategy for corporate contracts, it is aligned to Council's Commercial Policy which explains that as goods, works and services become more strategic and the business environment more unpredictable, the Council will shift to more sophisticated sourcing commercial models. This contract involves a commercial model which will enable the following key principles of the policy to be delivered:

- Working together with commercial, local authority and public-sector partners in a more innovative and commercial way to deliver Future Ealing outcomes;
- To reduce the Council's external third party spend by up to 10% through greater use of commercial & category management principles;
- Ensure strategic third party spend is focussed upon defined service outcomes that are linked to a commercial model appropriate for the category of spend;
- Increase the level of social value generated for the borough through increased leverage of the Council's third party spend;
- Where valid, to explore alternative service delivery models and the opportunity to create income for the Council within relevant categories of spend.

#### Current Position

Currently the Council has a tail of external spend which, like many large multi service organisations, is elongated with many small ad-hoc purchases spread across many suppliers. It has been calculated that this is worth £45M, spread across 1537 suppliers and 46,238 invoices. On this spend, any contract above £25K should be subject to a competitive procurement procedure which involves several regulatory requirements and significant process. However, this is extremely resource intensive and the level is not exponentially lower when compared to larger multi-million pound procurement exercises which are undertaken. For example, it is estimated that on a £75K quotation the cost of procuring for the council is around 15%, whilst the Chartered Institute For Purchasing & Supply have calculated that procurements above the OJEU threshold cost on average £50K per activity across the UK, which would equate to a much lower % on average. For bidders on lower value contracts the cost is similarly high and is a significant barrier to entry for SME's and the Voluntary Sector. The nature of the Council's tail of spend is also much more ad-hoc and so provides little assurity of business for these sorts of organisations to which they can create a sustainable business model.

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It is not just lower value procurements where there are issues. On average it takes nine months to carry out a full OJEU procurement. Whilst there are frameworks which the Council can join, these are static and with a limited number of suppliers. Dynamic Purchasing Systems have also been introduced but these also have issues around flexibility, finite scope and the cost of joining them where there is no guarantee of business. They are also extremely resource heavy to manage. This means that the Council is very limited in how it can procure contracts for projects which are time limited or where some form of pilot needs to occur or where the nature of the procurement is extremely bespoke or a one-off.

#### Proposed Solution

A neutral vendor helps clients source goods and services. It acts as a single point of contact for clients with no affiliation or interest in any one supplier. The neutral vendor does not deliver the services itself but helps the buyer select the best subcontractor available based on a brief which should, wherever possible, be outcome focussed. The neutral vendor then manages and assures delivery to the buyer's satisfaction through a competitive process that is benchmarked. The intention is for one (or more) vendor neutral supplier(s) to provide:

- a) A vendor neutral procurement service to the Council covering a range of service categories including but not limited to, Professional Services, Construction, ICT, Equipment etc;
- b) A quick, low cost route to market that is easily accessible by local suppliers, SME's and the Voluntary Sector;
- c) A simplified route to market for the Council that is quicker and lower cost for lower value, lower risk contracts.
- d) A service which is focussed upon outcome-focused specifications and payment according to the achievement of those outcomes.

The contract will provide services to other authorities as well as the London Borough of Ealing and should generate income for Ealing in the form of an "administration fee" consisting of a % of the value of the services procured via the vendor neutral supplier. Ealing will have a contract with each vendor neutral supplier, of which it is likely there will be only one, who will then source suitable suppliers from the market through further competition. The vendor neutral contract would not be used for all lower value procurements, rather more bespoke, time limited or one-off requirements and where this route to market was deemed the best commercial and service outcome option as directed by the Commercial Hub.

Each individual contract procured via the Vendor Neutral supplier would be subject to its own evaluation, specification and pricing model. This would have been through the usual contract governance at the Council including, depending on the value, its own Commercial Strategy that will have been supported by the Commercial Hub and approved either by the relevant Directorates Contract Board or the Council's new Joint Contracts Board that approves all contracts over £500,000 in value (i.e. "Key Decision" Value). The ability to control what is going to market and then how it is delivered would remain as it is now, it is rather that the Council would have a much more agile and responsive route to market available for consideration on certain purchases. The Commercial Hub would advise on each case whether this route to market was suitable or not versus others we could use.

The Neutral vendor will charge the Council for each contract it procures on a capped fee percentage basis or fixed fee that is recouped via the payments made for each project, as per the commercial model outlined in Appendix 3 of the report. However, as outlined in the Commercial Strategy for this contract (appendix 3 of the report) this neutral vendor

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model will still be more cost effective in terms of procurement costs than the Council itself procuring such contracts and which has been proved via the pilot activity undertaken where significant savings were achieved.

Currently there is no similar option available to the public sector with the closest option being the professional services vendor neutral contract let by the North East Purchasing Consortium. However, this is very limited in scope to consultancy contracts and so there is a large opportunity for the Council to create a viable option across multiple categories that will not only benefit Ealing Council but the wider public sector also.

#### Materials Corporate Contract

Please see the Commercial Strategy in Appendix 4 of the report for the detail to this procurement.

#### Current Position

Currently the Council has no contract for construction materials with purchases made on an ad-hoc basis across a wide range of suppliers. The annual spend on construction materials by internal teams within the Council, i.e. Corporate Facilities and Housing ERS is £445,430. This results in a high number of invoices and purchase orders being placed with multiple providers for a high cost with a lack of grip and transparency over what is being purchased, the quality of the materials being purchased and from where.

The Council contracts with a range of construction-based contractors, with the majority being SME's. On building maintenance alone, the Council contracts £24.590M worth of work externally per annum, of which roughly 50% can be attributed to the cost of materials, with the remaining 50% on overheads, staff and profit. This provides a further spend of £12.295M on materials across the Council's supply chain. Individually each SME and the Council has limited leverage with distributors of materials. The Council also often pays a mark-up on the materials purchased by contractors and has limited visibility of these costs.

#### Proposed Solution

Based on supplier profiling, it was identified that there are a number of suppliers currently supplying building materials direct to the council. It has been identified that there is the potential to consolidate to two suppliers, one providing general building materials and the other electrical materials, each direct to Ealing Council which would generate estimated savings of up to 7.0%.

Similarly, with our supply chain of contractors there is the opportunity to have two consolidated suppliers, one each for general and electrical materials that contractors must use going forward. This serves to create savings through the consolidation of volume and improved pricing through increased leverage, reducing the material uplift element from current contractors whilst also streamlining the process. We have identified an estimated spend for this opportunity based on analysis of number of key suppliers within the maintenance category that will avail of this new potential opportunity. This will provide efficiencies to both those suppliers and the Council with regards to materials cost and it is estimated would generate savings of again up to 7%.

From analysis of framework agreements open to the Council the Crown Commercial Service Buildings Materials framework was deemed to offer the best value and the most comprehensive range of buildings materials and associated services through Lot 1 - Building Materials and Associated Services (including plumbing) and Lot 2 – Electrical. A call-off, either through direct award or mini-competition will therefore be undertaken from these two lots.

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**10. Ealing Town Hall Development and Refurbishment – Update on Development and Response to ACV Application**

**Resolved**

That Cabinet:

- i) notes the current position with regard to the proposed redevelopment of Ealing Town Hall and the proposals recently received from Ealing Voice and CEPAC in particular through the Asset of Community Value (ACV) process and the recommendations approved by General Purposes Committee on 15<sup>th</sup> January 2019.
- ii) agrees that neither of the proposals received warrant the running of a new procurement process (for the reasons set out in paragraph 6 in the report) and that the Council as landowner continue to seek Charity Commission (CC) consent for disposal to Mastcraft of the property held by the Trust.
- iii) agrees that subject to CC consent being obtained it is appropriate for the Council as landowner to enter into the agreement for lease with Mastcraft subject to any such amendments to the agreement for lease as may be necessary, depending on the terms of the CC consent.
- iv) notes letters received from Mr French and Mr Miller.

**Reasons for Decisions and Options Considered**

It is appropriate for the Council (as owner of Ealing Town Hall) to consider the recent proposals received during the moratorium period triggered by reason of the listing of Ealing Town Hall as an Asset of Community Value (ACV).

Were the Council not to consider the options presented, it may not secure the best outcome for the Council.

**11. Healum Avenue, Southall – Update and Implementation of Phase 3**

**Resolved**

That Cabinet:

- i) notes the progress made on the implementation of the new link road as set out in paragraphs 2.1-2.8 of the report.
- ii) notes and agrees the proposals for delivering the new road as set out in paragraphs 2.9-2.12 of the report.
- iii) delegates authority to the Executive Director of Housing & Regeneration to determine the preferred route for phase 3 following completion of the options analysis by officers on the basis proposed in paragraph 2.9 of the report.
- iv) authorises the Executive Director of Housing and Regeneration to enter into a grant agreement with the GLA in respect of the funding secured from Housing Infrastructure Fund towards Phase 3 of the scheme and any Deeds of Variation required throughout the project period.
- vi) authorises officers to prepare and submit a planning application/s for the whole road and Phase 3 as required.
- vii) authorises officers to commence formal discussions with land owners in relation to the acquisition of property required to deliver Healum Avenue.
- viii) agrees in principle that if the properties cannot be acquired by agreement the Council will use CPO powers to acquire the properties.
- ix) authorises officers to implement the scheme through use of appointed contractors via the Highways department as set out in paragraph 6.1 of the report.
- x) thanks officers for their excellent work.

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## **Reasons for Decisions and Options Considered**

Pursuant to Cabinet decision in June 2014, officers have carried out work to start the implementation of a new link road in East Southall called 'Healum Avenue'. This road was formerly referred to as 'Grand Union Avenue' but its name has been changed following discussion with colleagues in street naming and the name 'Healum Avenue' (Healum being the old Saxon name for Southall) has now been formally adopted.

The case for this new link road was set out in the Southall Opportunity Area Planning Framework (OAPF) adopted in 2014. In short, its purpose is to provide a new public transport, walking and cycling route between Havelock Estate and Merrick Road which has a number of key benefits:

- It allows for new development sites in Southall East to be linked to the main highway network and served by buses and safe walking and cycling links, avoiding the congestion of Bridge Road, which is and will remain the main HGV route to industrial sites in East Southall
- It allows for Havelock Estate to be served by a through bus route rather than a loop
- This in turn means that bus journeys to central Southall will be quicker and more direct for residents. Buses will not be caught in the bottleneck of Havelock Estate's single access point at rush hour as the new link road will be for local access only (except for buses, pedestrians and cyclists).
- It provides a new safe walking route from Havelock Estate directly towards the new station for residents living at the eastern edge of that estate
- It integrates the development sites in Southall East; and
- It provides for the opportunity to optimise development densities in the Southall East area especially those outside 10 minutes' walk
- distance from the station which are not currently served by public transport.
- This in turn will enable the delivery of around 3,000 new housing units in Southall East

## **12. Local Implementation Plan (Transport) 2019-22 (Including the Cycle Plan and Travel to School [Sustainable Modes of Transport] Strategy)**

### **Resolved**

That Cabinet:

- i) approves the LIP 2019-22 document including the list of schemes, and notes its three-year funding allocation, in Appendix A of the report.
- ii) notes the changes made to the final document from the draft LIP, as a result of TfL comments and public/stakeholder consultation.
- iii) authorises the Director, Regeneration and Planning, to publish the final LIP document 2019-22 and implement its proposals following consultation with the Portfolio Holder and Leader of the Council.
- iv) authorises the Director, Regeneration and Planning, following consultation with the Portfolio Holder and Leader of the Council to finalise and approve the following 'Mode Plans' and implement their proposals (which support the Transport Strategy previously approved by Cabinet on 5<sup>th</sup> June 2018):
  - Draft Cycle Plan, in Appendix C
  - Draft Parking Plan, in Appendix D
  - Draft Travel to School (Sustainable Modes of Travel Strategy – SMOTS), in Appendix E
- v) authorises the Director of Environment, following consultation with the Portfolio Holder for Environment & Highways and Leader of the Council and in accordance with the Ealing Transport Strategy and (once finalised) the Parking Plan to:
  - a. Review parking fees for residential parking permits.

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- b. Formulate proposals for any changes considered appropriate in the light of those the Parking Plan and Transport Strategy.
- c. Undertake any statutory consultation as may be required.
- d. Following consideration of the outcome of that consultation, to make any appropriate changes to residential parking permit fees

### **Reason for Decision and Options Considered**

The Council needs to adopt and publish its final updated Local Implementation Plan document for the period 2019-22 from April 2019 (detailed in section 3 of the report). A decision is now required to adopt and publish the final LIP document. The LIP 2019-22 is based on the Ealing Transport Strategy, which was previously approved by Cabinet on 5<sup>th</sup> June 2018.

The Ealing Transport Strategy does have a number of supporting “mode plans” which provide further detail on their respective areas and are as follows:

- Cycle Plan
- Parking Plan
- Travel to School (Sustainable Modes of Travel) Strategy – (SMOTS)

These mode plans provide more detail for key areas of the Transport Strategy in terms of policy (which are based on the three-key Transport Strategy objectives), targets and a more detailed implementation plan for each mode, which has informed the LIP delivery plan. The mode plans do not currently have cabinet approval, therefore, given their connection to the Transport Strategy and the LIP, this would be a timely point in which to consider them for approval.

### **13. Materials Recovery Facility (MRF) Services Contract Extension Resolved**

That Cabinet:

- i) authorises the extension of the Contract for Materials Recovery Facility (MRF) Services for the Sorting and Recycling of Dry Mixed Recyclable Materials dated 5 January 2017 with Viridor Waste Management Limited for one year from 6 June 2019 to 5 June 2020, at a value of £0.998m, which forms part of the Levies budget.
- ii) agrees to join the West London Waste Authority (WLWA) procurement process for the transport and processing of dry recyclables (with an option for the build of a MRF) being led by WLWA on behalf of Ealing, Harrow, Hounslow and Richmond for a contract with an estimated yearly value for Ealing of £0.950m of up to 20 years (15 + 5) using the competitive dialogue procedure.
- iii) delegates authority to the Director of Environment, following consultation with the Cabinet member for Environment and Highways and the Director of Finance following the WLWA procurement process to enter into a contract for the transport and processing of dry recyclables with the WLWA and the other authorities if the procurement outcome is acceptable to the Council.
- iv) delegates authority to the Director of Environment, following consultation with the Director of Finance to extend the Contract with Viridor Waste Management Limited for a further one year from 6 June 2020 to 5 June 2021 should it be required.

### **Reason for Decision and Options Considered**

The existing Contract was awarded to Viridor Waste Management Limited as authorised by Cabinet on 16 June 2015 (item 10 – ‘An improved Method of Refuse and Recycling Collections’) and Executive Director of Environment & Customer Services by way of an Officer’s Decision dated 5 April 2016. Following the introduction of the dry mixed

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recycling service collected in wheelie bins in 2016, there was a requirement to procure Materials Recovery Facility (MRF) Services for the haulage and processing of dry mixed recycling.

The current Contract commenced on 6 June 2016 following an OJEU tender process for an initial three-year period, with the option to extend for a further one year plus a further one year. The Contractor's mutual agreement is required to extend the Contract. Viridor Waste Management Limited were successfully appointed to carry out the Contract. The Contract performance has been and continues to be good. Reporting is accurate and timely, materials are collected as scheduled from the bulking area at the Council depot, contingency arrangements have been put in place where required, the recycling performance target has been met each month, and all target materials have been separated for processing. 100% of target materials sent to Viridor have been sent for recycling, and the sampling of Contract Materials is in accordance with the provisions of the Agreement and the MRF Code of Practice. A total of 23,600 tonnes of recycling have been sent for processing during 2018. The contamination rate is approximately 9%, which is lower than an average across London of approximately 12%.

Benchmarking against comparable local authorities shows that the Contract has a competitive haulage and processing rate per tonne, details of which are in Confidential Appendix A of the report. The Contractor consistently achieves a higher sales price for recyclable materials than the industry average market rate (LetsRecycle Indices). The greater the sales price, the greater the share for Ealing Council, which is used to offset the cost of haulage and reprocessing per tonne. Please see Confidential Appendix A for detail. There has been a positive impact on the recycling rate following the introduction of the dry mixed recycling service and alternate weekly collections. The large increase of 5% shown between 2016/17 and 2017/18 is as a result of significant service change, as shown in Table 1 in the report. Ealing is performing well and has the second highest recycling rate in London currently, but with an ambitious target to increase recycling to 60% by 2022. This Contract is key to achieving the recycling target.

The timing of the proposed one year extension is in line with work that is currently being carried out with West London Waste Authority on the future options for MRF Services (transportation, processing and marketing of recyclable materials). The procurement being led by WLWA on behalf of Ealing, Harrow, Hounslow and Richmond should extract better value from the Contract. Should the proposed procurement process be successful, the Services would be provided from 1 April 2020.

The Council is involved in soft market testing with the WLWA on the procurement of the MRF Services, the development of the specification, payment mechanism and terms and conditions of the proposed Contract and will be closely involved in the evaluation also. Further information on the finances and option for a build of a MRF will be provided should the procurement outcome be acceptable to the Council. The WLWA procurement strategy includes provision for MRF Services to be provided from 1 April 2020 of up to 20 years (15 + 5) with an option for a build of a MRF after the initial 5 years. There will also be the capability to break the contract post year 5, in case of substantial change in Resources and Waste strategy in the UK. There is also an option, should it be required, to extend the Contract with Viridor Waste Management Limited for a further one-year period (from 6 June 2020 – 5 June 2021). This is provided for within the terms and conditions of the current Contract.

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The focus of the MRF Services Contract is to achieve a high quality material output, as this increases demand/value of the material and also maximises its recyclability.

**14) Award of Contract for the Construction of a Residential Short Breaks Unit in Northolt**

**Resolved**

That Cabinet:

- i) approves the inclusion of an additional £385,092.06 Grant funding for the Respite Care Centre – Northolt into the capital programme in 2019/20 from new NHS BAU Learning Disability Capital Programme Grant.
- ii) approves the award of the contract in the sum of £1,526,354.52 to Jerram Falkus Construction Limited for the construction of a Residential Short Breaks Unit to be funded from the approved Respite Care Centre - Northolt budget in the Capital Programme.
- iii) congratulates officers who worked on the architectural plans and thanks all those involved for the excellent liaison between officers and service users.

**Reason for Decision and Options Considered**

On 25th April 2017, Cabinet considered the results of the feasibility study on the future provision of residential short breaks to children with disabilities in Ealing. Cabinet agreed the proposals to:

- authorise the addition to the Capital Programme for provision of a building to provide respite services in Northfields, or the reserve site if necessary, to the value of £1.500m to be funded from mainstream borrowing until such time a capital receipt can be realised from the Heller House site with the associated revenue costs to covered from within Temporary Accommodation budgets
- the construction of new facilities at the Northolt, Haydock Avenue site.

Architectural plans for the proposed building were drawn up in consultation with the service area, parents and carers and providers. Planning permission has been obtained. The service design provides for six bedrooms in modern new build environment situated in secure grounds. Considerable consultation and architectural project work has taken place to ensure the design represents the best possible use of resources and space to produce a state-of-the-art disability friendly environment.

All three of the ground floor bedrooms will be fully adapted and accessible for children and young people with the most profound physical disabilities. The building has been specifically designed to incorporate the potential to have additional rooms added at a later date, if this is required. Awarding the building contract for the Construction of a Residential Short Breaks Unit works will allow this work to proceed and provision of the service to commence.

On 25 April 2017, Cabinet authorised the Executive Director of Children, Adults and Public Health to invite and evaluate tenders for the management and service delivery of the residential short breaks service in the new building. This process will ensure that a suitable provider is in place to commence the service once the building is completed.

**15) School Admissions Arrangements for 2020-21**

**Resolved**

That Cabinet:

*The minutes should be read in conjunction with the agenda for the meeting. They are subject to approval and signature at the next meeting of this Committee.*

- i) approves the admissions arrangements 2020/21 for Ealing community schools including a change to give second highest priority to children in state care outside of England (Appendix 1 of the report).
- ii) approves the published admission numbers for all Ealing community schools including a reduction of 30 places at Derwentwater Primary School, Hobbayne Primary School, Stanhope Primary School and Wolf Fields Primary School (Appendix 1 of the report).
- iii) approves Ealing's scheme for co-ordination of admissions to Year 7 and Reception/Junior in 2020/21 as part of Pan London co-ordination (Appendix 2 of the report).

**Reason for Decision and Options Considered**

All admission authorities must determine their admission arrangements by 28 February every year, even if they have not changed from previous years and consultation has not been required. These are set out in paragraph 1.46 of the School Admissions Code.

**16) Date of Next meeting  
Resolved**

That Cabinet notes that the next meeting of Cabinet will be held on 19 March 2019 at 7pm

Councillor Julian Bell, Chair

**Date**

The meeting concluded at 8:13pm having completed its business.

*The minutes should be read in conjunction with the agenda for the meeting. They are subject to approval and signature at the next meeting of this Committee.*



Report to Cabinet

Item number: 77.

<b>Contains Confidential or Exempt Information</b>	<b>No</b>
<b>Title</b>	Preparations for Exiting the European Union
<b>Responsible Officer(s)</b>	Kieran Read – Director Strategy & Engagement
<b>Author(s)</b>	Stuart Turner Head of Emergency Management
<b>Portfolio(s)</b>	Cllr Bell – Leader
<b>For Consideration by</b>	Cabinet
<b>Date to be Considered</b>	19 March
<b>Implementation Date if Not Called In</b>	
<b>Affected Wards</b>	All

**Purpose of Report:**

The purpose of this report is to highlight the ways in which the council and the services it provides will potentially be impacted by the UK's withdrawal from the European Union on the 29<sup>th</sup> of March 2019. It also highlights the implications of Brexit for residents of the borough and the local community.

Our priority is to ensure our residents are supported and the council's contingency planning is being undertaken in line with advice from the Local Government Association, London Councils, the London Resilience Forum and from technical guidance and instructions that are being issued by Government departments. This report outlines the work undertaken so far and the further work that is required over the coming weeks.

**1. Recommendations**

1. Note the implications for the council and the borough of the issues highlighted in report.
2. Note the work being undertaken to prepare for a 'no deal' scenario for the UK exiting the EU on 29 March 2019 – in Action Plan at **Appendix 1**.
3. Endorse the statement that, 'The Borough of Ealing has, and always will be, open, inclusive and welcoming to all'.
4. Note the objectives for delivering the council's community leadership role and supporting EU residents, staff and local businesses with information to help them manage the transition.

## 2. Reason for Decision and Options Considered

Currently the UK is due to exit the European Union on 29 March 2019. There is still no clear indication on whether the UK will leave with a deal or without a deal. At the time of writing Parliament is scheduled to vote on the Prime Minister's deal on 12 March. If that vote passes, then the UK will leave on the terms set out in the Withdrawal Agreement. If it does not there will be a vote on whether parliament accepts no deal. If that does not pass a range of options exist most likely the Government would seek an extension to the negotiating period, although this would be subject to EU agreement. However, as has also been widely discussed the implications of Brexit, and particularly the short-term implications of a no deal exit are potentially significant. In line with national and regional authorities the council has been considering the implications of Brexit and as the 29 March approaches it is appropriate to update Cabinet on that work.

## 3. Key Implications

### *Context*

60% of Ealing's electorate voted to remain in the EU in the 2016 referendum. In an April 2018 meeting of the full council a motion was backed that called for the government to guarantee the rights of all EU citizens to live and work in the UK now, even in the event of a no-deal Brexit; and also, to give both Parliament and the British public the final say on any Brexit deal.

The 2011 census said that 16%<sup>1</sup> of the borough's population is estimated to be from the EU and the majority of them come from either Poland or Ireland. Businesses in the borough have commercial relationships with many areas of the EU. The potential implications of Brexit for the borough are therefore significant.

In summer 2018, the Government published 77 technical papers and guidance notes to ensure businesses and citizens understand what they would need to do, particularly in a 'no deal' scenario, so they can make informed plans and preparations. Letters were sent to 140,000 businesses nationally and updated Revenue and Customs information packs were sent to firms on possible changes at the border. General consumer advice was also published and propagated via mainstream media in areas ranging from booking flights to using credit cards.

On 18 December 2018, the Government agreed to ramp up no deal planning. This has significantly stepped up central Government activity around managing the risk of no deal making this an 'operational priority'. The Ministry of Housing, Communities and Local Government has also set up a Local Government Delivery Board and has arranged a series of regional roadshows

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<sup>1</sup> Latest available data for EU nationals in Ealing is from the Annual population survey for 2018. That shows that 55,000 people in Ealing were born in the EU – 16% of the population.

for council. As part of this approach more information is being made available to local councils to assist their preparations.

The Government has in recent weeks agreed to set aside £2bn for a No Deal Brexit in case the UK leaves on 29 March without any deal. It was announced that the Ministry of Housing, Communities and Local Government would receive £35m. This equates to Ealing and other unitary Local Authorities receiving around £210,000 over two years.

Although it has been emphasised by the Government that a negotiated deal is still its preferred option, recent defeats in Parliament to even 'neutral motions' and 'minor amendments' to the Withdrawal Agreement means that the threat of the UK leaving without a deal remains a real possibility. Further to this the uncertainty caused by the Government's defeat in parliament and their ongoing attempt to renegotiate is impacting planning. Lack of certainty on how we will be leaving the EU, means that services are planning for a scenario which may never occur.

The Institute for Government published an assessment<sup>2</sup> of the national readiness for a no deal Brexit in January 2019. This concluded that there was significant risk related to legislative readiness with it being 'unlikely' that the Government will be able to get the six outstanding Brexit bills through Parliament in time and only around 100 of the 600 statutory instruments required for a no deal Brexit having made their way through Parliament at that point. The report also emphasised the significant implementation challenge given that other major national changes for business and citizen often have a lead in time of years which could be compressed to weeks in some cases in the event of a no deal exit on 29 March.

The Secretary of State for Housing, Communities and Local Government wrote to the Leaders of all English local authorities on 31 January setting out a 'checklist'. This is attached at **Appendix 2**. The council has reviewed this and believes that the Action plan at **Appendix 1** addresses these key issues in as far as the council is able to. However, it must be noted that the mitigation of many of these risks lies at national level. Many local authority leaders have expressed frustration that the step up in no deal planning by Government has happened very late and that local action is occurring in an environment of considerable uncertainty. These concerns are shared in Ealing.

Since mid-2018 Ealing council has been coordinating services approach to contingency planning for Brexit and the focus of this planning has moved to planning for no deal. These preparations include considering the impact on our residents, our workforce, delivery of goods and services and community cohesion. We are also contributing to a pan-London picture for both the potential short and long-term effects on London Local Authorities by supporting weekly reporting to the Ministry for Housing, Communities and Local Government (MHCLG).

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<sup>2</sup> <https://www.instituteforgovernment.org.uk/sites/default/files/publications/brexit-two-months-to-go-final-web.pdf>

## **Local Government Association Guidance<sup>3</sup>**

Local Government Association (LGA) produced a briefing note in October 2018 to identify the top-line issues for councils and highlighted where clarity needs to be provided by Government. The LGA have particularly sought to emphasise that in the immediacy of exit under no deal, the sector would need:

- a) Compensation for the additional costs to councils from any new tariffs on imports from the EU;
- b) Immediate UK replacements where we rely on EU processes now (e.g. procurement); and
- c) The opportunity to immediately reform some EU laws which constrain local economies (such as procurement and state aid).

## **Government Technical Notes for No Deal**

In July 2018, the Government began publishing a series of technical notices to ensure that businesses and citizens understand what they would need to do in a 'no deal' scenario. As Government papers were published, the LGA have analysed them and an initial checklist for councils to use to consider any local impacts and to aid local preparations. We are using this to inform our contingency planning.

## **The UK Exits with a deal**

No deal Brexit poses a number of fundamental, wide-ranging and complex challenges for the Council. However, it is likely that an orderly withdrawal from EU will still have an impact, although this will take time to manifest and it will be difficult to predict what these long-term impacts will be as the future trading arrangements with the EU will still need to be agreed. The consensus view is that it is likely that during this period much of the economy will grow at a low rate and foreign investment into the UK will be curtailed.

The bank of England<sup>4</sup> Inflation Report for February 2019 indicates that UK GDP growth appears to have slowed and is expected to remain subdued over much of 2019, reflecting both weakening global growth and the intensification of Brexit uncertainties. The impact of those uncertainties is projected to wane gradually if there is a of a smooth withdrawal of the UK from the EU. If this is the case UK GDP growth is likely to pick up later this year and is expected to be a little stronger in the medium term. Although it remains modest by historical standards. However, this scenario of leaving the EU with a deal is still preferable to leaving with no deal, as the impacts will be less immediate and easier to manage.

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<sup>3</sup> <https://www.local.gov.uk/topics/european-and-international/brexit>

<sup>4</sup> <https://www.bankofengland.co.uk/-/media/boe/files/inflation-report/2019/february/inflation-report-february-2019.pdf?la=en&hash=8487F69ED26692F4697D363A4E47111D1B0503D3>



## **Local Planning in Ealing**

Ealing aims to try to mitigate any negative impacts of Brexit on our staff, residents, businesses and the council in general. The lack of clear guidelines and planning assumptions from central government has curtailed planning for Brexit. The Ealing is home to people from all over the world who make a huge contribution to the community and this includes around 55,000 people from other countries in the European Union. Ealing Council believes diversity is one of the boroughs greatest strengths and wants to protect the rights of all its residents, including EU citizens.

Local planning to understand the potential impact and risks from exiting the EU either with or without a deal has been discussed by senior officers within the council, the Chief Executive and a number of reports have been presented to corporate board. These has considered the risks and impacts for the council and wider implications for our local community.

To further prepare the council The Ealing Brexit Preparedness Planning Group has been established. This group comprising cross service representatives provides an overview of the following:

- a) Better understand the likely impacts on services of Brexit including a no-deal Brexit.
- b) To maintain oversight of preparedness.
- c) To monitor and report on issues experienced by services during the run up to and the period after Brexit on the 29<sup>th</sup> of March 2019.

## ***Impacts and Risks for the Council***

Ealing has undertaken a review of some of the key impacts on services and identified some cross-cutting risks that will affect multiple services and are broadly identified as workforce, legislation, health and social care, community and specific service areas.

### **I) Workforce**

The council has 123 staff recorded as non-British EU nationals. This number is likely to be higher as only 55% of staff have chosen to record their nationality previously. Regardless of the terms that we leave the EU on after the 29<sup>th</sup> of March these staff (excluding Irish nationals) will need to apply for the EU Settlement Scheme. Their current 'right to work' checks will apply until the end of 2020. There will be no change to the rights and status of EU citizens living in the UK until 2021 and they will also need to apply for the EU Settlement Scheme. The planned payment for the settlement scheme has been rescinded by Government and will now be free for EU nationals.

Nevertheless, there are concerns that council services which are heavily reliant on a non-UK EEA workforce may face substantial recruitment and retention issues. Beyond this, recruitment across key low-wage sectors remains a concern, particularly within adult social care, highways

maintenance and construction sector. Future recruitment will be inhibited by end of freedom of movement and the possible implementation of new immigration rules which would restrict immigration on jobs paying under £30,000 p.a.

To address these issues the council is looking to review the current workforce and understand the service areas where there might be the most significant implications of a high proportion of EU nationals. This will also look at the care sector and the workforce of the council's contractors. As part of this work HR will be looking at the options for supporting staff that will need to apply for Settled Status. . The council will also be responsible for making applications for settled status on behalf of looked after children in its care who are EU nationals.

## **II) EU Law and Regulations**

EU law and regulation underpins many council services (such as waste, workforce protection and environmental standards). The Withdrawal Act 2018 provided for all EU law to be brought into UK law to ensure that there was legal certainty for businesses and residents. The Withdrawal Act is now law and this legal certainty remains in place under No Deal. However, many UK laws refer to EU regulations or to EU agencies. The Withdrawal Act gives ministers the ability to amend such laws where EU institutions or processes are mentioned. In addition, the Government is seeking to pass bills relating to areas including Agriculture, Fisheries, Healthcare, Immigration and Trade which as noted above at the time of writing are considered unlikely to receive Royal Assent before 29 March.

The LGA have been assured that the principle that the Government is working to is that of continuity (at least in short-medium term) and naming UK successor agencies to EU regulators. Under No Deal, all these legal changes to UK law need to be in place by March 2019 and relevant information communicated to the sector by Government. Clearly the delay in agreeing the means of Brexit creates uncertainty for numerous services as this will delay the adoption of EU law into UK law. While changes in regulation also mean that local authorities are asked to do more (e.g. on imports, or export certification) at a time when resources are very stretched and amending local authority delegated powers and officers' authorisation can be time consuming.

There are EU data bases that council officers use for example, 'The Rapid Alert System for Food and Feed (RASFF)'. While the UK is negotiating access to these systems it is possible that access to this system will be limited. As such Regulatory Services is working to access the impact to Ealing and working with Government Departments to put in place mitigation.

Another significant area of European legislation affecting the council will be the General Data Protection Regulations (GDPR). The Information Commissioner has issued guidance to continue to follow GDPR standards which the council will do. Further steps required will depend on the nature of the Brexit deal and will be kept under review.

### III) Impacts and Risks for our Community

According to a report put together by London Councils on the implication of exiting the EU, over one third of Londoners were born abroad (from around the world) with migrants being a key part of local communities and the economy. As outlined in the report, councils have an important role to play in promoting integration and community cohesion, especially at a time of significant upheaval and uncertainty.

As we prepare for a possible “no deal”, many non-UK EU citizens will be seeking reassurance about their future in the UK. The Government has committed that the “settled status” scheme for non-UK EU citizens will be fully operational by 30 March. In the event of a no deal EU nationals will have to apply by 31 December 2020 (30 June 2021 if we leave with a deal). Most EU national will need to apply although there are exceptions for those with:

- British or Irish citizenship (including ‘dual citizenship’)
- indefinite leave to enter the UK
- indefinite leave to remain in the UK

The council has created a webpage [www.ealing.gov.uk/brexit](http://www.ealing.gov.uk/brexit) signposting residents to information. This has been supported by a multichannel communications campaign with content in Around Ealing, online, in social media and in targeted e-newsletters. The council will also be participating in the voluntary Assisted Digital Service to support applications where residents. This service will be chargeable.

Racist hate crimes rose across London in the year after the Brexit referendum, but have been decreasing toward pre-referendum levels. The future impact of Brexit on hate crime may depend on how the potential outcomes of ‘no-deal’ or a deal where freedom of movement was retained to some degree was portrayed in the press and social media.

It is understood that the National Police Coordination Centre has drawn up contingency plans for a no-deal exit, including for general public unrest. Unrest and an increase in acquisitive crime could occur in the event of interruptions in the supply chain leading to a shortage of vital items such as food and medicines. The prioritisation of resources to deal with these issues could lead to significant disruption to local Policing for a prolonged period of time.

Depending on the nature of the exit the Police could lose access to European intelligence system and the European Arrest Warrant which allows EU members to request the arrest and detention of criminals in other countries without extradition talks between them. This could impact on the Police’s ability to identify and extradite criminals who were foreign nationals, particularly for transnational serious organised crime.

#### **IV) Health and Social Care**

In December 2018, the Department of Health and Social Care issued guidance to all adult social care and NHS providers outlining the steps they should be taking to prepare for a no deal EU exit. The focus of that communication was on ensuring that providers are prepared for the impact on supply chains, workforce, medicines and data availability.

This guidance is currently being reviewed by Adult Social Care and Public Health to ensure all appropriate contingency plans can be in place, where practicable.

It has also been suggested that a no deal Brexit may result in UK citizens living in the EU to return to the UK, and this could be expected to increase demand for adult social care and NHS care. However, it is not possible to know how many will return to Ealing and how many would have any care and support needs.

#### **V) Environmental Services**

Ealing Councils contractor Amey, has been actively planning for Brexit and has developed a number of contingencies to ensure that maintain their staffing levels and service continuity in the event of a no-deal Brexit these include:

##### **Staffing**

- Amey has instigated a programme of direct recruitment to lessen their reliance on agency staff;
- Attempting to recruit 10% more staff than are immediately needed by mid-March 2019 in order to act as a buffer during the Brexit transition;
- Whilst unlikely - if a significant and sustained labour shortage were to manifest – Amey would look to coordinate with the client to prioritise certain key service deliverables over others to ensure resource is targeted in accordance with the Authorities priorities.

##### **Vehicles and equipment**

- Amey has structured the purchase of new vehicles required to deliver contract services to be delivered prior to Brexit to minimise our exposure to supply issues.
- They have contracts with hire companies should vehicles be required due to breakdown and they will retain some of their de-mobilising fleet
- They have bunkered fuel storage should fuel supply become an issue;
- Amey have undertaken a review of their suppliers sacks, food caddies and four-wheeled receptacles to ensure these are available from UK manufacturers;
- Two-wheeled containers are imported from Europe with few domestic alternatives available at the required quality and is working with the manufacturer to ensure stability of supply

## **VI) Wider Public Services Impact**

As part of the work undertaken by the Brexit Preparedness group some of the key impacts and risks that services are considering are:

- a) Impact on the supply chain – outlined above in relation to environmental and social care services but potentially having wider impacts.
- b) Impact on environmental services, particularly the exporting of recycling.
- c) Impact on housing and regeneration e.g. increased costs of borrowing, construction and workforce shortages combine to delay or make it difficult to achieve our affordable housing strategy. The Government has made a welcome commitment to a guarantee for local regeneration currently funded from the EU but this does not cover the full range of concerns.
- d) Impact on regulatory services – there are a considerable range of potential implications on trading standards and food safety standards which, depending on the nature of the Brexit deal struck could have significant implications for local businesses involved in import and export and impose additional administrative burden on the council – for example if export health certificates for food products become a requirement for export to countries within the EU. This area will need to be closely monitored.
- e) Impact on homelessness prevention; there are concerns about the impact of EU nationals who are currently residing in Ealing and their eligibility for public funds from July 2021. Some EU nationals may not be able to gain settled status and therefore rights and access to housing, welfare benefits and support for some groups could reduce in the future. This has the potential to lead to increased homelessness (including rough sleeping) and budgetary pressures on no recourse to public funds support.

To ensure that Ealing is prepared for the impacts of Brexit and other disruptive events, the council has instructed services to review business continuity plans as part of the annual review and has convened a Brexit preparedness group for services to support planning for a no-deal scenario.

The delay in the UK's departure from the EU could possibly result in participation in the forthcoming European parliamentary elections. This would incur a significant cost to the council and logistically would be challenging. Locally registered EU electors` are required to complete and return a form that allows them to vote in European Parliamentary Elections locally (i.e. cast their vote in Ealing as opposed to their member state). The form is referred to as an EC6 form. Such forms are usually sent out six months in advance of a European Parliamentary Election. Due to Brexit, no such forms have been sent to EU Electors. To address this Elections team has begun contingency planning for a range of potential eventualities.

Work has also been undertaken to ensure that the councils insurance will not be impacted should there be a no deal, by ensuring that all the insurance companies we deal with via our brokers are or have made provisions for this scenario. Some councils are insured by companies registered in the EU and if the passporting arrangements are not in place for a hard Brexit, authorities may not have appropriate insurance cover and may have to review the provision of some services.

The council through the Borough Resilience Forum is also engaged with contingency planning for potential traffic disruption around Heathrow Airport in the event of a no deal.

## **VII) Contingency Planning**

For London, Contingency Planning is taking place under the auspices of the London Resilience Forum and this work also focused on the impacts from a 'no deal' scenario. Ealing is playing an active part on this planning and is contributing, with all other London Boroughs, to information requests on impacts and implications as we further refine our understanding the impacts of a 'no deal' scenario.

At a borough level Ealing is engaging with partners through the Borough Resilience Forum and Safer Ealing partnership to understand the impact of Brexit for Emergency Services and other key partners.

There is also an ongoing need for a joined-up approach, both to the reporting and sharing of information and good practice on Exiting the EU and preparedness by London Boroughs. Further to ensure that government is sited on local issues and issues and trends can be identified, the UK has been divided into 9 hubs, with each hub represented by a Local Authority Chief Executive. London is one of these hubs and is represented by Chief Executives of London Councils. To ensure they are aware of all the latest information there is a requirement to establish a weekly reporting structure across London, which will reflect the resilience reporting lines currently established and tested in the capital. This started 6 February 2019 and will continue weekly until the end of April.

The Emergency Management Service (Civil Protection Team) has undertaken a number of actions to prepare for a No Deal Brexit. These include the reviewing of our fuel shortage plan, including confirmation that our designated fuel station is aware of its status. Emergency Management Service (EMS) will also be undertaking a review of our animal diseases plan, so that we have effective capability should no-deal lead to increase in outbreaks of notifiable animal diseases. (Possibly caused by changes in the regulations governing the import and export of livestock). We have also undertaken a review of our business continuity plans to ensure that our services are able to respond to disruptions brought about by the transition period. Brexit has also been discussed at our Borough Resilience and Health Protection Forums to share progress of multi-agency partner planning and preparations.



However, in terms of planning for food shortages and medical supplies, preparations for these scenarios are being undertaken at a national / regional level.

The planning for the provision of medical supplies is being undertaken by the Department of Health and Social Care. They have so far undertaken an assessment of medicines supply to identify products that have a manufacturing touch point in the EU or wider European Economic Area countries. They are also developing a six-week stockpile of prescription only medicines and pharmacy medicines to ensure supply for patients is maintained across the NHS. Amongst other preparations the government has also put in place legislation to enable Ministers to issue protocols that, where appropriate, enable community pharmacies to dispense against a protocol instead of a prescription.

In terms of planning to ensure the continuity of London's food supply the process is being led by the London Resilience Forum (LRF). The advice from central government is that there is no assumption that a no deal Brexit will lead to a shortage of food, just potential for a reduction in choice. Therefore, on the face of it no requirement for local authorities to put in place plans to assist residents with potential shortages.

However, the LRF is being asked by central government to identify potential areas where there could be impacts for vulnerable people / groups if, regardless of cause, a shortage of choice leads to increased prices or shortages in specific local areas. In London, this planning is being championed by the Deputy Mayor for Fire and Resilience and have also been focussing on this potential issue and a related point on potential impact on food banks and those who rely on food banks. EMS is engaged in this planning and will be implementing any recommendations that they issue to support local planning.

Finally, should a no-deal Brexit lead to protest or public disorder EMS has been liaising with emergency services through the Borough Resilience Forum so that plans are in place to ensure the safety of our residents. The Major Emergency Plan has been rewritten and EMS is recruiting more Emergency Response Officer and has implemented a training programme for Gold (strategic) and Silver (tactical) officers to ensure the council has effective command and control processes in place before the 29<sup>th</sup> of March.

## **VIII) Local Economy, Businesses and Financial Impacts**

The direct effect of a poor outcome from Brexit is dependent upon which sectors are affected. Any hindrance to movement of goods will in the first instance effect factories and warehouses and eventually move to shops and small enterprises.

If importing and exporting of goods is not affected by Brexit but the country enters a recession, Business Rates collection in the first year is not likely to

drastically reduce. This is because effect on bigger companies will take longer to materialise. However dependent upon how deep and long the recession is we would start to see effects on the larger businesses which if this led to closures will have a significant effect on collection. Should this manifest and growth stall this would be a significant risk to the business rate pilot scheme.

Fluctuations in the pound may further impact on businesses either based in the borough or with stores in the borough and lead to price rises or declines in profits. Further to this economic instability may lead to uncertainty in the housing and building market which may impact on the councils housing and regeneration schemes. Regeneration colleagues report that they are already seeing the impact of uncertainty on private investment decisions which are being put on hold prior to clarity on the nature of Brexit.

To support local businesses the Council has been updating the council website to signpost them to the Governments advice papers on preparations for a no deal Brexit. There have also been direct communications to the 10,000 businesses on the council's contact data base and through the business rates demand letter.

The knock-on effect upon the borough in terms of an increase in unemployment could result in increased applications for Universal Credit, rent and Council Tax arrears. This could put additional pressure upon the service to provide a collection service as contact and action to recovery unpaid debts increases. There is therefore the potential in the medium term for increased pressure on the council's budget, although it is too early to quantify this. In addition, as has been seen on a number of occasions, the government may introduce national reduction schemes. These schemes are often put together quickly and often result in additional work for the service.

The cost of living could be exacerbated by Brexit. According to a JRF report, 'How could Brexit affect poverty in the UK?', the cost of living for the average UK household has increased by over £400 a year since the EU referendum (through the fall in sterling and rise in interest rates). The report suggests real wages would fall by 1.0% in the event of 'no-deal', with prices rising by up to 3%, increasing vulnerability to debt and homelessness. This could increase the need for homelessness prevention, budgeting support, discretionary housing payments, as well as temporary accommodation. It could also increase the amount rent and council tax arrears.

The West London Economic Prosperity Board published a Brexit Analysis<sup>5</sup> on west London Boroughs. They identified the following macro-economic issues for West London Boroughs.

**Exposure to EU markets:** Approximately 26% of London's businesses export to the EU (£120.8bn of goods and services in 2014) and 19% import from the EU across all sectors. Different local authorities have various levels of exposure throughout their organisational supply chains.

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<sup>5</sup> [West London Prosperity Board – Brexit Analysis](#)

**Business relocation:** Relocation of businesses outside of the UK is a potential issue, particularly for sectors and businesses with significant international connections. It should be noted that not every business relocating abroad will be doing so for Brexit-related reasons.

**Exchange Rate Impacts:** A prolonged weakness in the pound will have significant structural impacts in the medium and longer term, in particular reducing income growth and demand for goods and services (per-capita, via rising prices). This will make importing more difficult for companies with international supply chains or customer markets, but also make the exporting of goods and services more competitive as exported goods become cheaper for foreign customers, particularly in the shorter term.

**Private Investment:** Many private investment decisions have been on hold since the referendum, with investment falling even lower over the last quarter, potentially resulting in a lower long-term rate of growth becoming hard-wired into the structure of the economy. A harder or uncertain Brexit is likely to prolong this period as some private firms will feel that they are less likely to generate a viable return on that investment. There is a risk that investments intended for the UK are made abroad if longer-term expectations about return on investment do not improve. This has implications for employment levels, living standards, and future competitiveness. It also suggests that interventions aimed at boosting investor confidence and reducing uncertainty may be particularly important.

**Business continuity:** Impact on businesses that remain, which are potentially numerous and relate mainly to labour force dependence on EU workers, new trade barriers, and exchange rate effects on competitiveness

## **IX) Communications**

The council as a leader of place has a key role to play and communications are central to this. The council's communication plan sets out how we are keeping our staff, residents and businesses informed about what they need to do to prepare for Brexit. We will continue to inform people about the plans the council has in place, and how and how we will seek to influence key decision-makers to work to limit any negative impacts of their decisions on the borough. The council's objectives are to:

1. Ensure that all our key audiences are aware of any action they need to take and where to get help if required.
2. Reassure residents that the council has plans in place for Brexit, based on the information available.
3. Ensure residents and staff who are EU citizens know they are welcome in Ealing and that the council values them and wants them to stay.
4. Keep staff informed of our plans, and ensure they know what they need to do to support those plans.

5. Support community cohesion by identifying and explaining the contribution to the life of the borough that EU residents and businesses bring.

A dedicated web page on the council's website has been created. [www.ealing.gov.uk/Brexit](http://www.ealing.gov.uk/Brexit) It holds current and relevant information for both EU residents and businesses. In addition, we are continuing to use our range of communication channels including:

- social media to promote awareness and community cohesion messaging.
- Around Ealing and Ealing News Extra.
- Council Tax and Business Rate bill letters
- the council's business e-newsletter which goes to approximately 10,000 Ealing businesses
- Intranet, Inside Ealing and other staff channels

#### **4. Financial, Resource and Property Implications**

The council is expected to receive £0.210m to support appropriate contingency planning for exiting the EU. £0.105m will be received in 18/19 and the same in 19/20 as per letter Ministry of Housing, Communities and Local Government (MHCLG) letter dated 28<sup>th</sup> January, 2019.

It must be noted that the actual cost of the UK leaving the EU with or without a deal and its direct impact on Ealing is unknown. The true impact will not be known till sometime in the future.

It is also worth noting the important points raised by the JRF report which could potentially have financial implications (homelessness prevention, budget support, discretionary housing payments, increase in rent and council tax arrears) for the Council – (i) exacerbated cost of living affecting households; (ii) possible fall in real wages by 1%; (iii) price increases by up to 3% increasing vulnerability to debt and homelessness.

#### **5. Legal**

As set out in the report, the effect of Section 1 of the European Union (Withdrawal) Act 2018 is that the European Communities Act 1972 will be repealed on “exit day”, defined as 11pm on 29th March 2019, and the United Kingdom will cease to be a member of the European Union on that date.

The 2018 Act provides for legal continuity – that is that EU derived domestic legislation, and EU legislation that has direct effect in domestic law, will continue in effect after exit day, subject to amendments reflecting that EU bodies will no longer have jurisdiction in the United Kingdom.

These provisions of the 2018 Act will take effect (unless repealed or amended) whether or not the UK and the EU agree the terms of a withdrawal agreement.

## **6. Value for Money**

We will need to carefully monitor the impact of any changes on our services and budgets, particularly if supply chain costs increase e.g. materials and fuels.

## **7. Sustainability Impact Appraisal**

We will need to carefully monitor the impact of Brexit on sustainability.

## **8. Risk Management**

Exiting the EU is on the council's corporate risk register and continues to be monitored internally and the risk register.

## **9. Links to Strategic Objectives**

There is no direct link to the council's corporate priorities however, maintaining community cohesion through any period of change will be a priority for the council.

## **10. Human Rights, Equalities and Community Cohesion**

The Human Rights Act was passed into UK law in 1998. The human rights contained within this law are based on the articles of the European Convention on Human Rights. The Act 'gives further effect' to rights and freedoms guaranteed under the European Convention. It is unclear which EU-origin laws and regulation that the UK will continue to adhere to or seek to repeal.

Many areas saw a rise in reported hate crime immediately following the referendum result and there is evidence, collected both locally as well as regionally and nationally that shows different European communities expressing concern about the future. In the lead up to and following the date of the exit of the UK from the EU there could be implications for community cohesion within the borough and the potential for an increase in instances of hate crime.

## **11. Staffing/Workforce and Accommodation implications**

The report outlines the support to staff affected.

## **12. Property and Assets**

None.

## **13. Any other implications**

None

## 14. Consultation

Name of consultee	Post held	Date sent to consultee	Date response received	Comments appear in paragraph:
<b>Internal</b>				
Kieran Read	Director	18/02/2019	19/02/2019	3. Key Implications
Helen Harris	Director, Legal Services	20/02/2019	22/02/2019	5. Legal
Charles Cato	Finance Officer	25/02/2019	26/02/2019	4. Financial
Julian Bell	Leader / Cabinet Member for:	27/02/2019	28/02/2019	3. Key Implications

## 15. Timetable for Implementation

See appendix 1 – Ealing Council Brexit Action Plan.

## 16. Appendices

- Appendix 1 - Ealing Council Brexit Action Plan.
- Appendix 2 – Secretary of State for Housing Communities and Local Government – Brexit Checklist

## 17. Background Information

- <https://www.gov.uk/settled-status-eu-citizens-families/eligibility>
- <https://www.instituteforgovernment.org.uk/sites/default/files/publications/brexit-two-months-to-go-final-web.pdf>
- <https://www.local.gov.uk/topics/european-and-international/brexit>
- [www.ealing.gov.uk/brexit](http://www.ealing.gov.uk/brexit)



### Ealing Council No Deal Brexit preparedness - Action Plan

**Aim:**

To assemble a clear and concise the no-deal Brexit Preparedness Action Plan containing the latest information available, details of ownership, and deadlines for implementation.

**Scope:**

The London Resilience Partnership, along with London Councils are considering the local and regional impact from exiting the European Union (known as BREXIT). Brexit, particularly a ‘no-deal’ scenario, has the potential to exacerbate existing challenges faced by local government.

This document will be updated as actions are completed, and new priorities identified. The document will also be changed should a deal on leaving the EU be agreed by Parliament.

**Key:**

RED	Incomplete
Amber	In Progress
Green	Complete

### Action Plan

Action	Expected outcome	Status	By when?	By who?	RAG
<b>ACTION 1:</b> Nominate a lead Brexit Officer	To prepare the council for the possible impacts of No Deal Brexit.	<ul style="list-style-type: none"> <li>A strategic lead for Brexit has been identified. This is Kieran Read (Director of Strategy &amp; Engagement)</li> <li>A tactical lead has been identified this is Stuart Turner (Head of EMS)</li> </ul>	January 2019	Director of Strategy & Engagement Strategic Brexit Lead  Head of EMS Tactical Brexit Lead	Complete
	To support the London wide assessment of Brexit to provide regular reports to MHCLG.	<ul style="list-style-type: none"> <li>Weekly reporting has commenced on the 06/02/2019, this is likely to</li> </ul>	April 2019	EMS	Complete – On going

		progress to the end of April 2019. We are also engaged in the weekly London Brexit teleconferences.			
<b>ACTION 2:</b> Establish a Coordination and Information Sharing group	To provide oversight and assurance of Ealing’s preparedness including continuity of critical services during the run up to and period preceding Brexit. <ul style="list-style-type: none"> <li>- Better understand the likely impacts on services of Brexit including a no-deal Brexit.</li> <li>- To maintain oversight of preparedness.</li> <li>- To monitor and report on issues experienced by services during the run up to and the period after Brexit on the 29<sup>th</sup> of March 2019.</li> </ul>	<ul style="list-style-type: none"> <li>• The Ealing Brexit Preparedness Planning Group has been established.</li> <li>• First meeting was held in January 2019 and it will continue to monitor the situation until end of April</li> </ul>	March 2019	EMS - secretariat <b>Membership</b> The group will consist of representatives from multiple services	Complete
<b>ACTION 3:</b> Communications	To create a communications plan to ensure that we are keeping our staff, residents and businesses informed about what they need to do to prepare for Brexit.	<ul style="list-style-type: none"> <li>• Draft communications plan has been created.</li> <li>• Dedicated website has been created</li> <li>• Social media messages have been issued</li> <li>• Brexit articles have appeared in the Around Ealing and Ealing News Extra</li> </ul>	March 2019	Communications	

		<ul style="list-style-type: none"> <li>• A news item was carried in the council's business e-newsletter.</li> <li>• The council's communications team has also liaised with colleagues at London Councils regarding regional communication activity and planning for co-ordinated regional messaging.</li> </ul>			
<b>ACTION 4:</b> Risk/Impact Assessment	To add Brexit to the corporate risk register.	<ul style="list-style-type: none"> <li>• The Corporate Risk Register has been updated to include Exiting the EU as a strategic risk.</li> </ul>	January 2019	Audit and risk	Complete
	To create a Council Brexit specific risk register	<ul style="list-style-type: none"> <li>• Work to complete the council specific risk register is ongoing.</li> </ul>	First week March	Audit and risk / EMS	In Progress
<b>ACTION 5:</b> Health and Social Care	Impact assessment on Health and Social Care, based on Department of Health and Social Care and NHS guidance.	<ul style="list-style-type: none"> <li>• Work with Public Health to ensure preparedness has begun.</li> <li>• Briefing has been held with the director of PH</li> </ul>	January 2019	PH/EMS	Complete
	Steps to focus on communications, assurance on provider supply chains along with workforce, medicines and data availability.	<ul style="list-style-type: none"> <li>• Liaison work has begun with the CCG to ensure preparedness.</li> <li>• Highest risk identified is related to the availability of medicines in the event of a no deal Brexit.</li> </ul>	March 2019	CCG/EMS	In Progress

		<ul style="list-style-type: none"> <li>• The supply of medicines and vaccines is being dealt with on a national basis.</li> <li>• A letter dated 7 Dec 2018 from the Rt Hon Matt Hancock MP Secretary of State for Health and Social Care, Hospitals, GPs and community pharmacies throughout the UK have been instructed that they do not need to take any steps to stockpile additional medicines, beyond their business as usual stock levels. There is also no need for clinicians to write longer NHS prescriptions.</li> <li>• They are undertaking local EU Exit readiness planning.</li> <li>• Implementing the recommendations from the EU Exit Operational Readiness Guidance, developed and agreed with NHS England.</li> </ul>			
		<ul style="list-style-type: none"> <li>• Assurance has been provided by the NHS to ensure that they are preparing for the impacts of Brexit through the</li> </ul>	March 2019	EMS	In Progress

		Borough Resilience Forum and the Health Protection Forum.			
		<ul style="list-style-type: none"> <li>• Social Services are engaged with reporting on staffing and supply chain issues.</li> <li>• Representation on the Brexit Preparedness group has been established.</li> <li>• Brexit preparations has been discussed at Senior Leadership Team.</li> </ul>	March 2019	SS	In Progress
<b>ACTION 6:</b> Business Continuity Management (BCM) Programme 2019	<p>Delivery of a full corporate Business Continuity Management (BCM) programme ensuring services have updated their BCM plans and identified critical services have completed their business impact assessment (BIA) with consideration to:</p> <ul style="list-style-type: none"> <li>- Impact on staffing, recruitment and retention.</li> <li>- Impact on the supply chain (internal and procured).</li> </ul> <p><b>To Note:</b> BCM is designed to ensure services can maintain operations in the event of short term disruptive events until normal services can be resumed.</p>	<ul style="list-style-type: none"> <li>• Business continuity programme has been completed for 2018/19</li> </ul>	January 2019	EMS	Complete
<b>ACTION 7:</b> EU Workforce Review	Human Resources undertake a review on current workforce to understand the level of EU	<ul style="list-style-type: none"> <li>• This review is complete.</li> <li>• Council employs 127 EU nationals.</li> </ul>	March 2019	HR	Complete

	nationals employed by the Council, including the impact on staffing, recruitment and retention	<ul style="list-style-type: none"> <li>Current contractor worker force less than 5% are EU workers</li> </ul>			
<b>ACTION 8:</b> EU Settlement Scheme	Identify and Implement processes to support EU national staff with the settlement scheme.	<ul style="list-style-type: none"> <li>Process to support staff is completed. Staff are signposted to advice on the Settlement Scheme on the appropriate Government websites.</li> </ul>	March 2019	HR	Complete
	Provide advice and guidance to residents on settlement scheme	<ul style="list-style-type: none"> <li>Council will be offering the optional assisted digital service on a chargeable basis. Communications will raise awareness of the need for EU nationals to apply for settled status.</li> </ul>	March 2019	Registrars service	In Progress
	Make applications where LBE is corporate parent of EU national children.	<ul style="list-style-type: none"> <li>Process under development.</li> </ul>	March 2019	Children’s Social Care	In Progress
<b>ACTION 9:</b> Supply Chain Resilience Review	To ensure service providers or suppliers can continue service delivery in Ealing in the event of a no deal Brexit	<ul style="list-style-type: none"> <li>Review of service providers and suppliers to understand the level of risk to our service delivery in Ealing and to ensure appropriate supply chain resilience measures are in place is currently underway.</li> </ul>	March 2019	EMS/Procurement	In Progress



<p><b>ACTION 10:</b> Community Cohesion</p>	<p>To manage community tensions and support EU residents, particularly those in hard to reach and vulnerable groups, with information and support around changes to their status and how to secure their rights under the settlement scheme.</p>	<ul style="list-style-type: none"> <li>• Prevent team has established means to monitor and address community tensions.</li> <li>• Prevent team have links in with Communications</li> </ul>	<p>March 2019</p>	<p>Prevent</p>	<p>In Progress</p>
<p><b>ACTION 11:</b> Elections Planning</p>	<p>To ensure Elections contingency planning is taking place</p>	<ul style="list-style-type: none"> <li>• Planning to include a range of potential eventualities, including a snap General Election, Second EU Membership Referendum or a People’s Vote.</li> </ul>	<p>March 2019</p>	<p>Elections</p>	<p>In Progress</p>
<p><b>ACTION 12:</b> Contingency Planning</p>	<p>To update our Multi-Agency Fuel Disruption, Animal Diseases, and other Contingency plan where appropriate.</p>	<ul style="list-style-type: none"> <li>• Complete review of plans</li> <li>• Engage without multi-agency partners and neighbouring councils.</li> <li>• First BRF teleconference on Brexit will take place on the 11<sup>th</sup> of March.</li> </ul>	<p>March 2019</p>	<p>EMS</p>	<p>In Progress</p>
<p><b>ACTION 13:</b> Legislation</p>	<p>There are several pieces of EU legislation that relate to the way the Council delivers its services. If any of this legislation changes, then it would be likely to affect Council services (or our providers) including:</p> <ul style="list-style-type: none"> <li>- energy efficiency</li> <li>- waste collection and disposal</li> <li>- trading standards</li> <li>- procurement</li> <li>- employment laws (working time</li> </ul>	<ul style="list-style-type: none"> <li>• Legal and democratic services to ensure that legislation changes are understood and implemented.</li> </ul>	<p>On-going</p>	<p>Legal Democratic Services</p>	<p>In Progress</p>

	directive changes) - equalities - transport - health and safety - GDPR - data transfer				
<b>Action 14:</b> Local Business	To provide advice and guidance to businesses to assist with Brexit preparations.	<ul style="list-style-type: none"> <li>• Comms have updated website with links to the latest Brexit advice for business.</li> <li>• A news item on Brexit was carried in the council's business e-newsletter which goes to approximately 10,000 Ealing businesses.</li> </ul>	Ongoing	Comms/RS/Regen	In Progress
<b>Action 15:</b> Regulatory services	To review the impacts on regulatory services	<ul style="list-style-type: none"> <li>• Review impacts on exports and imports.</li> <li>• Review impact to access to databases is on-going as that</li> </ul>	Ongoing	RS	In Progress
<b>Action 16:</b> Financial impacts	To maintain an overview of the costs incurred by council services associated with preparations for Brexit	<ul style="list-style-type: none"> <li>• Brexit Preparedness group have been asked to submit details of any costs that they will/have incurred in response to planning for Brexit.</li> </ul>	Ongoing	EMS/All	In Progress

## Annex: Local authority Preparedness Check List

As leaders of place and with responsibility for local authority services, council leaders and their senior colleagues will want to assure themselves that their council has undertaken the necessary EU Exit impact assessments, relevant planning and prepared appropriate mitigation plans in relation to the following areas:

1. **All the statutory services for which the council is responsible.** These will include, but are not limited to social care, child protection, education, environmental, leisure and community services.

Members will want to be assured that the council has undertaken an assessment of the proportion of EU nationals in its workforce and/or those employed in commissioned services and in relevant supply chains. If there is a significant risk, have members been assured that communications channels are in place to support and advise members of the workforce and that contingency plans are in place.

2. **All regulatory services for which the council is responsible.** These include, but are not limited to environmental health, trading standards and port health authority responsibilities.

Members will want to be assured that the council has undertaken an assessment to consider the potential impact on your regulatory services, including putting contingency plans in place to respond to increased demand.

3. **The impact (direct or indirect) of any Border areas** (air or sea ports) either in your council boundary or within neighbouring/regional boundaries, which may have an impact on your council's:

- Local infrastructure;
- Delivery of statutory services;
- Availability of essential supplies; or
- The most vulnerable in your community.

Members will want to be assured that the council has considered whether it will face either direct or indirect impacts on the provision of essential services if there are delays at the border. Assessments should include a consideration of potential transport flows, impacts on local infrastructure and the potential impact on the delivery of key supplies that maintain council services (whether in house or outsourced), be they care homes, schools or waste plants for example.

4. **The impact on supply chains;** both those managed directly by the council and those indirect contracts managed through commissioned services.

Members will want assurances that their council and any strategic delivery partners that they have impact assessed their supply chain and that appropriate mitigation plans are in place in order to assure the council of their preparedness for any identified risk to the delivery of statutory services. Tested channels of communication should also be established with outsourced and commissioned services to ensure timely flow of information.

5. **Data handling** both in terms of the corporate ICT and data housing that the council uses for its own services, as well as the data handling for any outsourced services. Councils must make sure they are clear about where their data is held.

Members will want to ensure that their council have undertaken necessary assessments and that business continuity plans in place for all ICT and data handling that impacts the council. In the event that any of the council's data is hosted in the EU, the council will want to be assured that contingencies are in place considering the potential impact of a 'no deal' scenario hindering the flow of information across the EU border. The ICO have published guidance on this that councils should follow, and my officials will shortly be writing to your officers on this matter.

6. **Local partnership working** with key statutory and strategic partners, including the Health and Wellbeing Board, Crime Reduction or Community Safety Partnership, Local Economic Partnership and Local Resilience Forums.

As leaders of place, the local authority will want to ensure that all local partners and local partnerships are effectively planning and considering mitigations for EU Exit. Members will want to seek assurance that partnership plans have been agreed and tested; for example, in order to support and protect the most vulnerable and at risk and plan in relation to managing any increased community tensions or hate crime.

7. **Communications.** Appropriate plans and activity to provide community assurance and provision of timely information.

The UK's exit from the EU has created significant tensions as well as mis-information and/or expectations in communities. As the leader of place, members of the council may have an important role to play in providing:

- Timely updates for example in relation to promoting the UK Settled Status Scheme or advice to local businesses;
- Clarity of information to respond to any risks of potential heightened community tensions; and
- Community assurance as trusted leaders and sources of information and advice.

Members will therefore want to be assured that their council has prepared and tested communications channels with its ward councillors, statutory partners and all its community leaders/groups. Members will also want to be assured that the council is prepared for a potential impact on town halls and other community facing front of office desks, with increased community demand for information.

In addition, national government will be providing regular updates through a number of channels; including a series of new regional hubs led by a local authority chief executive from each of the regions across the country. Members should assure themselves that their chief executive is in contact with their regional colleagues who are leading these hubs, as these individuals will provide an opportunity to not only to provide Government updates, but also to escalate any concerns or developing issues back into Whitehall.

<b>Contains Confidential or Exempt Information</b>	<b>NO</b>
<b>Title</b>	<b>Road and Footway Infrastructure Improvement Programme 2019/20</b>
<b>Responsible Officer(s)</b>	Tony Clements, Executive Director of Place
<b>Author(s)</b>	Tony Singh, Head of Highways
<b>Portfolio(s)</b>	Leader of the Council and Cabinet Member for Regeneration & Transport, Cllr Julian Bell and Environment & Highways Cllr Mik Sabiers
<b>For Consideration By</b>	<b>Cabinet</b>
<b>Date to be considered</b>	19 March 2019
<b>Implementation Date if Not Called In</b>	1 April 2019
<b>Affected Wards</b>	All
<b>Area Committees</b>	All
<b>Keywords/Index</b>	Investment Highway Maintenance, West Ealing Liveable Neighbourhood programme, Active Travel, Air Quality, Cycling, Elizabeth Line/Crossrail, Local Implementation Plan (LIP), Public Realm/Placemaking, Road Safety, S106 allocations, Sustainable Transport, School Travel, Traffic, Walking

**Purpose of Report:**

This report gives information about the annual condition assessment of footways and carriageways carried out resulting in the prioritised listing of Roads and Footway for subsequent Infrastructure Improvement works and seeks approval for the programme of works.

This report also gives information about, and seeks approval for, development, design and Phase 1 delivery of the West Ealing Liveable Neighbourhood (WELN) programme.

This report also sets out the Council's Transport Programme and seeks approval for programmes of transport projects for 2019/20 including:

- Transport Strategy Local Implementation Plan (LIP) and other Transport for London (TfL) grant funded programmes to be delivered during 2019/20 (Appendix 4),
- Transport projects programme funded by S106 contributions (Table 7),
- School safety schemes funded by schools expansion programme (Appendix 5)

**1. Recommendations**

It is recommended that Cabinet:

- 1.1 Approves the allocation of existing **£3.500m** LBE approved capital budget, currently in the capital programme, for the 2019/20 Footway and Carriageway improvement programme, as outlined in Table 1 and Section 16 of the report and detailed in Appendices 1 and 2.
- 1.2 Authorises the Director of Environment to carry out the infrastructure improvement works in accordance with the approved programme subject to consideration of responses to any statutory consultation required.
- 1.3 Authorises the Director of Environment following consultation with the Portfolio holder for Environment and Highways, to amend the programme within available funding should any of the proposed projects not be possible to be implemented.
- 1.4 Approves the addition of **£0.556m** 2018/19 external capital grant funding received from the Department for Transport (DfT) for infrastructure development, to the service 2018-19 Environment Pothole Funding Repair programme budget. This is in addition to funding approved as per the cabinet report of 12 December 2017, taking the total approval for 2018-19 to £4.056m, as shown in Table 1.
- 1.5 Approves the addition and allocation of total 2019/20 funding from TfL/GLA and S106 of **£2.297m** to the capital budget in 2019/20, for the development, design and Phase 1 delivery of the West Ealing Liveable Neighbourhood (WELN) programme, as shown in Table 2.
- 1.6 Delegates authority to the Director of Environment to implement Phase 1 of the WELN programme (as outlined in paragraph 3.6 and Appendix 3 below) subject to TfL funding approvals and any statutory consultation required
- 1.7 Authorises the Director of Environment following consultation with the Portfolio holder for Environment and Highways, to amend the WELN programme within available funding should any of the proposed projects not be possible to be implemented.
- 1.8 Approves the Transport Strategy LIP and other TfL grant funded 2019/20 projects and programmes (including the Local Transport Funding allocation) as summarised in section 3 and as shown in Appendix 4.
- 1.9 Delegates authority to the Director, Regeneration and Planning to take the necessary steps to implement the schemes identified in the 2019/20 LIP programme and also those 2019/20 schemes funded through S106 monies of **£3.622m** (see Table 7) as set out in the S106 Cabinet report to the same meeting (19 March 2019), and the 2019/20 school expansion schemes as set out in Appendix 5 of **£0.386m** following consultation with the Executive Director of Place and the Portfolio Holder for Transport, subject to relevant detailed design and approvals and the outcome of any statutory consultation that may be required.
- 1.10 Note the receipt of S106 funding of **£0.621m** (per Table 7) to be applied to highways/transport projects and authorises S106 funded 2019/20 additions of

- **£0.548m** capital budget to the Highways S106 Works Capital Programme
- **£0.073m** revenue expenditure to the Built Environment Programme, as shown in the S106 report on the agenda of the same Cabinet meeting (19 March 2019) as this report

- 1.11 Delegates authority to the Executive Director of Place, following consultation with the Portfolio Holder for Transport, to amend the S106 and Schools expansion programmes within available funding as necessary should the scope of any of the proposed projects change during design development and consultation, or delivery be delayed and where the terms of any relevant s106 permit this.
- 1.12 Approves the allocation of **£0.967m** LBE Transport Programme budget in 2019/20 comprising £0.050m from Council Capital Funding (High Streets) capital and £0.917m from Council Revenue Funding (Parking Income) as shown in Table 7. The parking income is for the use of sustainable transport such as cycling and walking.

## 2. Reason for Decision and Options Considered

- 2.1 To allow the Council's 2019/20 Infrastructure Renewal Programme to commence and to ensure the effective management of the Council's capital budget
- 2.2 To allow the development, detailed design and delivery of Phase 1 of the WELN Programme to commence
- 2.3 The Ealing Local Implementation Plan (LIP) 2019-22 sets out the transport strategy for Ealing Council and provides the rationale for the Council spending decisions. The Council's transport priorities are to encourage sustainable travel for social (including health), environmental (including air quality) and economic (regeneration) reasons and the LIP reflects this policy direction.

The LIP covers several of the statutory duties that the Council is required to fulfil, including road safety and road network management (covering asset liability), plus areas such as planning, public health, equality, crime and disorder.

The LIP is required to be consistent with the Mayor's Transport Strategy together with other emerging strategic transport initiatives across London and will implement these at the local level. Ealing is also the lead borough in the West Trans Sub-Regional Partnership of six boroughs.

## 3. Key Implications

- 3.1 This report sets out the funding available in the budget for footways and carriageway renewals, the methodology for the technical assessment and also proposals for the allocation of these funds.



- 3.2 It should be noted that funding for highway maintenance is both revenue and capital; the table below sets out the capital funding that has been allocated for this area of work. It should also be noted that funding from TfL can only be used on Principal Roads and cannot therefore be allocated against any of the schemes listed in the attached Appendices 1 and 2. However, there is no grant funding award from TfL for 2019/20.
- 3.3 There has been additional Department for Transport (DfT) Pothole Funding for 2018/19. The DfT recognise the problems caused by lack of investment by them in to carriageway infrastructure renewal which leads to worsening problems each year when severe weather causes potholes to open up. It set aside funds available for London Boroughs and County Councils to bid for and spend on the highway network to carry out additional carriageway and pothole repairs. Ealing Council was successful in its bid for additional funding and the DfT confirmed an allocation of £0.556m for additional 2018/19 maintenance to carry out pothole repairs. Locations will range from minor potholes to large patches a maximum of 50m in length.
- 3.4 The DfT requires transparency to show details of spend, and the funding must be spent in 2018/19 and complement the existing budget provision. The award includes a requirement for Ealing Council to publish details of works completed on its website, including before and after details.
- 3.5 The funding from the DfT will be claimed via the Transport for London Portal (TfL) and TfL will administer distribution of funding to London Boroughs. Any financial implications will be managed within existing Highways budgets.

**Table 1: Capital Budgets for Road and Footway Infrastructure Improvement**

Financial Year	Capital			
	TfL £m	DfT £m	LBE £m	Total £m
2018/19		0.556 <sup>a</sup>	3.500 <sup>b</sup>	4.056
2019/20	~	~	3.500 <sup>c</sup>	3.500

a. Confirmed funding from DfT

b. Confirmed approval as per cabinet report of 12 December, 2017

c. Funding currently in the capital programme for 2019-20

**Table 2. Additional externally funded budgets for WELN for 2019-20 requiring approval for addition**

Financial Year	Capital		
	TfL/GLA £m	S106 £m	Total £m
2019/20	2.047	0.250	2.297

- 3.6 The WELN programme will deliver a wide range of highway infrastructure, public realm and behaviour change projects in line with TfL's Healthy Streets initiatives, with the objective of helping residents to live more active and

healthy lives and choose active travel modes including walking and cycling. TfL awarded funding of £0.418m (details shown in Table 3 below) in 2018/19 for the feasibility study which has been completed and submitted to TfL for approval for further funding for further development and detailed design, and for delivery of Phase 1 (per Appendix 3).

**Table 3: Detailed Capital Budgets for WELN**

<b>Financial Impact (Outturn £k)</b>	<b>2018/19 £m</b>	<b>2019/20 £m</b>	<b>2020/21 £m</b>	<b>Total £m</b>
Project Management & Fees	0.035	0.085	0.135	0.255
Feasibility Design	0.195	0.000	0.000	0.195
Concept Design	0.000	0.375	0.049	0.425
Detailed Design	0.000	0.188	0.024	0.212
<b>Subtotal – Design &amp; Fees</b>	<b>0.230</b>	<b>0.649</b>	<b>0.209</b>	<b>1.087</b>
Construction	0.043	1.263	5.090	6.397
Other – 3 <sup>rd</sup> party e.g. Traffic signals	0.007	0.000	0.000	0.008
Other – e.g. Traffic Orders, CPO	0.007	0.010	0.020	0.037
Behaviour change initiatives	0.095	0.150	0.210	0.455
<b>Subtotal – Implementation</b>	<b>0.153</b>	<b>1.424</b>	<b>5.320</b>	<b>6.897</b>
Monitoring data collection (before & after)	0.035	0.000	0.015	0.050
<b>Sub Total – Monitoring</b>	<b>0.035</b>	<b>0.000</b>	<b>0.015</b>	<b>0.050</b>
<b>Estimated Base cost</b>	<b>0.418</b>	<b>2.072</b>	<b>5.544</b>	<b>8.034</b>
Contingency	0	0.225	0.579	0.803
<b>TOTAL</b>	<b>0.418</b>	<b>2.297</b>	<b>6.123</b>	<b>8.837</b>

#### 4. Revenue Funded Maintenance

- 4.1 In addition to the annual condition survey, safety inspections of footways and carriageways are regularly carried out (currently every month for main roads and every three months for other roads which are the responsibility of the London Borough of Ealing). At these safety inspections note is taken of specific defects meeting well-defined criteria (e.g. depth of hole, height of trip etc.) and such reactive works are ordered to rectify the noted defect.

- 4.2 This reactive work arising from the safety inspections could escalate if the deterioration of the highway occurs at a faster rate than repairs or renewals, and when the life of the highway is approaching or indeed past the designed or expected life. Reactive patch and repair work, which is funded from revenue budgets, is intended to maintain the highway in a safe condition, seldom do reactive works extend the life of the road before other patch repairs are required.

## 5. Capital Funded Renewal

- 5.1 It is now generally accepted that major items of infrastructure require regular investment and renewal to ensure sustainable availability and to avoid unplanned failure with unforeseen major expenditure. Both footways and carriageways form part of the nation's major infrastructure, all of which needs such regular renewal through appropriate investment.
- 5.2 To enable effective targeting of such investment a condition survey of footways and carriageways throughout the Borough is carried out each year. This survey objectively assesses each section of road (generally junction to junction, such that a long length of the same road e.g. Park Royal Road is separated and assessed in several sections) by site surveyors, observing, measuring and noting defects in the footways and carriageways of each section of road a 'defect value' is calculated. A sample audit of the surveyors' findings is also carried out.
- 5.3 Appendices 1 and 2 show the 'condition rating' for the worst condition (highest defect value) in the most recent Condition Survey undertaken in 2018/19 for sections of non-principal footways and carriageways (non 'A' class roads). This prioritised listing of the condition of footways and carriageways is carried out by an independent specialist surveyor and passed to the London Borough of Ealing. Together with this prioritised listing indicative costs and proposed type of renewal work for each section of road are prepared. The Council is then able to decide (within the priorities and available budgets) on which sections of roads should receive renewal works. **The carriageways in Appendix 1 highlighted in bold are roads with a high condition which need to be re-sealed to prevent water ingress and structural failure of the foundation. The existing surface has reached the end of its life.**
- 5.4 In selecting the type of work required for carriageways the most sustainable solution has been chosen. The work will involve renewal of whole lengths or large sections of roads to bring them up to the required standard.
- 5.5 **Appendices 1 and 2 show the prioritised listing in order of condition rating** of non-principal road carriageways and footways together with the proposed highway renewal schemes. The schemes to be actually carried out will be those at the top of the list (i.e. having the 'worst' condition rating). In order to ensure that there is no conflict between any proposed highway investment schemes and potential statutory undertaker works some proposed schemes might have to be deferred and replaced with the next scheme in the list. Any scheme deferred will be carried out at the first subsequent opportunity.

5.6 The ride quality of the roads is essential for cycles. An uneven road surface causes discomfort and hazards for cyclists which discourages the use of certain routes or cycling altogether. Therefore, this programme will enhance the ride quality for cyclists. This is particularly important for Ealing who are working towards becoming an exemplary cycling borough.

## 6. Financial Implications

6.1 The approved capital budget includes a LBE allocation of £3.500m to fund road carriageway and footway re-surfacing in 2019/20. The funding is part of the current capital programme for 2019-20. The £3.500m is to be allocated as detailed in Table 4 below:

**Table 4. Road Carriageway and Footway Re-Surfacing**

<b>Project</b>	<b>Budget £m</b>
Proposed <b>carriageway resurfacing</b> works <b>prioritised in order of condition rating</b>	3.000
Proposed <b>footway renewal</b> works to <b>prioritised in order of condition rating</b>	0.500
<b>Total</b>	<b>3.500</b>

6.2 For the second year running, TfL has not provided any funding to maintain the Principal Road network. Trunk roads within the borough will continue to deteriorate further until TfL provides funding. Therefore, to date no bid has been submitted to TfL for a grant by London Borough of Ealing to carry out re-surfacing works on the Council's Principal Roads, as shown in table 5 below.

**Table 5. Principal Road Renewal Works**

<b>Capital Programme Addition</b>	<b>Funding Type</b>	<b>Year 1 2019/20</b>	<b>Year 2 2020/21</b>	<b>Year 3 2022/23</b>	<b>TOTAL</b>
		<b>Capital £m</b>	<b>Capital £m</b>	<b>Capital £m</b>	<b>Capital £m</b>
Principal Road Renewal Works	Grant	0.000	-	-	0.000

6.3. The WELN programme is to be funded by TfL plus Ealing match funding as shown in Table 6 below.

**Table 6. WELN Programme**

Funding Source (Outturn £k)	Spend to date	2019/20 £m	2020/21 £m	TOTAL £m
TfL Liveable Neighbourhoods	0.268	1.567	4.800	6.635
TfL LIP - Corridors and Neighbourhoods (C1C)*	0.150	0.150	0.100	0.400
<b>Subtotal – TfL Funding</b>	<b>0.418</b>	<b>1.717</b>	<b>4.900</b>	<b>7.035</b>
Council match funding	0.000	0.330	0.960	1.290
s106	0.000	0.250	0.262	0.512
<b>Subtotal – Council Funding</b>	<b>0.000</b>	<b>0.580</b>	<b>1.222</b>	<b>1.802</b>
<b>Total Funding</b>	<b>0.418</b>	<b>2.297</b>	<b>6.122</b>	<b>8.837</b>

\* £0.150 is listed in Appendix 4 under Active Travel

6.4 The WELN programme includes proposals subject to TfL approval to remove the existing east-bound bus lane along West Ealing Broadway so that segregated cycle lanes can be implemented. There will be a reduction in revenue income as a result less bus lane CCTV enforcement PCNs. However, this will be offset by income from enforcement of new traffic regulations such as banned turns.

6.5 The following summary table 7 sets out the funding for both capital and revenue expenditure anticipated for transport projects in 2019/20.

**Table 7. Transport Programme Funding 2019/20**

Transport Funding	Existing Approved Budgets 2019/20 £m			Additional Budget Requested 2019/20 £m			Total 2019/20
	Revenue	Capital	Sub total	Revenue	Capital	Sub total	
TfL grant Funding (includes LIP, LTF, Bus Priority & Quietway Funding)	0	0	0	1.470	1.827	3.297	3.297
HS2 Road Safety Fund	0	0	0	0	0.366	0.366	0.366
Council Capital Funding (High Streets)	0	0	0	0	0.050	0.050	0.050
Council Revenue Funding (Parking Income)	0	0	0	0	0.917	0.917	0.917

Transport S106 contributions Funding	0.400	2.601	3.001	0.073	0.548	0.621	3.622
School Safety Schemes funded by Schools Expansion Programme	0	0	0	0.386	0	0.386	0.386
WELN	0	0	0	0	2.297	2.297	2.297
TfL Crossrail Complementary Measures (CCM) funding	0	0	0	0	4.862	4.862	4.862
<b>Total</b>	<b>0.400</b>	<b>2.601</b>	<b>3.001</b>	<b>1.929</b>	<b>10.867</b>	<b>12.796</b>	<b>15.797</b>

6.6 Following the annual spending submission to TfL, TfL have approved £11.456m of funding for the LIP and other TfL programmes in 2019/20. Funding for detailed design and delivery stages for the Liveable Neighbourhood programme will be released in stages by TfL following successful progress through a number of gateways.

6.7 In addition to the LIP programme other transport schemes are funded through S106 planning obligations. The S106 monies received by the Council are outlined in the S106 Cabinet report to the same meeting (19 March 2019), and shows S106 monies that have already been received and agreed (Total funding £3.001m). It is intended that these schemes be integrated with the LIP programme to provide economies of scale and value for money and Cabinet are requested through this report to approve the addition of **£0.548m** S106 funded expenditure to the capital programme. Table 7.1 below shows the 2019-20 sources of funding and the amounts for the LIP and other transport schemes. It also highlights whether funding requires approval or has previously been approved through the governance process.

**Table 7.1 2019-20 Transport programme sources of funding**

<u>Source of funding</u>	<u>Capital</u>	<u>Revenue</u>	<u>Total</u>
	<u>£m</u>	<u>£m</u>	<u>£m</u>
LBE <sup>1</sup>	0.967	0.000	<b>1.297</b>
HS2 Road Fund	0.366	0.000	<b>0.366</b>
TfL/GLA <sup>1</sup>	8.986	1.470	<b>10.456</b>
S106 <sup>1</sup>	0.548	0.073	<b>0.621</b>
Schools Safety		0.386	0.386
<b>subtotal</b>	<b>10.867</b>	<b>1.929</b>	<b>12.796</b>
S106 <sup>2</sup>	2.601	0.400	<b>3.001</b>
<b>TOTAL</b>	<b>13.468</b>	<b>2.329</b>	<b>15.797</b>

1. Funding for which approval is being sought. TfL/GLA capital funding of £8.986m includes £4.862m for the Crossrail Complementary Measures (CCM) as highlighted in section 6.10 of report. The LBE funding of £0.967m includes £0.917m of Council Revenue Funding (Parking Income) solely



for the use of sustainable transport (walking and cycling only) as contribution towards the Uxbridge Road Cycling Corridor as highlighted in section 6.12 and shown in Table 8.

2. Funding that already been approved and funding is currently being held in s106 account.

- 6.8 The S106 allocation for Transport is part of the wider S106 programme of new and existing commitments totalling £3.622m.
- 6.9 Further funding of **£0.386m** is available from the Education Department 2019/20 capital budget in connection with the school's expansion programme to implement safety and sustainable travel schemes in the vicinity of new and expanded schools as set out in Appendix 5. This funding is already included in the 2019/20 capital programme and the transport contributions have been confirmed. It is intended that these schemes will be integrated with the LIP and S106 programmes where relevant to provide economies of scale and value for money. The funding is from the budget allocations for individual school projects and is a mixture of grants and borrowing.
- 6.10 The Crossrail Complementary Measures (CCM) proposals to improve station access are being progressed as TfL have confirmed that funding will be available to develop schemes at the five Crossrail stations in LB Ealing. The level of funding for 2019/20 has been bid at **£4.862m** to reflect the current Crossrail delivery timetable, but this will be subject to the station build programme and a TfL design review. A separate report on the CCM allocation was reported to Cabinet on 21 April 2015, and the addition of the scheme to the Capital Programme was approved.
- 6.11 The TfL Grant allocations shown in Tables 8 & 9 below are new 2019/20 allocations which were not available in 2018/19 and only formally confirmed recently. The S106 revenue and capital contributions noted are confirmed by the S106 Cabinet report to the same meeting (19 March 2019). Table 8 shows requests to add budgets to 2019/20 capital programmes in E&CS Highways highlighting the LBE match funding of £0.967m (£0.917m from Parking Revenue Income and £0.050m from High Street Capital, currently anticipated to be funded as in prior years by Regeneration & Housing).
- 6.12 Council Revenue Funding (Parking Income) is solely for the use of sustainable transport (walking and cycling only) and the intention is for it to contribute towards the Uxbridge Road Cycling Corridor.

**Table 8. - Request for additions to Capital Programme 2019/20**

<b>Additional Budget requested</b>		
<b>Directorate</b>	<b>Funding Programme</b>	<b>2019/20 £m</b>
Place, Highways	Corridors Neighbourhoods and Supporting Measures	2.600
Place, Highways	Discretionary Funding Totals	0.560
Place, Highways	Crossrail Complementary Measures	4.862

Place, Highways	Highways S106 Works	0.548
Place, Highways	WELN	2.297
Sub total		10.867
<b>Funded by</b>		
Grant (TfL)		8.986
HS2 Road Safety Fund		0.366
Council Capital Funding (High Streets)		0.050
Council Revenue Funding (Parking Income)		0.917
S106		0.548
<b>Total</b>		<b>10.867</b>

**Table 9 - Transport Projects Revenue Expenditure 2019/20**

Revenue	Directorate	Programme	2019/20 £m
LIP/ TfL Grant	Place	Corridors Neighbourhoods and Supporting Measures	1.470
	Place	Transport S106	0.073
		School Safety	0.386
Sub total			1.929
<b>Funded by</b>			
Grant (TfL)			1.470
HS2 Road Safety Fund			0.000
Council Capital Funding (High Streets)			0.000
Council Revenue Funding (Parking Income)			0.000
S106			0.459
<b>Total</b>			<b>1.929</b>

6.13 Notwithstanding the funding allocations above, TfL LIP Guidance allows boroughs to move up to 20% allocation between projects in the Corridors, Neighbourhoods and Supporting Measures programmes. This provides a greater degree of flexibility to meet local priorities and changing circumstances. This flexibility has been applied in developing the proposed programme for 2019/20.

6.14 The Local Transport Funding allocation of £0.100m is discretionary and may be used on transport projects as defined by the Council.

- 6.15 Some projects in Appendix 3 and 4 may include proposals to add, alter or remove traffic management measures such as bus lanes, yellow box junctions plus waiting and loading restrictions which may have revenue implications. Any such revenue implications are not relevant to any traffic management decisions which will be made having regard to the duties set out below.

## **7 Legal**

- 7.1 The Highways Act 1980 places a duty on highway authorities to maintain the highway asset or network and a Code of Practice for Maintenance Management (Delivering Best Value in Highway Maintenance) gives information about the standards to be achieved. There are various Audit Commission Performance Indicators that give monitoring information of highway conditions.
- 7.2 The Highways Act 1980 also places a duty on highways authorities to improve highway safety, and the Greater London Authority Act 1999 requires authorities to implement projects and programmes that contribute to the Mayor's Transport Strategy. The Road Traffic Regulation Act 1984 and the Traffic Management Act 2004 give powers and duties on the Public Highway to manage traffic (including pedestrians and cycles) to secure that safe and expeditious movement of traffic. The WELN programme will deliver improvements in line with these duties and powers. Under the Traffic Management Act 2004, TfL has the power to approve or reject changes on Uxbridge Road that impact on capacity and buses.
- 7.3 Under S159 of the Greater London Authority Act 1999, TfL may give financial assistance to the Council where they consider it would be conducive to the provision of safe, integrated, efficient and economic transport facilities or service to, from or within Greater London.
- 7.4 Money paid to the Council pursuant to a S106 obligation can only be applied for the purposes set out in the relevant agreement.

## **8 Value For Money**

- 8.1 The Council's framework consultants and term contractors, who were engaged on the basis of competitive tendering, would carry out the design and implementation works. Specialist consultants for the WELN programme will be engaged from frameworks approved by the Head of Commercial Hub as required.
- 8.2 To ensure the most efficient use of funds officers strongly recommend that selection of roads be based on the prioritised condition rating as set out within the Appendices 1 & 2. This will represent good value for money, as it will mean that only roads that are in most need of urgent repair are being renewed. This sequentially will remove the need to carry out regular patching works to these roads thus reducing demand on already limited revenue budget for this area.

## **9 Risk Management**

- 9.1 Such operations have been carried out annually and it is not expected that there are any potential major risks associated with the options and the proposed course of action. The main risk to the schemes arises from formal objections received at the statutory consultation stage that cannot be justifiably overturned, delays preventing implementation during the time frame of available funding and unforeseen problems on site. Processes are in place to minimise the impact of any such eventualities. Non-delivery of schemes may result in loss of funding.
- 9.2 The WELN programme carries a variety of risks related to its scale, combination of traditional highway engineering and transport planning projects and bespoke urban realm schemes. A Steering Group consisting of directors and councillors has been established to monitor and advise officers on appropriate risk mitigation actions.

## **10 Community Safety**

- 10.1 Roads and Footway Infrastructure Improvement works will enhance community safety by eliminating potential hazards to improve footway and carriageway conditions.
- 10.2 One of the central aims of the WELN programme is to 'design out crime' and help to improve community safety. In West Ealing in particular there is marked increase in perceptions of crime at night compared to the day, as evidenced through recent attitude surveys. In order to address the negative perceptions and real levels of crime in West Ealing, the WELN proposals will focus on encouraging higher footfall in the area thus generating increased natural surveillance. This will be achieved through investment in the passageways and side streets that connect the area and with upgraded lighting levels. Dean Gardens will also be opened up by removing the boundary walls and the footpaths will be realigned to better serve desire lines. Seating will be incorporated intelligently to prevent anti-social behaviour. The look and feel of the Broadway will be enhanced through de-cluttering, improved surfaces and tree planting – creating a more relaxed and pleasant environment conducive to positive behaviour. CCTV cameras may also be re-located based on local intelligence to act as an additional deterrent to crime. The project team will continue to work alongside colleagues in the Safer Neighbourhood Teams, Community Safety and Met Police to gain a detailed understanding of crime hotspots and attempt to design in solutions to address these challenges. It should be noted the area is already covered by a PSPO, which will be in place until 2020.
- 10.3 Transport Strategy and LIP have an objective to "Improve road safety". Transport schemes, including new pedestrian and cycle infrastructure, are a part of planned interventions that would improve safety in the community. In addition, the Council has a statutory duty to investigate road traffic collisions and work to prevent future road casualties. Advice from TfL is that incorporating safety schemes within the LIP schemes will satisfy this requirement.

## **11 Links to the 3 Priorities for the Borough**

- 11.1 Good, genuinely affordable homes all developments to facilitate healthy and sustainable transport. Transport links throughout the Borough will be improved, particularly sustainable modes and orbital journeys. This will help local people access jobs and services more effectively.
- 11.2 Opportunities and living incomes Transport links throughout the Borough will be targeted for improvement, particularly sustainable modes and orbital journeys. This will help local businesses and people access jobs and markets more effectively. This will also provide people with access to jobs, education and services more effectively including those with disabilities and without access to a car. The focus on sustainable modes will not substantially increase wear on carriageways and footways, therefore maintaining an adequate lifespan of these Council assets before replacement is required.
- 11.3 A healthy and great place. As part of all transport schemes the Council will ensure that road safety and personal security issues are investigated and addressed. The LIP will manage traffic by supporting and promoting sustainable modes. This will manage emissions of carbon and other pollutants. Appropriate maintenance and improving the quality of the street environment are key components of schemes to encourage walking and cycling. Transport links throughout the Borough will be targeted for improvement, particularly sustainable modes (walking, cycling and public transport) and orbital journeys. This will help people access jobs and services more effectively.

## **12. Equalities and Community Cohesion**

- 12.1 An Equality Impact Assessment has been undertaken on the proposed programme of works. In addition, all schemes detailed in this report will be designed in accordance with current disability and equality guidelines.

An Equalities Impact Assessment has been completed for the LIP 2019-22 which covers all projects contained within this report and was included within the Cabinet Report on 12 February 2019.

## **13. Staffing/Workforce and Accommodation implications**

- 13.1 There are no staffing/workforce and accommodation issues as the design and works involved are carried out by consultant and contractors employed by the Council.

## **14. Property and Assets**

- 14.1 This Report is concerned with the refurbishment enhancement and improvement of footways, carriageways and parks in the Borough, which are a key asset of the Council.

## **15. Any other implications**

- 15.1 By investing in the infrastructure will ensure that footways and carriageways are fit for purpose in the future and lead to a reduced need for reactive maintenance of those items.
- 15.2 The WELN programme will be one of the first TfL funded Healthy Streets projects in London and flagship a variety of ambitious and contemporary approaches to the design and use of the public realm. TfL applies a rigorous staged gateway approval process that awards funding in stages subject to TfL's approval.

## **16. Consultation**

- 16.1 There are three usual stages of consultation for schemes of the type detailed in this report. These are:
- (i) Consultation with residents and businesses in the scheme area by way of posted letter drop;
  - (ii) Statutory advertising of any necessary Traffic Management Orders, using on-street notice boards, information in the London Gazette and in the local newspaper, prior to implementing a scheme. Any formal objection received at the statutory consultation stage that cannot be justifiably overturned could delay the implementation of the scheme. There is no other known potential risk at present.
  - (iii) Publishing of Notices under Section 58 of the New Roads and Street Works Act which places certain restrictions upon statutory undertakers in excavating new surfaces.

In addition, the emergency services and bus operators are consulted where appropriate during scheme development.

- 16.2 For the WELN programme, an extensive and more intensive approach will be taken to involve residents and businesses in the development of schemes. This approach will also facilitate the integration of active citizenship into the programme in both the short and long terms.
- 16.3 Public and stakeholder consultation on the draft LIP was conducted by an on-line survey/comment pages on the Council website, details of which were referred to in the 12 February 2019 Cabinet Report.
- 16.4 Specific schemes developed through the LIP programme would be subject to further public consultation during their detailed development and prior to any approved implementation.

## **17. Timetable for Implementation**

- 17.1 The Infrastructure Renewal Programme is as follows:



Item	Date
Approval	March 2019
Detailed design commencement	March 2019
Section 58 Notice	April 2019 onwards
Works commencement on site	April 2019 onwards
Overall completion	March 2020

17.2 The WELN and Transport Schemes listed in Appendix 3 and 4 are at varying stages of development across their respective project lifecycles. Some are at relatively early concept stages whereas others have already been designed and are merely awaiting funds for construction.

17.3 The outline timetable describes typical milestones for schemes that are funded to the end of the 2019/20 financial year. Where schemes extend beyond one financial year, the date of each project lifecycle will be increased accordingly reflecting the size and complexity of each project.

#### Outline timetable - typical milestones for transport schemes funded in 2019/20

Project Lifecycle	Date Range
• Cabinet approval	• April 2019
• Preliminary design	• May – August 2019
• Consultation	• June – October 2019
• Design	• August – December 2019
• Works commencement on site	• November 2019
• Works completion	• March 2020

17.4 The proposed programme is indicative and may vary subject to:

- problems that may be identified at the preliminary design stage requiring additional study to obtain scheme approvals;
- issues raised by Members or the public at consultation stage which may require design changes;
- unresolvable objections being received at the statutory consultation stage;
- approvals by other boroughs on cross Borough schemes;
- approvals required by TfL where relevant; and
- alterations to programme through mutual agreement with TfL.

## 18. Conclusion

18.1 The budgets for highway maintenance work are under significant pressure and for many years have not matched the preferred investment levels. In this situation it is essential that the most cost-effective solution is adopted and budgets are allocated on the basis of condition survey information, which ensures that the streets or sections of streets with the worst defects are prioritised for improvement works.

The funds available in the budget for Borough roads are £3.500m However, the cost of implementing all of the schemes listed in Appendices 1 and 2 would exceed the available budget. Taking account of this, officers recommended that the budgets be allocated as follows:

- **£ 3.000m – 2019/20 carriageway resurfacing prioritised in order of**

- condition rating – Appendix 1
- £ 0.500m – 2019/20 footway renewal works prioritised in order of condition rating – Appendix 2
- £ 0.556m – 2018/19 grant funding from Department for Transport to fund Pothole Repairs for spend in 2018/19

## 19. Appendices

Attached below are the following Appendices.

- Appendix 1 Proposed Carriageway Resurfacing Schemes
- Appendix 2 Proposed Footway Schemes
- Appendix 3 WELN Interventions
- Appendix 4 LIP 19/20 Programmes
- Appendix 5 School Safety Schemes

## 20. Background Information

1. Highways Act 1980
2. Delivering Best Value in Highway Maintenance – Code of Practice for Highway Maintenance Management (July 2001).
3. Annual Condition Surveys
4. Section 106 Allocations (funding received by Ealing Council) – Cabinet 19 March 2019
5. Relevant S106 Agreements
6. Transport Programme 2018-19 – Cabinet Report, 20 March 2018
7. Local Implementation Plan (Transport) 2019-22 – Cabinet Report, 12 February 2019
8. Transport Strategy, Cabinet Report, 5 June 2018
9. Mayor’s Transport Strategy – Mayor of London, 2018
10. Transport Programme 2018-19 – Cabinet Report, 20 March 2018

## 21. Report Consultation

Name of consultee	Department	Date sent to consultee	Response received from consultee	Comments appear in report para:
Councillor Julian Bell	Leader of the Council and Executive Member for Regeneration and Transport			
Councillor Sabiers	Portfolio Holder for Environment and Highways			
Tony Clements	Executive Director of Place			
Lucy Taylor	Director of Regeneration and Planning			
Gillian Marston	Director of Environment			

Jackie Adams	Head of Legal (Property & Regulatory)	14/2/19	25/02/19	Throughout
Chuhr Nijjar	Senior Contracts Lawyer	14/2/19	1/3/19	Throughout
Charles Cato/John Prince	Interim Finance Business Partners	14/2/19	25/02/19	Throughout
Rakhee Thobani	Commercial Hub Corporate Category Lead	1/3/19	1/3/19	Section 7
Tony Singh	Team Manager – Scheme Design and Implementation	14/2/19		
Gina Cole	Assistant Director Parking Services	14/2/19		
Henry Kennedy-Skipton	Head of Regeneration	14/2/19		
Russell Roberts	Principal Transport Planner	01/02/19	25/02/19	Throughout

Report History

<b>Decision type:</b>	<b>Urgency item?</b>
Key decision	No
Authorised by Cabinet member:	Date report drafted: Report deadline: Date report sent:

**Appendix 1 - Proposed Carriageway Resurfacing Schemes**

<b>Infrastructure Renewal Programme: Appendix 1</b>					
<b>2019-20 Proposed Carriageway Resurfacing Works Prioritised in Order of Condition Rating</b>					
<b>Road Name</b>	<b>From</b>	<b>To</b>	<b>Ward</b>	<b>Condition Rating</b>	<b>Cost £m</b>
Down Way	Bournemead Avenue	65 Down Way	Northolt West End	100	0.017
Western Road	Borough Boundary	280 Western Road	Southall Green	97	0.125
Coronation Road	Borough Boundary	Park Royal Road	East Acton	96	0.205
Brent Road	Western Road	Derley Road	Southall Green	95	0.134
Rockware Avenue - Adopted Section	Greenford Road	Oldfield Lane North	Greenford Green	94	0.100
Brunswick Road	Lynwood Road	Hanger Lane	Hanger Hill	93	0.163
Brunel Road	Old Oak Common Lane	Telford Way	East Acton	91	0.079
Woodfield Road	Mount Avenue	Fairlea Place	Hanger Hill	91	0.075
Victoria Road	Norwood Road	Havelock Road	Southall Green	91	0.072
South Ealing Road	Durham Road	Dorset Road	Ealing Common / Northfields	89	0.149
Park Royal Road	Victoria Road	3 Park Royal Road	East Acton	89	0.069
Eaton Rise	Montpelier Road	Mount Avenue	Hanger Hill	89	0.062
Bordars Road	Greenford Avenue	Cuckoo Avenue	Hobbayne	88	0.114
Church Road	Uxbridge Road	Tennyson Road	Elthorne	87	0.172
Horsenden Lane North (canal bridge)	Canal Bridge	Canal Bridge	North Greenford	87	0.046
Queen Anne's Gardens	Bedford Road	Vanburgh Road	Southfield	87	0.070
<b>Montpelier Road</b>	<b>Eaton Rise</b>	<b>West Road</b>	<b>Ealing Broadway / Hanger Hill</b>	<b>87</b>	<b>0.053</b>
<b>Queen's Walk</b>	<b>Buckingham Close</b>	<b>Mount Avenue</b>	<b>Cleveland</b>	<b>86</b>	<b>0.047</b>
<b>Southfield Road</b>	<b>Carlton Road</b>	<b>Hamilton Road</b>	<b>Southfield</b>	<b>86</b>	<b>0.057</b>
<b>Boileau Road</b>	<b>Station Road</b>	<b>Corringway</b>	<b>Hanger Hill</b>	<b>85</b>	<b>0.076</b>

Infrastructure Renewal Programme: Appendix 1 (continuation)					
2019-2020 Proposed Carriageway Resurfacing Works Prioritised in Order of Condition Rating					
Road Name	From	To	Ward	Condition Rating	Cost £m
Long Drive	A40 Western Avenue	Hill Rise	Greenford Green	84	0.234
Lynwood Road	Brunswick Road	A40 Western Avenue	Hanger Hill	83	0.039
<b>Burns Avenue</b>	<b>Carlyle Avenue</b>	<b>Dormers Wells Road</b>	<b>Dormers Wells</b>	<b>83</b>	<b>0.090</b>
<b>Devonshire Road</b>	<b>Windemere Road</b>	<b>Bycroft Road</b>	<b>Lady Margaret</b>	<b>83</b>	<b>0.022</b>
Liverpool Road	Warwick Road	Ranelagh Road	Ealing Common	82	0.075
<b>Westbury Avenue</b>	<b>Hillside Road</b>	<b>Somerset Road</b>	<b>Lady Margaret</b>	<b>82</b>	<b>0.080</b>
<b>Lowden Road</b>	<b>Lancaster Road</b>	<b>11 Lowden Road</b>	<b>Southall Broadway</b>	<b>82</b>	<b>0.013</b>
<b>Woodstock Avenue</b>	<b>Adrienne Avenue</b>	<b>Lady Margaret Road</b>	<b>Greenford Broadway</b>	<b>79</b>	<b>0.015</b>
<b>Bordars Road</b>	<b>Cuckoo Avenue</b>	<b>Copley Close</b>	<b>Hobbayne</b>	<b>78</b>	<b>0.043</b>
<b>Cuckoo Avenue</b>	<b>Ruislip Road East</b>	<b>Westcott Crescent</b>	<b>Hobbayne</b>	<b>78</b>	<b>0.130</b>
<b>Clairville Gardens</b>	<b>York Avenue</b>	<b>To end (20 Clairville)</b>	<b>Elthorne</b>	<b>78</b>	<b>0.014</b>
<b>Tilney Road</b>	<b>Brent Road</b>	<b>To end (20 Tilney)</b>	<b>Southall Green</b>	<b>77</b>	<b>0.018</b>
<b>Fleming Road</b>	<b>Longridge Lane</b>	<b>Greenford Road</b>	<b>Dormers Wells</b>	<b>77</b>	<b>0.067</b>
<b>Rosehill Gardens</b>	<b>Whitton Avenue East</b>	<b>To end (47 Rosehill)</b>	<b>North Greenford</b>	<b>76</b>	<b>0.019</b>
<b>Vernon Rise</b>	<b>Whitton Avenue West</b>	<b>To Wood End Academy</b>	<b>North Greenford</b>	<b>76</b>	<b>0.013</b>
<b>Rugby Road</b>	<b>Southfield Road</b>	<b>Hatfield Road</b>	<b>Southfield</b>	<b>76</b>	<b>0.015</b>
<b>Ealing Road</b>	<b>Mandeville Road</b>	<b>Rowdell Road</b>	<b>Northolt Mandeville</b>	<b>76</b>	<b>0.100</b>
Fisher's Lane	South Parade	Borough Boundary	Southfield	75	0.014
<b>Ridding Lane</b>	<b>Whitton Avenue East</b>	<b>To end (43b Ridding)</b>	<b>North Greenford</b>	<b>75</b>	<b>0.027</b>
<b>Acton Lane</b>	<b>Beaconsfield Road</b>	<b>Southfield Road</b>	<b>Southfield</b>	<b>75</b>	<b>0.091</b>

\* Bold text indicates micro-surfacing

**Total: 3.000**

Infrastructure Renewal Programme: Appendix 1 Reserve Schemes					
2019-20 Proposed Carriageway Resurfacing Works - Prioritised in Order of Condition Rating					
Road Name	From	To	Ward	Condition Rating	Cost £m
Sussex Road	Western Road	Dudley Road	Southall Green	74	0.115
Baronsmede	Popes Lane	Gunnersbury Lane	Ealing Common	72	0.104
<b>Warple Way</b>	<b>The Vale</b>	<b>Canham Road</b>	<b>Southfield</b>	<b>71</b>	<b>0.085</b>
<b>Dormer's Wells Lane</b>	<b>Allenby Road</b>	<b>Uxbridge Road</b>	<b>Dormers Wells</b>	<b>70</b>	<b>0.140</b>

\* ***Bold text indicates micro-surfacing***

**Total: 0.444\*\***

**\*\* This figure is for information only and is not part of the £3.500m**

## Appendix 2 – Proposed Footway Schemes

Infrastructure Renewal Programme: Appendix 2						
2019-20 Proposed Footway Renewal Works- Prioritised in Order of Condition Rating						
Road Name	From	To	Ward	L/R	Condition Rating	Cost £m
Allenby Road	Sunnycroft Road	Somerset Road	Lady Margaret	Left	67	0.075
Mattock Lane	Soane Gate / Pitshanger Entrance	Questors Theatre	Ealing Broadway	Both	67	0.085
Worcester Gardens Greenford	Wadham Gardens	Currey Road	Greenford Green	Both	65	0.066
Cantley Road W7	Chepstow Road	Christopher Avenue	Northfields	Both	64	0.065
Kings Avenue	Kings Road	Mount Park Road	Ealing Broadway	Both	63	0.090
Ealing Town Centre Footpath	Dickens Yard	Steps	Ealing Broadway	N'a	63	0.010
<b>Long Drive</b>	<b>Old Oak Common Lane</b>	<b>Carlisle Avenue</b>	<b>East Acton</b>	<b>Various</b>	<b>63</b>	<b>0.030</b>
Carlyle Road	Junction Road	South Ealing Road	Northfields	Various	62	0.009
Down Way	Bournemead	End	Northolt West End	Kerbs only	62	0.005
<b>Woodfield Road</b>	<b>Mount Pleasant Road</b>	<b>Mount Avenue</b>	<b>Cleveland</b>	<b>Both</b>	<b>62</b>	<b>0.065</b>
<b>Total:</b>						<b>0.500</b>

\* **Bold text indicates DBM footway, ASP otherwise**



### Appendix 3

#### List of WELN schemes

Intervention	Description
Dean Gardens SPECIAL PLACES	<ul style="list-style-type: none"> <li>Improved access, visibility &amp; safety</li> <li>Realise the local communities vision based on previous BDP design</li> <li>Promote active citizenship</li> <li>Remove fencing, widen pavements</li> <li>Relocate entrance in SW corner to connect directly onto Leeland Terrace and passageway to the Broadway</li> </ul>
Leeland Road SPECIAL PLACES	<ul style="list-style-type: none"> <li>Enhance streetscape setting for weekly Farmers market</li> <li>Make street one-way</li> <li>Widen pavements</li> <li>Create space for market stalls &amp; parklet</li> <li>On-street parking removed, loading bays included</li> <li>New trees &amp; improved crossings</li> </ul>
Melbourne Avenue SPECIAL PLACES	<ul style="list-style-type: none"> <li>Raised table</li> <li>Widen pavements and discourage illegal parking</li> <li>Tree planting</li> <li>Rain Gardens</li> <li>Wayfinding</li> </ul>
St James' Avenue SPECIAL PLACES	<ul style="list-style-type: none"> <li>Relocate access to Sainsbury's car park</li> <li>Provide cycle route and cycle parking</li> <li>Extend public plaza between Church and Sainsbury's</li> <li>Art and lighting installations</li> </ul>
Ecclestone Road ORCHARD RING	<ul style="list-style-type: none"> <li>Build out footway, narrow carriageway</li> <li>Raised table</li> <li>Tree planting</li> <li>Bike hangar, new cycle parking</li> <li>Wayfinding</li> </ul>
Walsingham Road ORCHARD RING	<ul style="list-style-type: none"> <li>Build out footway, narrow carriageway</li> <li>Raised table</li> <li>Bike hangar, new cycle parking</li> <li>Landscaping</li> </ul>
Magistrates Court ORCHARD RING	<ul style="list-style-type: none"> <li>Raised table</li> <li>Play Street (outside St Johns School)</li> <li>Reduce on street parking</li> <li>Widen pavements</li> <li>Road junctions to slow traffic speeds</li> </ul>
Bedford Road ORCHARD RING	<ul style="list-style-type: none"> <li>One way in from Broadway for traffic</li> <li>Enhance physical protection of existing contraflow cycle lane</li> <li>Retain existing road closure</li> <li>Bike hangar, new cycle parking</li> <li>Wayfinding</li> </ul>
Green Man Passage PASSAGEWAY PLACES	<ul style="list-style-type: none"> <li>Reorganisation of existing car park and removal of fencing</li> <li>Enhance pedestrian route and crossings between Broadway and Dean Gardens</li> <li>Create attractive passageway with feature lighting, planting and artwork</li> <li>Play Street</li> <li>Link to relocated crossing on Broadway</li> </ul>
Jacobs Ladder GATEWAY PLACES	<ul style="list-style-type: none"> <li>Public realm improvements</li> <li>Pocket plaza</li> <li>Greening, lighting and art</li> <li>Wayfinding</li> <li>Integrated play features</li> <li>Improve maintenance and lighting on the bridge itself</li> </ul>
Forester Pub GATEWAY PLACES	<ul style="list-style-type: none"> <li>Gateway plaza by the pub</li> <li>Link traffic island back to pavement</li> <li>Greening, art and lighting</li> <li>Wayfinding</li> </ul>

Oakland / Coldershaw NEIGHBOURHOOD ROUTES	<ul style="list-style-type: none"> <li>• Modal filters</li> <li>• Raised table</li> <li>• Widen pavements and control on-street parking</li> <li>• Tree planting</li> <li>• Bike hangar / cycle parking</li> <li>• Wayfinding</li> </ul>
Regina Terrace NEIGHBOURHOOD ROUTES	<ul style="list-style-type: none"> <li>• Modal filters</li> <li>• Reduce traffic volumes cutting through residential area</li> <li>• Improved crossing</li> <li>• Tree planting and cycle parking</li> </ul>

### Supporting measures

Initiative	Description
Gamification	Use an app to create a customised rewards scheme for West Ealing. Points are awarded for active travel to specific points and could be exchanged at local business for rewards, such as free coffee.
Residential Personal Travel Plan engagement	Encourage sustainable travel modes by visiting residents at their home.
Targeted engagement to reach key community groups	Work with community leaders, schools, places of worship & resident groups.
Engagement events at key trip attractors	Holding events in public spaces and visiting key trip attractors.
Engagement with BID to support rewards	Encourage local businesses to provide rewards for app users.
Engagement with BID on servicing and consolidating delivery	Providing BID with advice and support to consolidate deliveries and use electric vehicles & e-cargo bikes.
Points of interest walking map	List walkable sites of interest in West Ealing that encourages active travel locally.
Street closures and play streets	Costs to include paying for signs and cones to put out, preparing traffic orders, Comms with residents and attendance on the day.
School travel planning support	Provide support for travel planning measures, e.g. review travel plans, identify additional measures to implement, and help them to do so. Deliver tailored support to help address transport and travel issues identified by the school.
E-cargo bike trailer	Approach businesses to see whether they would take part in trialling this initiative.
Bicycle parking	Secure bicycle parking to be strategically placed throughout the neighbourhood key areas.

**Appendix 4**  
LIP Programme

<b>Transport - 2019/20 - LIP Programme- Appendix 4</b>			<b>Objective 1 Mode Shift to Sustainable Modes</b>	<b>Objective 2 Reducing Transport's Environmental Footprint</b>	<b>Objective 3 Road Safety</b>
<b>Package Name &amp; Location</b>	<b>Key Scheme Proposals</b>	<b>Allocation 2019/20 £m</b>			
<b>Uxbridge Road Corridor</b>	Design and implementation of walking & cycling infrastructure on the Uxbridge Road spine corridor. To include improvements for Acton, Ealing Broadway, West Ealing, Hanwell and Southall town centres.	0.500	✓	✓	✓
<b>Road Safety</b>	Borough 20mph Rollout (Vision Zero) & Road Safety: Hotspot Remediation, Investigation & implementation (Vison Zero)	0.300	✓	L	✓
<b>Active Travel</b>	Cycle Network Plan, Mini Liveable Neighbourhoods around schools, Cycle Parking, Walk & Cycle Routes/Access Schemes, Local Connectivity schemes and West Ealing Liveable Neighbourhood LIP contribution (£0.150m)	0.460	✓	✓	✓
<b>Residual Corridors &amp; Neighbourhoods Schemes</b>	<p>Completion of remaining Corridors &amp; Neighbourhoods physical schemes, including design and project management costs.</p> <p>Measures include: streetscape/placemaking improvements to support walking, cycling, road safety and bus users.</p> <p>Locations: Corridor 8 Greenford Town Centre Corridor 11 Mandeville Road, Northolt Corridor 12 Tentelow Lane access &amp; Windmill Lane, Southall Neighbourhood 27 Greenford Ave, Hanwell Neighbourhood 28 Northolt West End</p>	1.900	✓	✓	✓

<b>All Corridors Future Feasibility</b>	Preparation work for future projects, including data & analysis, borough wide studies, future Corridor and Neighbourhood schemes, studies and monitoring	0.100	✓	✓	✓
<b>Core Supporting Measures</b>	Borough wide activities including: -Transport Supporting Measures project management costs -School Travel Plans & School Road Safety -Cycle Support Measures -Communications/Travel Awareness (TA) -Events -EV Charge Point support -WestTrans contribution -Air Quality Monitoring	0.810	✓	✓	✓
<b>Corridors Neighbourhoods and Supporting Measures Total</b>		<b>4.070</b>	L		
<b>Quietways</b>	Design and implementation of the Ealing to Greenford cycle Quietway.	0.160	✓	✓	✓
<b>Bus Priority/Low Emission Bus Zone</b>	Uxbridge Road Corridor and Orbital Bus Corridors	1.400	L	L	L
<b>WELN</b>	Area-wide programme of walking, cycling, streetscape and air quality improvements around West Ealing.	2.297	L	L	L
<b>Discretionary Funding Totals</b>		<b>3.857</b>	L		
<b>Crossrail Complementary Measures Funding</b>	Design and Implementation funding to support integration of five Crossrail stations (Southall, Hanwell, West Ealing, Ealing Broadway and Acton Main Line) into the local urban realm and community (subject to station build programme and TfL design review)	4.862	✓	✓	✓
<b>Crossrail Commentary Measures Total</b>		<b>4.862</b>	L		
<b>TOTAL</b>		<b>12.789</b>			

**Appendix 5**  
**School Safety Schemes**

<b>Transport - 2019/20 Deliverables - School Safety Schemes</b>		
<b>School</b>	<b>Proposed Measures</b>	<b>Funding £m</b>
Ada Lovelace Primary	School Travel Plan specified road safety measures including school safety zone, Controlled Parking Zone and cycle lane	0.270
Ark Soane Primary	Road safety measures including school safety zone and pedestrian crossing	0.065
Ealing Fields Secondary	Sustainable travel measures to support the school travel plan and pedestrian/cycle safety improvements on Southdown Avenue.	0.046
Mayfield Primary	Road safety measures including pedestrian skills, scooter training plus provision of cycle parking	0.005
<b>TOTAL</b>		<b>0.386</b>



<b>Report for:</b> <b>ACTION/INFORMATION</b>
<b>Item Number: 9</b>  9.

<b>Contains Confidential or Exempt Information</b>	<b>NO</b>
<b>Title</b>	Approval of expenditure of new and repurposed Section 106 funding received by Ealing since February 2018
<b>Responsible Officer(s)</b>	Lucy Taylor –Director of Regeneration & Planning x 9036
<b>Author(s)</b>	Mark Isles – S106 Officer
<b>Portfolio(s)</b>	Councillor Peter Mason - Housing, Planning and Transformation
<b>For Consideration By</b>	Cabinet
<b>Date to be Considered</b>	19 <sup>th</sup> March 2019
<b>Implementation Date if Not Called In</b>	1 <sup>st</sup> April 2019
<b>Affected Wards</b>	All
<b>Keywords/Index</b>	S106, Allocation, Monitoring

**Purpose of Report:**

To seek Cabinet approval for expenditure of new and repurposed Section 106 funding received by Ealing Council since February 2018.

**1. Recommendations**

It is recommended that Cabinet:

- 1.1 Review and approve the proposals for expenditure of £3.504m of new S106 funds received since February 2018 as set out in Appendix 1;
- 1.2 Review and approve the proposals for expenditure of £0.048m of re-purposable S106 funds identified since February 2018 as set out in Appendix 2;
- 1.3 Note that where expenditure against the proposals in 1.1 and 1.2 above (if approved) is identified as Capital spend, that spend be included in the Capital Programme;
- 1.4 Note the details of ongoing expenditure of £11.688m of previously committed S106 funds as set out in Appendix 3 and that £0.043m of interest accrued from the Council’s holding account has been applied to those interest bearing agreements included in this schedule.



## 2. Reason for Decision and Options Considered

2.1 The Council has received £3.504m of new S106 money since February 2018, the date of the last S106 Cabinet report. Research has also taken place with all relevant departments across the Council to ascertain whether previously committed funds are still required for the projects they were previously committed to, or are available to be repurposed where the terms of the specific S106 agreements allow this. These repurposable funds amount to £0.048m. In some cases the projects have taken place but were financed by funds other than S106; in others, circumstances have precluded the projects from going ahead.

2.2 In total there is around £3.552m of new and repurposable S106 funding and the proposed uses of the funds are set out in Appendices 1 & 2.

2.3 Included in these proposals is S106 money received from the following large schemes in the past year:

	£m
A87 Oaks Shopping Centre, Acton	1.088
A107 100 Bollo Lane, Acton	0.618
E133 BHS site 104 - 110 the Broadway W13	0.574
PR72 First Central, Park Royal	0.437
<u>E91B Dickens Yard - Affordable Housing - 4th DoV</u>	<u>0.300</u>
Total	3.017

2.4 The proposals set out in Appendix 1 were drawn up in discussion with Officers. These were circulated to Members and comments have been received and addressed as appropriate.

2.5 The proposals set out in Appendices 2 and 3 were drawn up in discussion with Officers and Members. The report and appendices were circulated to Members on 20<sup>th</sup> December 2018.

2.6 Appendix 3 details previously committed S106 funds where projects are in progress.

## 3. Key Implications

3.1 A wide range of social, economic and environmental projects that meet the Council's priorities can be funded by legal agreements under S106 of the Town and Country Planning Act 1990, but these must fairly and reasonably relate in planning terms to the development proposal.

## 4. Financial Implications and Implications on Budget

4.1 The S106 funds are treated as grant income to the Council, and add to other funds used for capital and revenue projects. S106 funds are paid by developers as part of a planning agreement and are generally for a specific purpose and/or use in a specific location as set out in the terms of each S106

agreement. These funds are held in separate designated accounts within the Council's accounts and can be for either revenue or capital expenditure.

**Table 1 – S106 monies**

	<b>£m</b>
New S106 monies received since February 2018 – see Appendix 1	3.504
Re-purposable S106 funds identified since February 2018	0.049
S106 monies committed to existing or planned projects	11.688
<b>Total</b>	<b>15.241</b>

- 4.2 Since February 2018, £3.504m of new S106 funding has been received by the Council. The details and terms of the various S106 agreements and the proposed use of those monies consistent with the terms of the individual agreements are set out in Appendix 1 for Cabinet to review and approve.
- 4.3 In some instances the original projects, for which S106 monies were identified, have been delivered using alternative funding sources such as grants, have cost less than anticipated or have not taken place at all due to other circumstances.

**Table 2 – Purpose and geographical location of proposed spend**

Purpose of proposed S106 spend	Housing	Transport	Education	Town Centres	Skills & Employment	CPZ	Parks	Energy / Air Quality Monitoring	Health	<b>TOTAL</b>
<b>£m</b>	2.881	3.601	2.149	1.155	0.410	0.223	3.148	0.151	1.522	15.241

Geographical location of proposed spend	Acton	Park Royal	Ealing	Hanwell	Southall	Northolt	Greenford	Perivale	<b>TOTAL</b>
<b>£m</b>	5.176	2.541	3.470	0.385	2.006	0.320	0.348	0.995	15.241

The proposed uses of the new, repurposed and previously committed S106 funds are summarised in Table 2 above by purpose and by geographical location.

- 4.4 The council currently has £11.688m of S106 monies previously approved and committed against existing and planned projects, the details of which are set out in Appendix 3.
- 4.5 Some S106 agreements are specified as interest bearing in that interest should be applied to balances held by the Council. Interest is calculated on an annual basis based on the average balance of each such agreement. For 2017/18 interest amounted to £0.043m, which has been applied to the relevant agreements.

## 5. Legal

- 5.1 S106 obligations under the Town & Country Planning Act 1990 are legal documents either entered into between the Council and developers or provided unilaterally by a developer. The intention of the obligation is to mitigate and offset any harmful impacts of a development. This can include contributions to, or provision of, facilities at a local level or a contribution to provision of infrastructure and facilities at a borough-wide level to ensure capacity of facilities in the borough can accommodate the new developments.
- 5.2 Money paid to the Council pursuant to a S106 obligation can only be applied for the purposes set out in the relevant agreement.
- 5.3 The Council has the power to modify S106 obligations in accordance with S106A of the Town & Country Planning Act 1990 by agreement between the Council and the person/s against whom the obligation is enforceable.

## **6. Value For Money**

- 6.1 S106 funding enables the Council to undertake projects that mitigate the impact of development and enhance the borough. Agreements are negotiated as part of the planning process and form a pre-condition of planning consent.
- 6.2 Through this report Cabinet approve the use of S106 monies and continuous monitoring ensures that monies are collected when they become due and that they are allocated for the purpose they were intended.
- 6.3 To ensure value for money contracts for works are awarded in adherence to the Council's contract procedures rules and preferred suppliers used where appropriate.
- 6.4 Where third parties are used to undertake projects (e.g. highways works), it will be commissioned in accordance with the Council's Contract Procedure Rules in accordance with the terms of any framework agreement.

## **7. Sustainability Impact Appraisal**

- 7.1 It is considered that the report proposals should not have any significant impact on sustainability objectives. Any sustainability issues are dealt with at the point of granting planning and building control permission on the sites to which the S106 agreements relate

## **8. Risk Management**

- 8.1 There could be some risk to re-purposing S106 contributions because in some cases the monies were intended for a specific purpose. A legal view has been sought on all principal S106 monies where the intention is to re-purpose the money to ensure that the money is applied within the terms of the respective agreements.

## **9. Community Safety**

9.1 S106 funds may be negotiated to improve community safety.

## **10. Links to the 3 Priorities for the Borough**

10.1 S106 agreements are negotiated on an individual development basis and in accordance with the priorities set out in the Draft Legal Agreements SPD. The council's administration has three key priorities for Ealing. They are:

- Good, genuinely affordable homes - agreements provide funding for affordable housing.
- Opportunities and Living Incomes - projects provide funding for employment and skills;
- A Healthy and Great Place to Live – projects include health facilities, improving highways and transport, public realm and education.

## **11. Equalities, Human Rights and Community Cohesion**

11.1 Analysis would indicate that an equalities analysis assessment is not required. The purpose of this report is to approve specific projects within the parameters set when the planning agreements were negotiated with developers. Equality implications are considered at this earlier stage in the process, in accordance with the Draft Legal Agreements SPD. Some of the S106 money will make a positive contribution to equalities.

11.2 Residents will be consulted on various schemes and proposals as appropriate when detailed schemes are being compiled. Consultation will also be undertaken on individual legal agreements where appropriate. Where a proposed development is considered to have a social impact, the Council will notify local people and community and voluntary sector organisations. Individuals and organisations can also comment at planning application stage on whether they consider a legal agreement would be appropriate, and also be kept informed of its progress and implementation

11.3 In addition, the Council's draft Legal Agreements SPD refers to the need to take into account equality implications in negotiating s. 106 agreements. This will fulfil the Council's duty to have due regard to the disability equality duty by emphasising in particular the requirement to ensure access for disabled people.

## **12. Staffing/Workforce and Accommodation implications:**

12.1 None.

## **13. Property and Assets**

13.1 None.

#### **14. Any other implications:**

14.1 None

#### **15. Consultation**

15.1 Consultation with members was undertaken. The report and appendices with the detail was circulated by e mail to Members on 20<sup>th</sup> December 2018 and comments invited. Comments were received and responded to. Recommendations to Cabinet for spend for all such funds are drawn up by officers, arising from discussions between relevant ward members, area managers and spending departments. The proposals set out in Appendix 1 were drawn up in discussion with Officers.

15.2 The proposals set out in Appendices 2 and 3 were drawn up in discussion with Officers and Members and meetings offered to the political groups in the communication of 20<sup>th</sup> December.

#### **16. Timetable for Implementation**

16.1 Implementation date if not called in – 1<sup>st</sup> April 2019

16.2 Various dates for implementation of individual projects, as shown in Appendices 1, 2 and 3.

#### **17. Appendices**

- Appendix 1 – new funds
- Appendix 2 - repurposable funds
- Appendix 3 - previously-committed S106 funds
- Appendix 4 – summary sheet showing sub-totals

#### **18. Background Information**

18.1 Section 106 agreements and records

## Consultation

<b>Name of consultee</b>	<b>Post held</b>	<b>Date sent to consultee</b>	<b>Date response received</b>	<b>Comments appear in paragraph:</b>
<b>Internal</b>				
Lucy Taylor	Director of Regeneration & Planning	20 Feb 2019	21 Feb 2019	4.4, 4.5, 15.1, 15.2
Jackie Adams	Head of Legal (Property & Regulatory) Legal	20 Feb 2019	21 Feb 2019	
John Prince	Interim Finance Business Advisor, Regeneration & Housing	20 Feb 2019	21 Feb 2019	
Chris Bunting	Assistant Director Leisure	Nov 2018	Nov 2018	
Gillian Marston	Director of Environment	Nov 2018	Nov 2018	
Chris Cole	Transport Projects & Policy Manager	Nov 2018	Nov 2018	
Tony Singh	Head of Highways	Nov 2018	Nov 2018	
Gary Redhead	Asst Director Schools P&R	Nov 2018	Nov 2018	
Dave Baptiste	Head of Housing Development	Nov 2018	Nov 2018	
<b>External</b>				
N/A				

**Decision type:** | **Urgency item?**

## Report History

Key decision	No
Report no.:	Mark Isles – S106 Officer, x 9925 Mathew Bazergan – Finance & Projects Officer, x8058 Henry Kennedy-Skipton – Head of Regeneration, x5290



Appendix 1 - New S106 since Feb 2018 Summary

S106 Ref	Address	Amount (including indexation where applicable)	Status (if new, may include indexation where applicable)	Funds offered up to GF	Amount avail net of offer up to GF	AVAILABLE funds (£s) and indexation if applicable is an extra allowance linked to movements in the Retail or Consumer Prices Indices between sealing and payment	Further £ due later in devt?	Proposed Use / project update	Categories of spend (£s) - this matches the 'Amount' column									
									Housing	Transport & Highways	Education	Town Centres	Skills & Employment	CPZ	Parks	Energy / air quality monitoring	Health	
<b>ACTON</b>																		
A87	Oaks Shopping Centre Acton	1,087,907	New money in Oct 18		1,087,907	a) Education Contribution of £323,464 (Ph 1) and £37,999 (Ph 2) towards the funding of school places in the Borough; b) Employment and Training Contribution of £40,000 (Ph 1) and £9,863 (Ph 2) towards local projects to prepare local people to apply for vacancies that arise from the Development; c) Energy Monitoring Contribution of £4,840 (Ph 1) and £3,174 (Ph 2) towards post construction energy monitoring of the Development; d) Healthcare Contribution of £161,000 (Ph 1) and £40,816 (Ph 2) towards the provision of healthcare facilities in the Borough; e) Open Space Contribution of £78,000 (Ph 1) and £19,774 (Ph 2) towards the maintenance and improvement of local open space (£105,076); f) Tree Replacement Contribution of £15,939 (Ph 1) and £4,400 (Ph 2) towards the provision of replacement trees in the vicinity of the Development (£21,856); g) Transport Mitigation Contribution of £217,850 (Ph 1) and £50,000 (Ph 2) (to include) plus a further £10,759: • Raised zebra crossing on Churchfield Road in the vicinity of Acton Burial Ground (near Derwentwater Road) - £30,000 (Ph 1) £32276.1 • Cycle and pedestrian infrastructure improvements in the vicinity of the Development - £50,000 (Ph 1) 53793.5 • Direct support for cycling locks and Dr Bike sessions - £15,350 (Ph 1) - 16515 • Public transport improvements, bus countdown and DDA compliance - £45,000 (Ph 1) - £48414 • Pedestrian countdown signals at Winchester Road/High Street and raising the junction of Winchester Road and High Street- £40,000 (Ph 1) - £43035 • Travel Plan monitoring - £7500 (Ph 1) and £5,000 (Ph 2) towards the monitoring of the Travel Plan - £13419 (a) Junction improvements and Horn Lane/Steine Road and Churchfield Road/Market Place (£40,000 - Ph 2) - £42801 (b) Wayfinding strategy (£10,000 - Ph 2) - £10700	no	a) Feb 19 - The money will be used to contribute to the funding of Special Education Needs Provision in the Borough. d) Feb 19: Health Projects are being developed for this year and future years g) 2018-19 Update by Transport Planning - To draw down for cycle training, Dr Bikes, contract management costs, and Uxbridge Road CLOS (Cycle Level of Service) audit ; Update by WestTrans - Construction at this site is on going and therefore Travel Plan monitoring cannot yet start. We expect to commence monitoring by 2020; Update by Highways - improvement for pedestrians in shopping area, local improvements to cycling in the area, Acton lane bus route, improvements for pedestrians in the area & allocated for CPZ in the area, future draw down. g) (a) 2018-19 Update by Highways - Junction improvements in the area, future draw down. g) (b) 2018-19 Update by Transport Planning - To draw down for review of wayfinding signage acton town centre		271,712	388,664	8,603	53,588	21,517	126,933		216,889	
A100	WESTERN COURT, ROSEBANK WAY, Acton	193,622	New in 2019		193,622	a) £30,000 towards towards the cost of cycle and pedestrian improvements at the Junction of A40/Rosebank Way and Leamington Park/York Road Acton London W3 b) £62,017 towards the improvement of local education provision for school age children in the Acton area. c) £3,455 towards three years post construction monitoring of renewable energy incorporated into the Development d) £15,000 towards the provision by the National Health Service of healthcare services within the vicinity of the Property e) £74,000 towards the cost of any future improvements to Springfield Gardens	no	a) 2018-19 Update by Highways - Local improvements to cycling in the area, future draw down. b) 2018-19 Update by Education - It is proposed to use the money to contribute to the funding of Special Education Needs Provision in the Borough. c) 2018-19 Energy and Sustainability Update - Contribution to pay Council's service provider to monitor the output of the renewable and/or low carbon energy technology incorporated into the approved Development d) Feb 19: Health Projects are being developed for this year and future years e) Dec 18 Parks update - to be spent as per agreement		31,488	65,093			77,671	3,626	15,744		
A106	257-259 The Vale Acton W3	131,922	New in 2019		131,922	a) £100,000 towards the off-site provision of affordable housing to be provided via the Council's on-going new build programme; c) £12,000 Education Contribution towards improvement works at West Acton Primary School; d) £1,589 Energy Monitoring Contribution towards post-construction energy monitoring over a period of three years by the Council's service provider Emergence Limited; e) £13,850 Health contribution towards the enhancement of facilities at Horn Lane Surgery	no	c) 2018-19 Update by Education - The money will be used as a contribution to the funding of improvement works at West Acton Primary School d) 2018-19 Energy and Sustainability Update - Contribution to pay Council's service provider to monitor the output of the renewable and/or low carbon energy technology incorporated into the approved Development e) Feb 19: Health Projects are being developed for this year and future years	103,453		12,414				1,727	14,328		
A107	100 Bollo Lane, Acton	617,380	New in 2019		617,380	1.1. £88,006.95 Education Contribution towards the expansion of Berrymede Junior School 1.2. £96,927.00 Health Contribution towards Acton Health Centre/East Ealing Hub. 1.3. £7,224.00 Low Carbon Energy Monitoring Contribution towards monitoring of the same 1.4. £38,800.00 Low Emission Strategy Contribution towards monitoring air quality 1.5. £231,074.00 Open Space Contribution towards children's play facilities and improvements to open spaces in the vicinity of the Development 1.6. £44,800.00 Tree Contribution towards the replacement planting of trees in the vicinity of the Development 1.7. £100,000.00 Transport Improvement Contributions towards the improvement of pedestrian facilities and traffic calming measures in the vicinity of the Development 1.8. £3,000.00 Travel Plan Monitoring Contribution towards the monitoring of the Travel Plan	no	1.1 2018-19 Update by Education - Originally proposed to use the money as contribution towards funding Berrymede Junior Expansion, but as the money came in late, alternative funding was used to complete the Berrymede Expansion project. We are now proposing to use the money as contribution towards the funding of Special Education Needs Provision in the Borough. 1.2 - Feb 19: Health Projects are being developed for this year and future years 1.3 2018-19 Energy and Sustainability Update- Contribution to pay Council's service provider to monitor the output of the renewable and/or low carbon energy technology incorporated into the approved Development 1.4 - 2018-19 - Update from Regulatory Services. Proposed use: to support low emissions projects, including new 'no-idling' signage at the level crossings in Bollo Lane. 1.5 - Dec 18 Parks update - to be spent as per agreement 1.7 - 2018-19 Update by Highways - Local improvements to cycling in the area, future draw down. 1.8 - 2018-19 Update by WestTrans - Travel Plan monitoring not yet started. Draw down deferred for this year.		103,823	88,702			280,775	46,388	97,693		
<b>Total for Acton</b>		<b>2,030,831</b>			<b>2,030,831</b>			<b>Total</b>	<b>103,453</b>	<b>407,023</b>	<b>554,873</b>	<b>8,603</b>	<b>53,588</b>	<b>21,517</b>	<b>485,379</b>	<b>51,741</b>	<b>344,653</b>	
<b>PARK ROYAL</b>																		
PR72	First Central, Coronation Road/Lakeside Avenue, Park Royal NW10	943,400	New in 2019	506,400	437,000	A Brent Council S106 agreement which contains provision for Education in the Borough of Ealing as the site to which it relates is so close to the borough boundary.	no	2018-19 Update by Education - The money was drawn down in 2017-18 to contribute to the funding of the Brentside High School Expansion project			437,000							
<b>Total for Park Royal</b>		<b>943,400</b>		<b>506,400</b>	<b>437,000</b>			<b>Total</b>			<b>437,000</b>							
<b>EALING</b>																		
E91B	Dickens Yard - Affordable Housing - 4th Deed of Variation	300,000	New in 2019		300,000	Affordable Housing Contribution to be used only towards affordable housing that is to say the provision of housing accessible to people whose incomes are insufficient to enable them to afford adequate housing locally on the housing market within the London Borough of Ealing	no	Feb 19 Proposal from Housing: to support the council's new build programme	300,000									
E121	Park Royal Hotel, Hanger Green W5	97,152	New in 2019		97,152	1.1) £25,000 for Employment Training Initiative and Local Labour Scheme 1.2) £58,000 Highways towards transport infrastructure highway and environmental improvements in the vicinity of the Property which may include a. Environmental improvements including street furniture and landscaping; b. Footway and other highway improvements in the vicinity of the Property including tactile pavement and pedestrian crossing; c. Transport infrastructure improvement which may include real time travel information at bus stops and shelters; d. Displacement of parking bays to carriageway resulting from the proposed Highway Works 1.3) £5,000 for Street Trees If the Council decides that, for mitigation of the impacts of the Development, the Contributions need to be reapportioned then the Council shall be permitted to reapportion the Contributions as it considers reasonably necessary.	no	1.1 - 2018-19 comment E&S- use in 2019-20 on Boroughwide Employment & Skills programmes such as Apprenticeships, Skills Escalator, WEST and jobs brokerage. 1.2 - 2018-19 Update by Highways - Local improvements to cycling in the area, future draw down. 1.3 - Dec 18 - Parks update - trees to be planted during 2019/20 planting season		64,032			27,600	5,520				

S106 Ref	Address	Amount (including indexation where applicable)	Status (if new, may include indexation where applicable)	Funds offered up to GF	Amount avail net of offer up to GF	AVAILABLE funds (£s) and indexation if applicable ie an extra allowance linked to movements in the Retail or Consumer Prices Indices between sealing and payment	Further £ due later in devt?	Proposed Use / project update	Categories of spend (£s) - this matches the 'Amount' column									
						Terms of the agreement (where indexation is applicable, each individual head will be pro-rated accordingly)			Housing	Transport & Highways	Education	Town Centres	Skills & Employment	CPZ	Parks	Energy / air quality monitoring	Health	
E131	Sherwood Close Estate, Ealing	11,854	New in 2019		11,854	1.6 £5920 Energy Monitoring Contribution; 1.8 £5000 Framework Travel Plan Contribution;.	yes	1.6 2018-19 Energy and Sustainability Update - Contribution to pay Council's service provider to monitor the output of the renewable and/or low carbon energy technology incorporated into the approved Development 1.8 2018-19 Energy and Sustainability Update - Contribution to pay Council's service provider to monitor the output of the renewable and/or low carbon energy technology incorporated into the approved Development 1.8 2018-19 Update by WestTrans - Travel Plan monitoring started and expected to continue until 2022. Draw down deferred for this year.		5,428							6,426	
E133	BHS site 104 - 110 the Broadway W13	574,081	New in 2019		574,081	a) £251,000 for improvements of facilities at St John's Primary School, Felix Road, London W13 ONY b) £5,219 for post construction energy monitoring of the Development c) £143,000 for the provision of amenity space and childrens' play area in the vicinity of the Development d) £80,000 for the pedestrianisation of Brownlow Road e) £20,000 for Pedestrian Safety facilities in the vicinity of the Development f) £3,000 for monitoring of the travel plan as provided for in condition 24 of the Planning Permission Deed of variation, sealed on 08.06.17, for a Public Realm contribution of £46,585 for the provision of trees on the streets within the vicinity of the development.	no	a) 2018-19 Update by Education - The money will be used as a contribution to the funding of the completion of St John's Primary Expansion External works b) 2018-19 Energy and Sustainability Update - Contribution to pay Council's service provider to monitor the output of the renewable and/or low carbon energy technology incorporated into the approved Development c) Dec 18 - Parks update - to be spent as part of the Deans Garden WELN project d) 2018-19 Update by Highways - Ongoing West Ealing Livable Neighbourhood scheme, future draw down. e) 2018-19 Update by Highways - Ongoing West Ealing Livable Neighbourhood scheme, future draw down. f) £3,000 for monitoring of the travel plan as provided for in condition 24 of the Planning Permission		107,748	262,559					198,315	5,459	
E140	1 MURRAY ROAD EALING W5 4XT - garages adjacent	5,600	New in 2019		5,600	£5,600 as a contribution towards replacement / additional trees in the vicinity of the site.		Dec 18 - Parks update - trees to be planted during 2019/20 planting season									5,600	
<b>Total for Ealing</b>		<b>988,687</b>			<b>988,687</b>			<b>Total</b>	<b>300,000</b>	<b>177,208</b>	<b>262,559</b>						<b>209,435</b>	<b>11,885</b>
<b>HANWELL</b>																		
H25	68 & 68A Boston Road, Hanwell	5,000	New in 2019		5,000	£5000 Cycling contribution		2018-19 Update by Highways - Local improvements to cycling in the area, future draw down.		5,000								
<b>Total for Hanwell</b>		<b>5,000</b>			<b>5,000</b>			<b>Total</b>		<b>5,000</b>								
<b>SOUTHALL</b>																		
S85	Toplocks Depot & Maypole Dock, Glade Lane	15,000	New in 2019		15,000	d) £15,000 to the Council's Park Service for the 2,034sqm ecological improvements within Glade Lane Canalside Park, in compensation for the partial loss of SLINC.	yes	d) Dec 18 - Parks update - To be spent in Glade Lane as part of landscaping project									15,000	
<b>Total for Southall</b>		<b>15,000</b>			<b>15,000</b>			<b>Total</b>									<b>15,000</b>	
<b>NORTHOLT</b>																		
N23	Perceval Court Newmarket Avenue Northolt UB5 4EN	14,800	New in 2019		14,800	a) £14,800 towards Ealing's Tree Strategy	No	a) Dec 18 - Parks update - trees to be planted during 2019/20 planting season									14,800	
<b>Total for Northolt</b>		<b>14,800</b>			<b>14,800</b>			<b>Total</b>									<b>14,800</b>	
<b>GREENFORD</b>																		
	No funds received for Greenford																	
<b>Total for Greenford</b>								<b>Total</b>										
<b>PERIVALE</b>																		
PE10	12-14 AINTREE ROAD, Perivale	10,000	New in 2019		10,000	b) £7,000 towards road safety improvements in order to mitigate the impact of the Development c) £3,000 towards the monitoring of the travel plan		b) 2018-19 Update by Transport Planning - Investigations required to determine scope of project; & Highways - Road Safety improvements, future draw down c) 2018-19 Update by WestTrans - Travel Plan monitoring not yet started. Draw down deferred for this year		10,000								
<b>Total for Perivale</b>		<b>10,000</b>			<b>10,000</b>			<b>Total</b>		<b>10,000</b>								
<b>NEW MONEY TOTAL:</b>		<b>£4,007,718</b>			<b>£506,400</b>	<b>£3,501,318</b>		<b>Total</b>	<b>£403,453</b>	<b>£599,231</b>	<b>£1,254,432</b>	<b>£8,603</b>	<b>£81,188</b>	<b>£21,517</b>	<b>£724,614</b>	<b>£63,626</b>	<b>£344,653</b>	



**Appendix 2 - Repurposable S106 since Feb 2018 Summary**

AVAILABLE funds (£s)						Funds available (£s) for							
s106 ref	Address	Amount	Amount spent 17/18	Funds offered up to GF	Amount avail net of spend and offer up to GF	Housing	Transport & Highways	Education	Town Centres	Skills & Employment	CPZ	Parks	Health
<b>ACTON</b>													
	Total for Acton	0				0	0	0	0	0	0	0	0
<b>PARK ROYAL</b>													
	Total for Park Royal	0				0	0	0	0	0	0	0	0
<b>EALING</b>													
			9.										
	Total for Ealing	0				0	0	0	0	0	0	0	0
<b>HANWELL</b>													
	Total for Hanwell	0				0	0	0	0	0	0	0	0
<b>SOUTHALL</b>													
S1	Middx Bus Centre, Merrick Road (new) (offices / industrial) (NORWOOD GREEN)	5,000		5,000	0								
S25	130 - 152 The Broadway, Southall	18,440			18,440				18,440				
S25	130 - 152 The Broadway, Southall	25,014			25,014	fa			25,014				
S32 (aka G16)	Phase 11, Grand Union Village, Ruislip Road, Southall	5,000			5,000				5,000				
	Total for Southall	53,454	0	5,000	48,454	0	0	0	48,454	0	0	0	0
<b>NORTHOLT</b>													
	Total for Northolt	0				0	0	0	0	0	0	0	0
<b>GREENFORD</b>													
	Total for Greenford	0				0	0	0	0	0	0	0	0
<b>PERIVALE</b>													
	Total for Perivale	0				0	0	0	0	0	0	0	0
<b>REPURPOSABLE TOTAL:</b>		<b>£53,454</b>	<b>£0</b>	<b>£5,000</b>	<b>£48,454</b>	<b>£0</b>	<b>£0</b>	<b>£0</b>	<b>£48,454</b>	<b>£0</b>	<b>£0</b>	<b>£0</b>	<b>£0</b>



Appendix 3 - Progress Report on S106 from all Previous Cabinets Decisions up to and including Feb 2018																
S106 Funding Available Per Monitor							Funds Available by Service									
S106 ref	Address	Original amount available	Status of money: New, Interest or Repurposable	Funds offered up to GF	Amount Available Net of 16/17 & 17/18 Spend & Offer To General Fund	Terms of the agreement / previous commitment	Housing	Transport & Highways	Education	Town Centres	Skills & Employment	CPZ	Parks	Energy / Air Quality Monitoring	Health	TOTAL
<b>ACTON</b>																
A15	Chiswick Park, Acton, W4	179,459		(26,411)	153,048	First committed by Cabinet, 6 July 04 Funding for diversion of new bus routes (E10)		153,048								153,048
A27	Bromyard House, Acton W3	129,975	New money in Sep 09 plus further interest Plus Repurposed		20,745	Cycle route		2,745				18,000				20,745
A42	2 Bollo Lane, Chiswick, W4	87,957	New money in Nov 11		87,957	b) £85,000 towards the costs of works to Gunnersbury Triangle Nature Reserve; c) £60,000 towards the costs of upgrading existing playground equipment on Acton Green Common							87,957			87,957
A43	South Acton Estate Outline Phs 2-11	170,290	New money in Dec 16		170,290	£169,438 towards the provision of a Multi Use Games Area (MUGA) by the Council within Bollo Brook Park (located on the north side of Bollo Bridge Road)							170,290			170,290
A52	BBC Depot, Kendal Avenue, W3	30,000		(6,779)	23,221	New money in Sep 09 - repurposed in Nov 11 £30,000 towards public transport improvements/ traffic management/ CPZ in the vicinity. Cited in Sep 09 for Highways to use towards CPZ programme 10/11, with balance to go towards traffic management improvements in vicinity of site. No drawdown in 09/10 or 10/11.		23,221								23,221
A53	The Park Club, East Acton Lane, W3	48,408	New money in Sep 09		33,076	New money in Sep 09 a) Highway works - ALL DRAWN DOWN 13/14 b) Offsite Allotments - £50,000 towards the provision of or improvement works to allotments in Acton and for no other purpose							33,076			33,076
A56	41-159 Bromyard Avenue, Acton	235,274	New money as at Feb 2011 plus further interest		235,274	a) £330,000 - local education provision - DRAWN DOWN 12/13; b) £440,000 - highway improvements, traffic management and enhanced public/sustainable transport; c) £100,000 - local parks and environmental improvements; d) £105,000 contribution to Ealing PCT - DRAWN DOWN 2010/11		168,106					67,168			235,274
A63	Bromyard House (Phase 5) Bromyard Avenue, Acton W3 (EAST ACTON) (HEALTH FACILITY AND RESIDENTIAL)	100,998	New money in Nov 11  9.	(70,510)	30,488	a) £69,710 towards local Education provision in the vicinity of the site; b) £54,000 towards the provision of open space (incorporating playspace) in the vicinity of the site, or such alternative provision as the Owner and LBE may agree; c) £57,000 for employment and community provision, to be spent on employment and community purposes in the vicinity of the site.							30,488			30,488
A65	Acton Central Industrial Estate, Rosemont Road, Acton, W3	37,704			36,661	New money as at Feb 2011 plus further interest a) £95,675 - Education provision b) £22,881 - Play facilities in the vicinity of the property, or indoor play within property c) £13,729 - Improvements of local parks, open space and play space near site d) £1,525 - Bringing existing allotments into use e) £1,830 - Provision and maintenance of street trees in the vicinity f) £1,907 - Cycling route improvements		2,398					34,263			36,661
A67	48 LYNTON ROAD ACTON	67,408	New money in Nov 12 plus indexation		7,408	a) £1200 as a contribution towards car club membership; b) £8800 towards education improvements within the locality. ALL DRAWN DOWN 13/14; c) £60,000 toward affordable housing provision within the locality.	5,011	2,397								7,408
A68	Bromyard House phase 6	10,213	New money in Nov 12		10,213	a) £10,000 towards community purposes within the vicinity or such other alternative provision as may be agreed 13/14: ALL DRAWN DOWN b) £9,500 towards local education provision within the vicinity 13/14: ALL DRAWN DOWN c) £10,000 towards local health care provision within the vicinity d) £7,500 towards open space including play provision within the vicinity or such other alternative provision as may be agreed. 14/15: ALL DRAWN DOWN.									10,213	10,213

S106 Funding Available Per Monitor						Funds Available by Service										
S106 ref	Address	Original amount available	Status of money: New, Interest or Repurposable	Funds offered up to GF	Amount Available Net of 16/17 & 17/18 Spend & Offer To General Fund	Terms of the agreement / previous commitment	Housing	Transport & Highways	Education	Town Centres	Skills & Employment	CPZ	Parks	Energy / Air Quality Monitoring	Health	TOTAL
A72	South Acton - land adjacent to Bollo Bridge Road, All Saints Road and Palmerston Road	314,420	New money in Oct 13	(14,138)	265,166	(a) is intentionally left out b) £10,000 CPZ around South Acton Station, Acton Town Station, Acton Town Centre and within the overall S Acton Estate; c) £50,000 for Education within Ealing. 13/14: ALL DRAWN DOWN ; d) £35,000 for Healthcare within Ealing; e) £110,000 Parks to include improvements of local parks, public open space playspace and equipment and allotments; f) £20,000 Public Realm for improvements to the streetscape and associated security measures within the vicinity of the property; g) £10,000 Street Trees (payable on planting); h) £45,000 Transport towards improvements to the footways and carriageway including traffic calming and provision of a raised zebra crossing to Bollo Bridge Road and improvements to the railway footbridges within the vicinity of the property; i) £5,000 Travel Plan Review; j) £100,000 for alteration of bus service routes ie introduction/alteration of bus service routes on S Acton Estate or improvements to existing facilities for passengers and/or provision of directional signage and/or improvements to walking routes on the S Acton Estate		110,166				10,000	110,000		35,000	265,166
A76	179-181 the Vale W3	27,855	New money in Nov 12		22,155	a) £18K towards improvements and maintenance of Acton Park; b) £1K towards allotments provision in Ealing; c) £2.7K towards provision of 6 street trees along The Vale, Acton; d) £5K towards provision of disabled parking in vicinity; e) £0.5K towards street cycle parking in Ealing							22,155			22,155
A77	Napier House, 1-20 Sir Alexander Close W3	367,535	New money in Oct 13 (50%) and Oct 14 (rest)	(15,000)	52,535	a) £300,000 for affordable housing in the Borough of Ealing; b) £30,000 towards local education provision in the vicinity of the site; c) £25,000 towards local healthcare provision in the vicinity of the site; d) £30,000 towards offsite highway improvements, traffic management measures, enhanced public transport and sustainable transport provision and support in the vicinity of the site and Travel Plan Monitoring. The first 50% was paid on commencement; the next 25% (£96,250) on occupation of the 1st residential unit. A final 25% (£96,250) on occupation of the 12th residential unit.	6,032	21,503							25,000	52,535
A78	373 Uxbridge Road, Acton	19,600			19,600	New money in Nov 12 a) £19.6K for provision of 8 Street Trees.							19,600			19,600
A80	Colonial Drive, Bollo Lane, W4	2,116,008	New money in Oct 13, new money in Oct 14 plus indexation	(228,842)	687,166	Funds listed in (g) paid on commencement; rest (a to f) on occupation - see below g) £48,500 towards transport infrastructure improvements in the vicinity of the Development and other measures to mitigate the impact of the Development which may include measures relating to and contributions towards • Free 3 year Car Club membership for occupants of the Development to a Car Club scheme including £25 towards drive time for all occupants of the Development • Cycle training and direct support for cycling for 20% of residents including vouchers for cycle equipment • Footway and other highway improvements in the vicinity of the Development (includes widening around the level crossing and renewing sections on footway between the Development and Chiswick Park Station) • Speed reduction and safety measures in the Bollo Lane area • Upgrading local bus stops to DDA standards • Travel Plan and Travel Plan monitoring including a mechanism to provide a Car Club space within the drop-off zone of the Development if required as a result of monitoring	43,356	35,225			91,238		413,504		103,843	687,166
A86	South Acton Estate - Phase 2 detailed	493,595	New money in Dec 16	(175,250)	278,345	a) £270,875 towards the provision of an all weather pitch together with floodlighting and ancillary landscaping at Bollo Brook Park (located on the north side of Bollo Bridge Road) b) £173,869 towards the improvement of local education provision for school age children c) £756 as a commuted sum towards the maintenance of the Street Trees along Packington Road and Stanley Road (to be paid within 5 years of planting). d) £40,000 towards the Transport Works, works consisting of the extension of the raised table on Bollo Bridge Road to the west of Stanley Road and a zebra crossing on Bollo Bridge Road near Stanley Road e) £5,000 for review of the Travel Plan		45,000	2,470				230,875			278,345
A92	Shaa Lodge 2 Shaa Road W3	76,434	New money in Oct 15 plus indexation		76,434	£74,900 towards tree replanting, plus indexation							76,434			76,434



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A94	Acton Town Hall High Street W3	113,331	New money in Dec 16	(71,392)	38,189	a) £67,592 towards local education provision in the Acton area; b) £7,100 towards the implementation of air quality measures contained within the London Borough of Ealing Air Quality Action Plan; c) £15,000 towards tree planting for streets and parks within 500 metres of the Development; d) £20,000 towards junction improvement works at the junction of Winchester Street and Salisbury Street; e) £3639 towards reasonable energy monitoring		12,450		10,739			15,000			38,189
A95	Former Acton Park School	28,871	New money in Dec 16	(27,000)	1,871	a) £12,000 towards tree planting within 500 m of the school b) £15,000 to replace the community garden c) £1,871 for post-construction energy monitoring				1,871						1,871
A104	6-10 High Street, Acton London W3	32,452	New money in Dec 16		32,452	£32,371 for the planting of trees for streets and parks within 500 mtrs of the Development							32,452			32,452
A99	South Acton Estate Detailed Phase 3	155,808	New money in Oct 17		155,808	a) £81,070 towards the improvement of local education provision for school age children; b) £61,143 towards the provision of health care in the local area; c) £5,089 towards the towards the maintenance of the 280 new street trees across the South Acton Estate; d) £8,145 for the provision of air quality monitoring by the Council			81,070	8,506			5,089		61,143	155,808
A102	GIBBON ROAD ACTON W3	430,223	New money in Oct 17 plus indexation	(10,000)	413,946	a) £3,500 for the provision of air quality measured by the Council in accordance with the Councils Air Quality Action Plan; b) £10,000 towards the cost of improvements to bus infrastructure in the vicinity of the Property; c) £15,000 towards the cost of cycle improvements in the vicinity of the Property; d) £146,066 towards the improvement of local education provision for school age children in the Acton area; e) (i) £7,198 Indexed towards the provision of low carbon /renewable energy monitoring by the Council 's service provider Emergence Ltd or (ii) £1,335.00 where the Developer elects to install an independent system; f) £154,800 towards the provision of healthcare services and infrastructure at the Cloister Road Surgery; g) £64,500 towards the maintenance and improvement of Acton Park; h) £30,000 towards the cost of providing pedestrian /crossing facilities at East Acton Lane and highway improvements around the one way system at the northern end of Old Oak Road Acton; i) £3,500 Travel Plan Monitoring Contribution		38,723	146,066	9,857			64,500		154,800	413,946
A103	Kathleen Avenue, Allan Way, Court Way, Parkview and Western Avenue, W3 0NG - SITES 1, 2 & 3	288,975	New money in Oct 17 plus indexation		288,975	a) £3,500 for the provision of air quality measured by the Council in accordance with the Councils Air Quality Action Plan; b) £25,000 towards the cost of cycle and pedestrian improvements in the vicinity of the Property; c) £148,556 towards the improvement of local education provision for school age children in the Acton area; d) (i) £8,274 Indexed towards the provision of low carbon /renewable energy monitoring by the Council 's service provider Emergence Ltd or (ii) £1,025 where the Developer elects to install an independent system; e) £61,000 towards the provision of healthcare services and infrastructure at the Cloister Road Surgery; f) £25,500 towards the maintenance and improvement of Acton Playing Fields; g) £20,000 towards the cost of replacement parking for the loss of the 12 on street parking spaces in the immediate vicinity of the Development; h) £3,500 Travel Plan Monitoring Contribution		48,500	148,556	5,419			25,500		61,000	288,975
A105	Zero Alfred Road, W3	1,643	New money in Oct 17		1,643	£1,643 for sustainability monitoring.				1,643						1,643
<b>Total for Acton</b>		<b>5,564,436</b>		<b>(645,322)</b>	<b>3,142,666</b>		<b>54,399</b>	<b>663,482</b>	<b>378,162</b>	<b>38,035</b>	<b>91,238</b>	<b>28,000</b>	<b>1,438,351</b>	<b>450,999</b>		<b>3,142,666</b>
<b>PARK ROYAL</b>																
PR28	GUINNESS SITE (main part) NW10	80,474		(3,000)	77,474	Cabinet, 6 July 04, Traffic improvements 2017/18: £3000 to General Fund		77,474								77,474
PR40	Land on South Side, Westgate, W5	20,137			19,913	commuted maintenance of Open Space							19,913			19,913
PR46	Warehouse cnr of Chandos Road and St Leonards' Road, NW10	20,748			20,748	Repurposed in Nov 11 Improving footways in the vicinity of Chandos Road and Victoria Road and/or providing a traffic scheme to protect drivers turning right at the junction of Chandos Road and Victoria Road or such other purposes as may be agreed with the owner		20,748								20,748



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PR54	Former BBC Costume Store, Acton W3	503,953	New money as at Feb 2011 and Jun 12 plus further interest & New money in Nov 12	(16,399)	30,925	a) £800,000 Open space & public realm contribution; b) £200,000 - Transport contribution is intended to fund any or some of the following:- a) Provision of a raised table and pedestrian crossing on Victoria Road ; b) Improvements to the cycle network in the vicinity of the site; c) Improvements of the pedestrian environment in the vicinity of the site; d) Contribution towards public transport improvements in the vicinity of the site; e) Travel Plan monitoring; and f) Car Club Scheme; (John B - £3,000 for a space plus c. £7,000 for membership (£200 per member for 3 years assuming 30 memberships). g) Shuttle service for the disabled				30,925							30,925
PR55	1 Victoria Road, Acton (EAST ACTON) (office)	508,558	New money in Oct 13 & Oct 15 plus indexation		488,558	a) £20,000 towards Local Employment and Training Initiatives and commitment to a Local Labour Scheme; b) £170,000 towards the improvement to public transport infrastructure and highway works in the vicinity of the Property and which may include:- - improvements to bus stops and shelters including countdown information and the provision of messaging boards - provision of a raised table and pedestrian crossing on Victoria Road/Chase Road - improvement of access to North Acton Station - improvement to the cycle network - improvement to the pedestrian environment - car club - costs to the Council to administer the monitoring of Travel Plan Reports; c) £20,000 towards West London Health Estates and Facilities Management - capital costs of health provision in the area; d) £670,000 towards the provision of public open space and improvements to public realm within the Southern Gateway (payable prior to Occupation of Development) Funds indexed and a) to c) paid prior to Commencement of Development; d) paid on Occupation of Development - see below		112,694		335,556	20,154				20,154		488,558
PR57	Atlas House, Atlas Road	143,226	New money as at Nov 11	(6,244)	127,628	a) £30,000 towards the upgrade of the existing zebra crossing in Old Oak Lane close to the j/w Atlas Road to a pelican crossing; b) £15,000 towards a cycle and pedestrian audit constituting a review of pedestrian facilities between the property and the nearest bus stops and a review of the facilities for cyclists between the property and the nearest underground stations to ID deficiencies and opportunities for improvement within a 1.5 km radius of the property; c) £10,000 to LBE's Direct Support For Cycling programme; d) £20,000 towards public transport improvements, real-time information off-site, (Countdown 2) and shelters within a 1.5km radius of the property; e) £10,000 towards cycle training for employees at the development to promote sustainable transport to and from it; f) £10,000 towards a review of pedestrian and traffic signage within a 1.5 km radius of the property; g) £30,000 towards footway widening and upgrades to encourage sustainable transport and enhance pedestrian safety/ highways maintenance within a 1.5 km radius of the property; h) £7,500 towards monitoring a travel plan approved as part of the planning conditions; i) £50,000 towards the following:- a red light camera, improvements towards the traffic signalling and anti-skid material for all approaches to the traffic lights at j/w Old Oak Lane and Atlas Road , internal improvements within the private road continuing north from Atlas Road (ie extend pedestrian railings, signage near the bend and carriageway colouring at the bend) and cycle improvements within a 1.5 km radius of the property;		127,628									127,628

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PR58	Nash House Old Oak Lane NW10	431,056	New money in Oct 15 plus indexation. New money in Dec 16	(12,791)	418,265	a) £55K for cycling/pedestrian improvements as identified by the audit specified in the agreement; b) £75K for improvements to the Grand Union Canal (to be carried out by BWB); c) £93.357K for the capital cost of healthcare provision; d) £10K for local employment and training initiatives; e) £7.5K for monitoring the travel plans etc (payable on the first anniversary of the submission of the travel plan) (added to New Money Dec 16); f) £30K for the provision of a pedestrian crossing on Old Oak Lane and Victoria Road; g) £25K for parking displacement on the public highway (payable on occupation) (added to New Money Dec 16); h) £20K for public transport infrastructure which may include imps to bus stops including countdown and messaging boards; i) £150K for improvements to the Park Royal Southern Gateway Public Realm; j) £6K for replacement street tree planting PROVIDED ALWAYS that LBE can vary the allocation of the above amounts The original £439,357 became £472,586 with indexation, which has been pro-rated across the heads of terms.		142,257		164,833	10,756				100,418	418,264
PR59	PORTAL WAY ACTON, W3	274,523	New money in Nov 12 plus further interest		48,523	a) £30,000 A40 Green Corridor Contribution towards securing improvements to the A40 Green Corridor between Portal Way and Savoy Circus; c) £5,000 Public Cycle Hire - All drawn down 14/15 d) £10,000 Local Employment and Training Initiative Contribution towards local employment and training initiatives and commitment to a Local Labour Scheme; NB - e) NOT USED - inserted in error. f) £175,960 Open Space Contribution towards the provision maintenance and improvement of public open space in the vicinity of the Property including North Acton Station Square, Acton Cemetery and North Acton Playing Fields; g) £5,000 On Street Disabled Parking Contribution towards the provision of on street disabled parking space in the vicinity of the Property; h) £50,000 Pedestrian and Cycle Infrastructure Contribution towards the provision of pedestrian and cycle infrastructure improvements on Portal Way Victoria Park Road and Wales Farm Road including footway widening upgrades and signage; i) £15,000 Public Transport Infrastructure Contribution towards improvements to public transport infrastructure, which may include improvements to bus stops and shelters including Countdown Two on bus stops on North Acton Road Park Royal Road and Chase Road; j) £20,000 Speed Reduction Measures Contribution towards the provision of speed reduction measures on Victoria Road and Wales Farm Road by means of warning signs surfacing and carriage way markings;  All of the above paid on commencement. A further c. £45,000 is payable on occupation - b) £5K Air Quality Monitoring Contribution (PAID Dec 13 and already drawn down), l) £34.04K GP Contribution and k) £5K Travel Plan Monitoring Report.				38,969	9,554					48,523
PR60	628 Western Avenue (Hotel, Office)	271,118	New money in Dec 16	(3,133)	267,985	a) £150,000 to Transport for London to upgrade the A40 underpass from Park Royal Station to Masons Green Lane to facilitate local pedestrian route improvements, associated public transport facilities and environmental improvements in the locality; b) £20,000 towards the provision of improvements to the public realm including but not limited to landscaping footpath paving and planting in the immediate vicinity of the Property and surrounding area; c) £10,000 towards improvements to the cycling routes within the vicinity of the Property; d) £40,000 towards on site apprentice employment initiatives; e) £30,000 towards improvements to Masons Green Lane and environs £1,372 as a contribution towards the Council's post construction energy monitoring of the renewable and/or low carbon energy measure incorporated into the Development (other sums previously paid under this agreement, see PR61 on 'S106 from all previous cabinets')		203,400		21,528	43,057					267,985
PR61	CHASE ROAD ACTON W3	1,372	New money in Dec 16		1,372	a) £3,000 towards the costs of monitoring the Travel Plan relating to the Development; b) Up to £3,000 for the costs of any improvements or maintenance which are identified in the Pedestrian Environment Research Study as being directly related to the Development; necessary to make the Development acceptable in planning terms and fairly and reasonably related in scale and kind to the Development.								1,372		1,372
PR62	Concord Road	6,000	New money in Dec 16		6,000	a) £3,000 towards the costs of monitoring the Travel Plan relating to the Development; b) Up to £3,000 for the costs of any improvements or maintenance which are identified in the Pedestrian Environment Research Study as being directly related to the Development; necessary to make the Development acceptable in planning terms and fairly and reasonably related in scale and kind to the Development.		6,000								6,000



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PR64	98 Victoria Road Willesden London NW10 6NB	10,079	New money in Oct 15		10,079	£10,000 towards the provision of off-site works within the highway on Victoria Road to mitigate the impact of the Development as follows:- 1.1 the provision of a right turn pocket box junction 1.2 the provision of road markings 1.3 the securing of all necessary traffic orders 1.4 the provision of facilities to optimise signal timings on Victoria Road		10,079									10,079	
PR65	Land off Trinity Way Acton W3 7HT	184,197	New money in Dec 16	(66,077)	114,360	a) £84,000 towards transport and highway infrastructure improvements in the vicinity of the site to mitigate the potential impact of the proposed development; to include: i. Financial contributions for Streetscape, speed management and pedestrian/cycle improvements including footway improvements East Acton Lane. ii. Financial contributions for investigation and installation of pedestrian/cycle crossing facilities at East Acton Lane/Old Oak Common Road/Old Oak Common Lane b) £8,100 towards the shortfall in on-site play space to be used to provide additional facilities in Claydon Gardens, Acton. c) £4,728 for the post construction energy monitoring of the building payable on commencement of the development. d) £10,000 towards the 'Green Corridor' for Transport for London. e) £65,355 for the provision of accommodation alterations at East Acton Primary School. f) £10,000 for local community projects		95,039		14,891			4,430				114,360	
PR61	CHASE ROAD ACTON W3	396,307	New money in Oct 17 plus indexation		397,679	Remaining Contributions of £370,022 due on occupation from the agreement dated 27.07.16, and of £25,287.25 from the deed Of Variation dated 05.08.2016 towards: a) £211,815 (aggregated) towards Education provision within the local area of the Development; b) £60,724 (aggregated) towards Healthcare provision within the local area of the Development; c) £85,918 (aggregated) towards Pedestrian cycling and public transport infrastructure improvements, a contribution to the bus countdown system and the Green Travel Plan; d) (already paid) e) £26,852 (aggregated) Highways Improvement Sum towards the provision of transport/ highway improvements to mitigate the reduction in on-site parking		113,768	221,815						62,096		397,679	
PR68	Monarch House Victoria Road W3	74,240	New money in Oct 17 plus indexation		74,240	a) Air Quality Monitoring Payment of £2,530 Indexed to monitor the Low Emission Strategy for the Park Royal Opportunity Area Planning Framework b) Energy Monitoring Payment of £5,186 Indexed for post construction carbon/renewable energy monitoring by the Council's energy provider, Emergence Limited c) North Acton Tube Station Payment of £62,700 Indexed to mitigate the transport impacts of the Development by providing station improvements including access improvements to the immediate station concourse d) Travel Plan Monitoring Payment of £3,000 Indexed for travel plan monitoring		65,700	8,540								74,240	
<b>Total for Park Royal</b>		<b>2,925,988</b>		<b>(107,644)</b>	<b>2,103,749</b>			<b>974,787</b>	<b>230,355</b>	<b>606,702</b>			<b>83,521</b>		<b>24,343</b>	<b>1,372</b>	<b>182,668</b>	<b>2,103,748</b>
<b>EALING</b>																		
E17	Orion Park, Northfield Avenue, W13	4,805			4,751	4,751	Northfields Ave env imp (maintenance of buffer strip)							4,751			4,751	
E43	Gosai Cinema, Northfield Avenue, Ealing, W13 (EALING BROADWAY) (community facility + residential)	15,000			15,000	15,000	New money as at Nov 11 Agreement provided that dev'r must submit forthwith proposals for City Car Club (CCC) to be operated at Sinclair House - until devt is completed, the CCC is to be operated from 113 Uxbridge Rd. If neither practicable/possible, then with Council agreement the CCC must be run from the nearest approved CCC or failing that then £15K to be paid to Council to fund local parking or transport initiatives		15,000								15,000	
E44	Daniels Department Store, 96-122 Uxbridge Road, Ealing W13	25,640	Repurposed as at Feb 2011		25,640	25,640	£70,000 - Off-site highway & footway imp and CPZ review within the Five Roads Home Zone Area. Completed in 08/09 - Highways want £20k retained for repair and improvement works on the area.				25,640						25,640	
E47A	Liverpool & Victoria Sports Ground, Carbery Avenue, W3	20,216			19,991	19,991	New money in Oct 14 - £160,430 for the future maintenance of the facilities for a period of 10 years.						19,991				19,991	
E55	138-140 The Broadway, West Ealing	18,500	Repurposed as at Feb 2011		18,500	18,500	a) £14,000 - Amenity space improvements in the vicinity b) £4,500 - Town Centre environmental improvements				18,500						18,500	
E58	Westel House, 32/38 Uxbridge Road, W5	340,911	New money in Oct 13		332,775	332,775	a) £130,200 of the Transport contribution; b) Healthcare contribution of £130,952 (total of £261,152) indexed to £277,830 - pro-rated across the heads of terms		183,340				10,120		139,315		332,775	

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E60	28-35 Kirchen Road, W13 0TY	41,290	New money in Sep 09		41,290	41,290	£69,127 total; a) £38,100 towards streetscape improvements Drayton Green and Dean Gardens b) ALL DRAWN DOWN 12/13 c) on Repurposed tab						34,290			7,000	41,290
E61	79-89 Uxbridge Road, W5	26,236			25,000	25,000	New money in Dec 07 b) £25,000 towards consideration and funding of studies on the need for changes to existing CPZs or for new CPZ; c) £10,000 towards the provision of a cycle support and training scheme					25,000					25,000
E62	22/24 Uxbridge Road, Ealing, W5	66,869		(8,471)	58,398	58,398	New money in Sep 09 and subsequent years interest a) £60,000 towards the improvements to the public realm in the vicinity of the Property and surrounding area including footpath paving, raising of kerbs at bus stops, landscaping and public art provisions;		58,398								58,398
E68	171-175 Uxbridge Road, W13 9AA (WALPOLE)	40,622	New money in Oct 15	(2,622)	38,000	38,000	a) £38,000 towards local parks/landscape improvements in Walpole Park and provision of play equipment, b) £2,622 towards school places and educational facilities improvements within the borough of Ealing						38,000				38,000
E73	Ealing Cinema, New Broadway, Ealing, W5	108,882	New money in Dec 16		108,882	108,882	e) £50,000 for local employment training initiatives; h) £55,000 Transport Improvement Contribution to be apportioned and used as follows: i) £30,000 for repositioning of the existing pedestrian crossover at New Broadway; ii) £10,000 towards a new loading bay at New Broadway; iii) £15,000 improvements to the Bond Street crossing;		56,891					51,991			108,882
E75	26, 26A, 26B & 26D Broadway, W13	10,448			10,448	10,448	£10K towards local environmental, transport and town centre improvements in the London Borough of Ealing. * £10,158 - Hold till development finished, then use for repaving the footway outside of the two crossovers (Highways). * Balance of monies left over (if any) can go to West Ealing TC. Fully delivered but funding not drawn down.		10,448								10,448
E78	Pickering House (land rear of) 271 Windmill Road W5	24,000			24,000	24,000	New money as at Nov 11. a) £24,000 for public realm improvements, to include planting trees outside Pickering House;						24,000				24,000
E79	The Granville, 437 Uxbridge Road Ealing W5 3NT	32,873			12,000	12,000	New money as at Feb 2011 and further interest a) £34,000 - Env imps in local parks and street trees (now £21,548); b) £76,000 - Borough educational facilities; c) £10,000 - On-street parking review and implementation of new traffic management order.		12,000								12,000
E80	The Town House, Broadway W5	61,319	New money as at Oct 12 and Oct 13		61,319	61,319	a) £50K Road Safety Measures in the vicinity of the development (including loading restrictions) b) £10K Parking Payment for provision of disabled parking spaces in Ealing town centre c) £22.5K for Parks & Open Spaces in the vicinity of the development - ALL DRAWN DOWN 13/14		51,319			10,000					61,319
E81	77 Uxbridge Road, Ealing W5	37,005	New money as at Feb 2011 plus further interest	(5,198)	30,500	30,500	a) £5,000 - Extension/variation of CPZ; b) £5,505 - Town centre public parking signs d) £11,340 - Improved bus facilities e) £23,880 - Improvements in Ealing Bdy Centre				25,000		5,500				30,500
E90	R/O 48 THE MALL EALING W5	10,195	New money in Oct 13		10,195	10,195	a) £1,000 towards Allotments in Ealing ALL DRAWN DOWN 13/14; b) £21,000 towards the maintenance or provision of Parks and open spaces in Ealing ALL DRAWN DOWN 13/14; c) £10,000 towards the provision of on-street car club bays or Parking improvements in the vicinity of the property		10,195								10,195
E91	Dickens Yard	3,974,507	New money in Oct 14 plus further interest. New money in Oct 17		609,568	609,568	£3,650,000 towards Affordable Housing within the Borough of Ealing	609,568									609,568
E92	Green Man Lane	135,152	New money in Oct 13	(8,586)	188,786	188,786	a) Education £95,196 - 13/14: ALL DRAWN DOWN b) Healthcare £51,818 c) Parks and Play space £61,636 - 13/14: ALL DRAWN DOWN				83,334			53,634	51,818		188,786
E92A	Green Man Lane - Phase 1	22,363	New money in Oct 13		22,363	22,363	a) Education £8,586 b) Healthcare £5,099 c) Parks and Public Open Spaces £5,904 - 13/14: ALL DRAWN DOWN d) Public Realm £4,294 e) Transport £4,294		4,294		12,970				5,099		22,363



S106 Funding Available Per Monitor							Funds Available by Service										
S106 ref	Address	Original amount available	Status of money: New, Interest or Repurposable	Funds offered up to GF	Amount Available Net of 16/17 & 17/18 Spend & Offer To General Fund	Terms of the agreement / previous commitment	Housing	Transport & Highways	Education	Town Centres	Skills & Employment	CPZ	Parks	Energy / Air Quality Monitoring	Health	TOTAL	
E92B	Green Man Lane - NEW Deed Of Variation 20/01/11 THAT SUPERSEDES THE PHASE 2 OBLIGATIONS IN THE OUTLINE	276,139	New money in Dec 16	(139,404)	136,735	136,735	a) £82,610 for Education facilities in Ealing; b) £49,050 for Healthcare facilities in Ealing; c) £41,305 for Public Realm improvement towards the improvement of West Ealing Shopping Centre or other streets within the vicinity of the Development including, if appropriate, alterations and pedestrianisation of Brownlow Road, West Ealing; d) £40K Indexed (£45,894), being the Phase 2 tranche of £100K for Transport network improvements as follows: - £50K towards an extension of the CPZ within the vicinity of the Development and the provision of an internal CPZ and alterations to or the introduction of waiting restrictions to streets on or in the vicinity of the Development; - £15K towards the provision of a raised table at the junction of Green Man Lane with The Broadway, Ealing; - £30K towards the provision of a raised pedestrian crossing outside St John's School on Felix Road, Ealing; - £5K towards Travel Plan monitoring. e) £55K indexed (£56,794) being the Phase 2 tranche of £221.5K for Parks, Public Open Space and playspace within the vicinity of the Development.		45,894		41,791					49,050	136,735
E93	Pickering House 271 Windmill Road W5	29,000	New money in Nov 12		53,000	53,000	a) £24,000 for public realm improvements which may include street tree planting; b) £13,000 for educational purposes to support local schools ALL DRAWN DOWN 13/14. c) £29,000 towards off-site affordable housing provision	29,000			24,000						53,000
E101	Servite House, 45 Queens Walk W5	102,601	New money in Oct 13		7,529	7,529	£102,320 towards local education provision			7,529							7,529
E102	Notting Hill & Ealing High School W13	30,720	New money in Oct 13		30,720	30,720	a) £20,000 towards introduction of Zebra Crossings in St Stephens Rd; b) £10,000 towards improvement of cycle infrastructure in vicinity; c) £5,000 towards waiting restrictions at junctions in vicinity New money due in Oct 13		30,720								30,720
E107	51 Drayton Green W13	12,193		(6,439)	5,754	5,754	b) £10,000 for a pedestrian crossing to Drayton Green in the vicinity of the property to serve it, Drayton Green school and the International Presbyterian Church; c) children's play facilities ££ - ALL DRAWN DOWN 14/15 d) £6,160 for street tree planting within Drayton Green OS NB - original amount was £77,575; became £79,178 with indexation, which has been pro-rated across the heads of terms		5,754								5,754
E108	81 - 85 Madeley Road, W5	68,546	New money in Dec 16		68,546	68,546	a) £112.54K education contribution towards additional school places in the vicinity of the site; b) £63.5K towards localised highways imps including street scene imps and local traffic matters		68,546								68,546
E111	41 MELBOURNE AVE, West Ealing	10,000	New money in Oct 13		10,000	10,000	£10,000 as a contribution towards amenity space in Ealing				10,000						10,000
E112	37 Sutherland Road, W13	20,642	New money in Oct 15 plus indexation		29,723	29,723	£19,85K for Local public open space / parks improvements at Drayton Gardens (£20,642 with indexation)						29,723				29,723
E114	The Town House, Broadway W5 - Additional Flat	50,000	New money in Oct 13		50,000	50,000	£50,000 towards affordable housing in Ealing	50,000									50,000
E115	113 UXBRIDGE ROAD, W5	32,589	New money in Oct 13	(12,409)	12,409	12,409	a) £30,000 for improvements to public transport, cycling and pedestrian infrastructure in the vicinity of the Development; b) £1,350 for street trees in the vicinity of the Development. Indexation has been pro-rated across the heads of terms		10,765				1,644				12,409
E117	Grove House The Grove W5	90,923	New money in Oct 14		71,966	71,966	a) £10K towards healthcare provision within the local area and borough; b) £75K towards improvement and maintenance of public open space within the vicinity of the property; c) £10K towards transport provision within the local area and borough. (£97,397 with indexation, which has been pro-rated across the heads of terms)		3,778				57,936		10,252		71,966

S106 Funding Available Per Monitor							Funds Available by Service										
S106 ref	Address	Original amount available	Status of money: New, Interest or Repurposable	Funds offered up to GF	Amount Available Net of 16/17 & 17/18 Spend & Offer To General Fund	Terms of the agreement / previous commitment	Housing	Transport & Highways	Education	Town Centres	Skills & Employment	CPZ	Parks	Energy / Air Quality Monitoring	Health	TOTAL	
E118	ARCADIA CENTRE 1-8 THE BROADWAY W5	82,236	New money in Oct 14	(14,300)	67,936	67,936	1 - Local Projects Contribution (£40,000) towards: - (a) local projects preparing and assisting local people including those who may be unemployed to apply for job vacancies that may arise within the completed Development; (b) facilitating apprenticeships or 'equivalent' training opportunities that may arise within the completed Development; (c) notification of job vacancies to nominated local agencies that may arise from the development; (d) job brokerage and coordination during the construction phase of the Development; and (e) job monitoring information in connection with the Development; 2 - Public Transport Pedestrian Safety and Footway Contribution (£40,000) towards measures to improve pedestrian safety and footway congestion at the junction of Springbridge Road with Ealing Broadway as part of a wider junction improvement scheme which shall include upgrading the quality of the existing footways in the Ealing Town Centre		26,818								67,936
E119	67-75 THE BROADWAY, WEST EALING	21,392	New money in Oct 14		21,392	21,392	1.1 £21000 towards the provision of disabled car parking facilities and other highway works to improve accessibility within the vicinity of the Property including the provisory of dedicated drop off parking bay for customers in Canberra Road to the rear of the Property (Further funds due on occupation of development)		21,392								21,392
E120	83 The Avenue, W13	47,325	New money in Oct 14		26,128	26,128	1.1 £21,197 for off-site tree replacement 1.2 £17,000 for the cost of relaying the existing footway around the perimeter of the Property 1.3 £8000 for the provision of the Crossover Works as shown on the Drawing Orig £46,197 but became £46,472 with indexation)pro-rated across the heads of terms)		4,563				21,565				26,128
E122	Manor Works Manor Road W13	108,907	New money in Oct 14		91,967	91,967	a) £16,940 towards replacement tree planting b) £4400 towards local air quality improvements - ALL DRAWN DOWN c) £141,532 towards educational provision d) £43,455 towards health care provision e) £20,209 towards play space provision f) £25,000 towards highway improvements NB - original amount was £251,536. This becomes £254,117 with indexation, which has been pro-rated across the heads of terms.		10,536				37,530		43,901		91,967
E128	45 Eaton Rise, Ealing, W5 2HE	31,085	New money in Dec 16		31,085	31,085	£30,000 towards the provision of tree planting within the local area and for no other purpose						31,085				31,085
E125	86 Cleveland Road, W13	26,076	New money in Oct 17		26,076		£26,076 towards educational provision			26,076							26,076
E131	Sherwood Close Estate, Ealing (Phase 1)	177,044	New money in Oct 17 plus indexation	(102,000)	75,044		a) £12,000 towards the consultation and implementation of a CPZ in the surrounding streets arising from demand created by the Development; b) £25,000 towards local highway improvements at the junction of Northfield Ave/Mattock Lane and crossing facilities on Northfield Ave; c) £100,000 towards the improvement of St. John's Primary School; d) £18,000 towards pedestrian, cyclist and road safety improvements identified within the applicants' submitted Pedestrian Environment Review Systems (PERS) audit; e) £18,000 towards capital planning of NHS services in the local area		56,044						19,000		75,044
E134	WEBBS NURSERY, Hanger Lane	5,095	New money in Oct 17 plus indexation		5,095		d) £2,084 towards three years post construction monitoring of the renewable energy incorporated into the Development f) £3000 towards the post construction monitoring of the Travel Plan		3,000		2,095						5,095
E135	58 Woodfield Road W5	2,547	New money in Oct 17		2,547		£2,547 towards post construction monitoring of the renewable energy incorporated into the Development				2,547						2,547
<b>Total for Ealing</b>		<b>6,241,893</b>		<b>(299,429)</b>	<b>2,481,058</b>			<b>688,568</b>	<b>689,695</b>	<b>33,605</b>	<b>280,167</b>	<b>93,109</b>	<b>40,500</b>	<b>276,345</b>	<b>53,634</b>	<b>325,435</b>	<b>2,481,058</b>
<b>Hanwell</b>																	
H1	Rapidol, 22 St Margaret's Road, W7	14,070			13,914		Cabinet, 07.10.03. St Margaret's Road open space maintenance fund 2017/18: £0.1563K for Parks maintenance						13,914				13,914
H9	1-35 Cambridge Yard, Hanwell W7 3UP (ELTHORNE) (Residential)	143,195	New money as at Nov 11	(1,819)	116,850		b) £25k towards the provision of health care support for residents of the development c) £100k towards the improvement of the local highway network and/or the provision of non-car access improvements within the vicinity of the development d) - shown on Repurposed page. Also see New S106 tab		90,031				1,819		25,000		116,850
H13	16 GOLDEN MANOR HANWELL LONDON W7 (HOBAYNE) (Residential)	149,702	New money as at Nov 11	(24,526)	109,608		a) £80,000 indexed towards the enhancement of Hanwell Community Centre; b) £56,000 indexed towards maintenance and enhancement of local parks including Churchfield Recreation Ground and Brent River Park;						85,082		24,526		109,608



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S106 ref	Address	Original amount available	Status of money: New, Interest or Repurposable	Funds offered up to GF	Amount Available Net of 16/17 & 17/18 Spend & Offer To General Fund	Terms of the agreement / previous commitment	Housing	Transport & Highways	Education	Town Centres	Skills & Employment	CPZ	Parks	Energy / Air Quality Monitoring	Health	TOTAL
H14	LAND ADJ MURRAYS YARD, 118 ST MARGARETS RD HANWELL	27,154	New money in Nov 12 plus indexation	(24,704)	2,450	£24,704 Education Contribution towards Education in Ealing								2,450		2,450
H16	Hanwell Locks, St Margaret's Road W7 (Residential)	81,844	New money in Oct 13		81,017	a) £186,000 for local education improvements; b) £20,000 primary healthcare contribution; c) £42,000 Highways contribution (for accessible ramp to tow path on south side of Trumpers Way, surfacing and widening the path from Trumpers Way via the level crossing to Windmill Lane, reviewing the waiting restrictions at junction corners in the area, construction of a raised table and highway safety improvements in the vicinity of Boston Road and Trumpers Way and monitoring of the development travel plan); d) £10,000 for the William Hobbayne allotments; e) £2,000 towards oak benches and bins along the towpath in the vicinity of the devt NB - original amount was £260K; became £287.56K with indexation, which has been pro-rated across the heads of terms		51,452					7,445		22,120	81,017
H19	79 - 101 Uxbridge Road W7	10,631	New money in Oct 14		10,631	£10,000 to fund a feasibility study and safety improvements (wider pedestrian refuges and kerb realignments) at the proposed junction of the land access with Uxbridge Road  Orig £10,000 but became £10,631 with indexation.		10,631								10,631
H20	Heath Lodge Church Road W7	86,987	New money in Dec 16	(58,880)	28,107	a) £15,000 towards highway improvements at the junction of Church Road and Cherrington Road; b) £12,000 towards the provision and running of a Car Club in the vicinity of the Property; c) £56,560 towards local education provision		28,107								28,107
H21	4-14 Shakespeare Road Hanwell W7	27,024	New money in Dec 16	(21,723)	5,301	a) £20758 towards the provision of education facilities within the borough; b) £5000 toward pedestrian safety improvements within the vicinity of the site with respect to the proposed vehicle crossing adjacent to the access to Yeats Close, Hanwell W7		5,301								5,301
H24	3 to 7 Uxbridge Road, Hanwell W7	16,175	New money in Dec 16	(4,289)	11,886	a) £4289 for local education provision at Elthorne High School; b) £1000 towards the implementation of air quality measures contained with the London Borough of Ealing Air Quality Action Plan; c) £3445 for post construction renewable energy monitoring; d) £7315 for street tree planting within 500m of the site				4,445			7,441			11,886
<b>Total for Hanwell</b>		<b>556,782</b>		<b>(135,941)</b>	<b>379,764</b>			<b>185,522</b>		<b>4,445</b>			<b>115,701</b>	<b>2,450</b>	<b>71,646</b>	<b>379,764</b>
<b>SOUTHALL</b>																
S3	St. Bernards McAlpine Phase II	110,087			108,863	Cabinet, 10.03 - St Bernard's/Windmill Lane parks maint.							108,863			108,863
S18	Land to west of Windmill Lane, Southall	8,925			8,925	Cabinet, 12.04, 02.08 and interest for future years - St Bernard's/Windmill Lane parks maint							8,925			8,925
S26	Sunningdale Court, Fleming Road, Golf Links Estate, Southall UB1	125,212	New money as at Feb 2011 and subsequent interest		125,842	Provision of some or all of the following:- a) Community garden for Peterhead Court; b) Community facilities within Golf Links Estate incl. a community hall; c) Environmental, transport, highway and parking imps within Golf Links Estate; d) Leisure & youth facilities; e) Landscaping & communal garden imps; f) Replacement windows for certain properties	125,842									125,842
S35	Phoenix House, The Green, Southall	848,632	New money in Nov 11 including indexation and subsequent interest	(20,622)	778,010	a) £80,000 towards the provision of an off site play area and/or amenity space in the vicinity of the Property; b) £30,000 towards the provision of health facilities in the provision of the Property; c) £725,000 towards the cost of providing off site affordable housing within the Borough of Ealing	725,000			20,609					32,401	778,010
S37	33 High Street, Southall, UB1 (DORMERS WELLS) (retail / residential)	47,100	New money as at Nov 11	(20,312)	26,788	a) £75,000 towards local education provision; b) £20,000 towards off-site highway improvements to School Passage and the A4020 to improve usability for cyclists; c) £8,000 toward improvements to Southall Park, £30,000 for 3 new pieces of play equipment within Southall Park and £2,100 towards the planting of street trees.		14,688	10,000				2,100			26,788
S38	154 The Broadway, Southall, UB1	10,600			10,600	New money as at Feb 2011 Maintenance & enhancement of local parks, including Spikes Bridge Park							10,600			10,600
S39	Norwood Yard, Poplar Avenue, Southall	24,752	New money in Dec 16	(11,462)	13,290	a) £10,506 towards Education; b) £10,000 local highway improvements; c) £826 towards maintenance and improvement works at Osterley Park		11,574	1,716							13,290
S40	SOUTHALL COURT, Lady Margaret Road, Southall	35,636	New money in Oct 15 plus indexation	(7,727)	27,909	a) £6690 for local education and b) £24000 towards the maintenance and enhancement of local parks and open spaces including Spikes Bridge Park (indexation pro-rated across the heads of terms).			180				27,729			27,909



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S41	162 Beaconsfield Road Southall	6,300			6,300	New money as at Feb 2011 Local parks and landscape improvements at Southall Park and The Crescent							6,300			6,300
S44	12-14 Osterley Park Road, Southall UB2	24,552	New money in Oct 14		24,552	a) £36,117 Education contribution before Occupation of development; b) £24,000 contribution towards maintenance & enhancement of Manor House grounds before Occupation				24,552						24,552
S45	Former SALISBURY DEPOT, SALISBURY RD, Southall	164,644	New money in Oct 14 plus indexation	(47,540)	79,651	a) £24K Parks towards maintenance & enhancement of local parks including Southall Recreation ground (£26,469 with indexation paid on commencement 05.11.13) Further sums are payable prior to Occupation and were paid on 07.10.14 (£243,541 with indexation):- b) £5K towards Allotments in the borough of Ealing (£5,626 with indexation); c) £122,318K towards Education in the borough of Ealing (£134,111 with indexation); d) £40,000 towards Healthcare solely in conjunction with Ealing PCT (£45,009 with indexation); d) (deleted) e) £52,808 towards local highways measures (including traffic calming, review of waiting/loading/parking restrictions adjacent to the Devt, imps to nearby bus stops, contraflow cycle access at Endsleigh Road and intro of Homezone) (£59,421 with indexation) Orig £692,000 but became £715,092 with indexation.		2,547					32,095		45,009	79,651
S46	Former Featherstone Primary School, Featherstone Rd, Southall	114,846	New money as at Nov 11.	(10,100)	104,746	a) £50,000 for extension of the CPZ in the vicinity of the development; (other heads of terms on the 'Repurposed' tab)						104,746				104,746
S47	Southbridge Way (SOUTH), Southall (Warehouse)	36,183	New money as at Nov 11 and 12.		1,741	a) £35,000 for pedestrian improvement works at the junction of Southbridge Way and The Green; b) £15,000 for ecological works in the vicinity of the property		1,741								1,741
S48	Unit 97 G Western Ind Estate, Southall	33,184	New money as at Nov 11 and subsequent interest	(6,026)	27,158	a) £15,000 towards a cycle and pedestrian audit within a 1.5 km radius of the site; b) £5,000 towards canal towpath improvements 13/14 £ALL DRAWN DOWN; c) £5,000 towards monitoring the travel plan; d) £5,000 towards a site safety audit; e) £10,000 towards compliance with any recommendations of the site safety audit		27,158								27,158
S49	293 Norwood Road Southall		New money in Oct 13		1,104	£71,342 (indexed) towards educational provision (places at existing schools)								1,104		1,104
S50	Southall gasworks	57,943	New money in Dec 16		57,943	Air Quality Strategy Development contribution towards the establishment by Ealing Council of a low emissions strategy document setting out specific measures and figures to achieve the lowest possible emissions from the Development.				57,943						57,943
S50	Southall gasworks	256,955	New money in Dec 16		61,000	Employment and training contribution					61,000					61,000
S53	75 HIGH STREET SOUTHALL	199,227	New money in Dec 16		199,227	a) £5K Disabled parking in the commercial courtyard area of the development; b) £20K for off site child play equipment serving the locality of the development; c) £126,596 for Education in the locality of the development; d) £35K for transport infrastructure in the vicinity of the development		42,708	135,165				21,354			199,227
S57	St Bernard's Hospital Phase S2, Uxbridge Road, Southall	238,566	New money in Oct 17 plus indexation	(95,828)	92,470	a) £1,750 of the total £3500 Travel Plan Review Contribution to fund the review of each travel plan (rest in Phase 3) b) £95,828 Education Contribution towards education provision within the local area of the Development c) £78,456 Healthcare Contribution towards healthcare services commissioned by either Ealing Clinical Commissioning Group or another publicly funded and regulated body responsible for commissioning primary or community care healthcare services within the NHS and within the local area of the Development d) £18,039 Public Open Space Contribution towards the improvement of local parks and public open space within the vicinity of the site e) £10,000 Windmill Lane Improvement Contribution towards the cost of cycle and pedestrian junction improvements at the Windmill Lane I Windmill Avenue junction and shared cycle/pedestrian paths on the east side of Windmill Lane from Navigator Drive and the Three Bridges width restriction f) £17,087 Play Area Contribution towards the improvement of children's play facilities within the vicinity of the Development g) £4,408.33 Cycling Contribution towards Direct Support for Cycling		16,900	5,958				36,362		33,250	92,470
S58	The Former Boatyard, Tentelow Lane, Southall	25,700	New money in Oct 14		25,700	a) £24,010 for additional or improved education provision in the Borough of Ealing ALL DRAWN DOWN 16/17 b) £25,700 for additional or improved health provision in the Borough of Ealing									25,700	25,700

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S60	PLOT 6, DEAN WAY GREAT WESTERN INDUSTRIAL ESTATE SOUTHALL	19,748	New money in Dec 16		19,748	1.1 £15,000 for improvements to cycle and pedestrian infrastructure and the implementation of an accident remedial scheme within the vicinity of the Development 1.2 £3,000 towards monitoring the Travel Plan 1.3 £1,442 towards post construction energy monitoring		18,000			1,748					19,748	
S65	Albert Dane Centre Western Road Southall	47,370	New money in Dec 16	(2,147)	45,223	a) £3900 towards air quality mitigation b) £2100 towards local education services provision c) £1352 towards on-site energy monitoring d) £20,000 towards highway safety works in the vicinity of the Property comprising a raised table at the junction of Western Road and St John's Road e) £20,000 towards street tree planting in the vicinity of the Property		20,439			4,345		20,439			45,223	
S68	96 SOUTH ROAD SOUTHALL	12,000	New money in Oct 15		12,000	a) £2,000 Air Quality Monitoring Contribution towards air quality mitigation measures contained within the London Borough of Ealing Air Quality Action Plan; b) £10,000 Highways Contribution towards highway works at the junction of Beaconsfield Road and South Road		10,000			2,000					12,000	
S70	3 Collett Way Southall	5,982	New money in Oct 15		4,981	a) £13,482 towards the offsetting of residual carbon emissions from the Development (ALL DRAWN DOWN 15/16) b) £6000 towards road safety improvements in the vicinity of the Development (including a raised pelican crossing at the junction of Windmill Lane and Armstrong Way and anti-skid surfacing in the vicinity of the junction of Armstrong Way and Windmill Lane) c) (£3000 towards the Council's cost of monitoring the Travel Plan due on occupation)		4,981								4,981	
S72	Unit A1, Bridge Road Industrial Estate, Southall	5,904	New money in Dec 16		11,838	a) £2,904 towards Post Construction Renewable Energy Efficiency Monitoring b) £3,000 towards Travel Plan Monitoring		3,000			2,904			5,934		11,838	
S74	HAVELOCK Estate Phase 1	66,211	New money in Dec 16		66,211	d) Post construction energy monitoring Contribution of £33,907 plus VAT towards the provision by Emergence Ltd of for Phase 1 of the Development e) Road Safety Improvement contribution of £25,000 - (i) towards pedestrian, cyclist and road safety improvements identified within the Developers submitted Pedestrian Environment Reviews System Audit - (ii) cycle route improvements as identified by the Councils Head of Transport and - (iii) Implementation of a Controlled Parking Zone when required		25,523			40,688					66,211	
S78	90A SOUTH ROAD SOUTHALL	501	New money in Dec 16		501	£500 air quality contribution					501					501	
<b>Total for Southall</b>		<b>2,526,760</b>		<b>(221,764)</b>	<b>1,942,321</b>		<b>850,842</b>	<b>199,259</b>	<b>153,019</b>	<b>155,290</b>	<b>61,000</b>	<b>104,746</b>	<b>274,767</b>	<b>7,038</b>	<b>136,360</b>	<b>1,942,321</b>	
<b>NORTHOLT</b>																	
N12	Steeplechase Court, Haydock Avenue, Northolt	12,167	New money in Sep 09/Nov 12 and subsequent interest		12,167	12,167	£23.543K contribution towards pre-school, primary and secondary education of the children of the residents £22,000 City Car Club paid to LBE to be given to the CCC provider.	12,167									12,167
N14	Eastcote Lane North, Northolt	10,000	New money in Nov 12		19,050	19,050	d) £11,000 towards City Car Club to set up membership of the local CCC scheme for all 36 dwellings (£1K NOT YET PAID) e) £1,100 towards pre-pay Oyster Cards with £25 credit for all 36 dwellings (NOT YET PAID)	12,050				7,000					19,050
N16	65 Belvue Road Northolt	10,698	New money in Oct 13		10,698	10,698	£10,000 indexed for the provision of an off-site children's play space and planting of street trees						10,698				10,698
N17	Eastcote Lane North, Northolt PHASE 2	84,934	New money in Oct 13	(75,959)	8,975	8,975	a) £60,000 for the provision of education facilities in the vicinity of the site; b) £30,000 for the relocating and raising of the uncontrolled pedestrian crossing near Eastcote Lane; providing a direct cycle link to Tenby Gardens; and accident remedial measures and junction improvements on the Eastcote Lane junctions in the vicinity of the site	8,975									8,975
N21	Plough Inn Mandeville Road Northolt	243,049	New money in Dec 16	(8,752)	234,297	234,297	b) £17,000 towards pedestrian safety improvements at the junction of Eastcote Lane and Mandeville Road Northolt c) £5,000 to implement a right turn bay to access the Property	195,824	13,500	21,931				3,042		234,297	
N24	Poplars Hostel, Northolt	25,000	New money in Oct 17	(5,000)	20,000		a) £20,000 for improvement of the cycle and pedestrian infrastructure adjacent to the development on Whitton Avenue West; b) £5,000 for the costs of monitoring and review of waiting, loading and parking restrictions on adjacent roads.	20,000									20,000
<b>Total for Northolt</b>		<b>385,848</b>		<b>(89,711)</b>	<b>305,187</b>		<b>195,824</b>	<b>66,692</b>	<b>21,931</b>		<b>7,000</b>	<b>10,698</b>	<b>3,042</b>			<b>305,187</b>	
<b>GREENFORD</b>																	



S106 Funding Available Per Monitor							Funds Available by Service											
S106 ref	Address	Original amount available	Status of money: New, Interest or Repurposable	Funds offered up to GF	Amount Available Net of 16/17 & 17/18 Spend & Offer To General Fund	Terms of the agreement / previous commitment	Housing	Transport & Highways	Education	Town Centres	Skills & Employment	CPZ	Parks	Energy / Air Quality Monitoring	Health	TOTAL		
G15	1109/1115 Greenford Road, Greenford, UB6	3,128	New money in Oct 14 plus interest		3,128	3,128	1.1 £25,000 as a contribution towards highway management improvements in the vicinity of the Property; 1.2 £3,000 as a contribution towards the monitoring of the Travel Plan; and 1.3 £2,000 as a contribution towards post construction energy monitoring of the buildings comprised within the Development		926								3,128	
G22	631-637 Whitton Avenue West, Greenford, UB6	21,885.00		(500.00)	28,954.60	#####	New money in Feb 2011 and subsequent interest a) £27,000 education; b) £21,200 local parks, open space and play areas; c) £1,350 provision and maintenance of street trees;						7,569.60		21,385.00			28,954.60
G26	Sudbury Arms, Sudbury Heights Avenue, Greenford, UB6	15,000			16,000	16,000	New money in Sep 09 (a) Education - £3,642 (b) CPZ - £15,000 (c) Street (tree) planting - £1,000							16,000				16,000
G27	Tesco Dot Com, 40 Auriol Drive, Greenford, UB6	7,288		(2,000)	5,288	5,288	New money as at Feb 2011 and subsequent interest a) £20,000 - improvement for local highway and cycle network b) £5,000 - Travel Plan Monitoring c) £25,000 - Env improvements (street trees and planting) (now £24K) Amount paid represents an allowance for indexation		5,288									5,288
G36	Allen Court, Ridding Lane, Greenford	27,864	New money in Oct 13	(22,684)	5,180	5,180	a) £5,000 CPZ contribution for review and public consultation on the proposals for the extension of existing controlled parking zones and waiting and loading restrictions in the vicinity of the Development; b) £33,710 towards education facilities within Ealing serving the Development; c) £100,000 for improvements to Ridding Lane Open Space and other environmental projects in the local area to be consulted on with councillors. It is a scheme to be prepared by the Council identifying: (i) the landscape works and other improvements to be carried out by the Council to the Ridding Lane Open Space; (ii) other environmental improvements to be carried out by the Council to the local area; (iii) the long-term maintenance arrangements for the works and other improvements identified in points (i) and (ii) above; and (iv) the long-term maintenance arrangements for the replacement trees to be planted within the Ridding Lane Open Space on its boundary with the Property by the Developer and the Registered Provider pursuant to the Planning Permission. d) £5,000 Travel Plan Contribution The Council is obliged to initiate the Open Space works before completion of Phase 1 of the development and finish them before completion of Phase 2 . Ridding Lane Open Space Contribution to be paid £37,500 on completion of Phase 1, £37,500 on commencement of Phase 2 and £25,000 for the maintenance of the trees on completion of Phase 2							5,180				5,180
G38	Red Lion, Greenford Road, Greenford	112,430	New money in Oct 17 plus indexation		112,430		a) £4,500 for the implementation of air quality measures contained in the London Borough of Ealing's Air Quality Action Plan; b) £2,200 for improvements to bus shelters in the vicinity of the Development; c) £73,600 for education provision within the local area of the Development; d) £1,700 for post-construction energy monitoring of the Development; e) £10,000 for Healthcare provision within the local area of the Development; f) £10,000 for comprehensive junction improvement scheme for the junction of Greenford Road and Ruislip Road; g) £5,000 for monitoring of the Travel Plan  <b>Indexation has been pro-rated across the heads of terms</b>		18,077	77,332				6,514	10,507		112,430	
G39	82-100 Horsenden Lane North, Greenford.	105,263	New money in Oct 14	(68,297)	37,496	37,496	a) £56,527 for local Education provision b) £31,670.32 Highways contribution for kerb, footway and minor landscaping, crossovers and resurfacing of the carriageway, lighting and subsequent adoption c) £11,000 Parks Contribution for provision of children's play equipment in the vicinity of the Development d) £770 for Street Trees in the vicinity of the Development e) £5,000 Transport Contribution for introducing waiting restrictions on the access road linking Horsenden Lane North to the park		37,496									37,496
G42	301-303 Ruislip Road Greenford	85,391	New money in Dec 16		85,391	85,391	a) £5,098 for the post construction energy monitoring of the photo voltaic panels at the Development b) £45,293 towards the provision of play equipment and improvements to Ravenor Park Greenford required as a result of the Development c) £35,000 towards improvements to the pedestrian and cycle links between the Property and the Grand Union canal and the commissioning of a transport improvements feasibility appraisal by the Council required as a result of the Development		35,000				45,293	5,098			85,391	

S106 Funding Available Per Monitor							Funds Available by Service									
S106 ref	Address	Original amount available	Status of money: New, Interest or Repurposable	Funds offered up to GF	Amount Available Net of 16/17 & 17/18 Spend & Offer To General Fund	Terms of the agreement / previous commitment	Housing	Transport & Highways	Education	Town Centres	Skills & Employment	CPZ	Parks	Energy / Air Quality Monitoring	Health	TOTAL
G46	UNIT 2, 40 AURIOL DRIVE GREENFORD UB6 0TP	64,397	New money in Oct 17 plus indexation		54,254	a) £3477 Energy Monitoring Contribution; b) £20,000 towards improvement works to the junction of Greenford Road and Rockware Avenue; c) £10,000 towards the improvement of the pedestrian crossing on Oldfield Lane North, Greenford; d) £20,000 towards the construction of a roundabout to improve the junction between Oldfield Lane North and Rockware Avenue Greenford; e) £7,000 towards the construction of a speed table at the junction of Auriol Drive and Oldfield Lane North, Greenford; f) £3000 towards the monitoring of the travel plan by the Council  <i>Indexation has been pro-rated across the heads of terms</i>		50,727						3,527		54,254
Total for Greenford		442,646		(93,481)	348,122	536,127		147,514	77,332	7,570		21,180	66,678	17,341	10,507	348,122
<b>PERIVALE</b>																
PE5	Former Hoover Building Building No. 7 Western Avenue Perivale (2014)	30,000	New money in Oct 14		31,250	1.1: £25,000 towards traffic congestion mitigation measures 1.2: £5,000 towards improvements to the cycling infrastructure		31,250								31,250
PE7	Nuffield Arms, Alperton Lane, PERIVALE	25,398	New money in Oct 15		25,398	a) £5,200 towards implementing the air quality measures contained within the London Borough of Ealing Air Quality Action Plan; b) £20,000 towards highway works at the junction of Alperton Lane and Western Avenue.		20,198		5,200						25,398
PE9	Hoover Building One (2016)	968,532	New money in Dec 16		922,973	a) £727,385 towards the provision of affordable housing in the Borough of Ealing; b) £214,615 towards local play facilities in Ealing Sports Ground and Pitshanger Park in the Borough of Ealing; c) £18,000 towards road safety improvements (a raised table or possible widening of pedestrian refuges, additional bollards and yellow lines) at the junction of Dawlish Avenue and for travel plan monitoring of the Development.	688,291	18,160					216,522			922,973
PE11	Perivale Methodist Church May Gardens Perivale	5,900	New money in Oct 17		5,900	a) £900 towards the implementation of air quality measures contained within the London Borough of Ealing Air Quality Action Plan; and b) £5,000 towards the implementation of on street parking restrictions adjoining the entrance to the site, to enable improved access for delivery and emergency vehicles		5,000		900						5,900
Total for Perivale		1,029,830			985,521		688,291	74,608		6,100			216,522			985,521
<b>TOTAL:</b>		<b>19,674,184</b>		<b>(1,593,293)</b>	<b>11,688,388</b>		<b>2,477,924</b>	<b>3,001,559</b>	<b>894,404</b>	<b>1,098,309</b>	<b>328,868</b>	<b>201,426</b>	<b>2,423,405</b>	<b>84,877</b>	<b>1,177,615</b>	<b>11,688,387</b>

**Service / Area Summary 2018/19**

Description	Housing	Transport & Highways	Education	Town Centres	Skills & Employment	CPZ	Parks	Energy / Air Quality Monitoring	Health	TOTAL
New S106 - Feb 19	403,453	599,231	1,254,432	8,603	81,188	21,518	724,614	66,037	344,654	<b>3,503,730</b>
Repurposed S106 - Feb 19	0	0	0	48,454	0	0	0	N/A	0	<b>48,454</b>
S106 from all Previous Cabinets	2,477,925	3,001,559	894,404	1,098,309	328,868	201,426	2,423,405	84,877	1,177,615	<b>11,688,388</b>
<b>Total</b>	<b>2,881,378</b>	<b>3,600,790</b>	<b>2,148,836</b>	<b>1,155,366</b>	<b>410,056</b>	<b>222,944</b>	<b>3,148,019</b>	<b>150,914</b>	<b>1,522,269</b>	<b>15,240,572</b>

Description	Acton	Park Royal	Ealing	Hanwell	Southall	Northolt	Greenford	Perivale	TOTAL
New S106 - Feb 19	2,033,243	437,000	988,687	5,000	15,000	14,800	0	10,000	<b>3,503,730</b>
Repurposed S106 - Feb 19	0	0	0	0	48,454	0	0	0	<b>48,454</b>
S106 from all Previous Cabinets	3,142,666	2,103,749	2,481,058	379,764	1,942,321	305,187	348,122	985,521	<b>11,688,388</b>
<b>Total</b>	<b>5,175,909</b>	<b>2,540,749</b>	<b>3,469,745</b>	<b>384,764</b>	<b>2,005,775</b>	<b>319,987</b>	<b>348,122</b>	<b>995,521</b>	<b>15,240,572</b>

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**Report for:  
INFORMATION**

**Item Number: 10**

10.

<b>Contains Confidential or Exempt Information</b>	<b>NO</b>
<b>Title</b>	<b>Council Performance Report Quarter 3, 2018/19</b>
<b>Responsible Officer(s)</b>	<b>Kieran Read</b> Director of Strategy & Engagement 020 8825 6395 Email: <a href="mailto:ReadK@ealing.gov.uk">ReadK@ealing.gov.uk</a>
<b>Author(s)</b>	<b>Rowena Steward</b> Research and Performance Officer 0208 825 8983 Email: <a href="mailto:StewardR@ealing.gov.uk">StewardR@ealing.gov.uk</a>  <b>Rajiv Ahlawat</b> Research and Performance Manager 0208 825 6380 Email: <a href="mailto:AhlawatR@ealing.gov.uk">AhlawatR@ealing.gov.uk</a>
<b>Portfolio(s)</b>	Councillor Bassam Mahfouz (Finance & Leisure)
<b>For Consideration By</b>	Cabinet
<b>Date to be Considered</b>	19 March 2019
<b>Implementation Date if Not Called In</b>	N/A – Information only
<b>Affected Wards</b>	All
<b>Keywords/Index</b>	Key Indicators, Priorities, Performance, Corporate Plan
<b>Purpose of Report:</b> This report provides a description of performance against a select set of indicators during the third quarter of 2018/19. These performance indicators sit within the Corporate Plan 2018-22 and performance against them demonstrates progress against the Council's priorities.	

## 1. Recommendations

That Cabinet

- i) Notes the contents of the report; and
- ii) Notes the progress made against the Corporate Plan performance indicators during the third quarter of 2018/19 (October – December).

## 2. Reason for Decision and Options Considered

The purpose of this report is to provide a summary of the Council's performance in the third quarter of 2018/19 against key indicators set out in the Corporate Plan for 2018-22.



### 3. Year to date performance as at the end of Quarter 3 2018/19

#### 3.1 Introduction

The Corporate Plan performance framework comprises of 76 performance indicators organised around the nine Future Ealing outcomes prioritised for delivery during the period 2018-22. These outcomes are:

1. A growing economy creates jobs and opportunities for Ealing residents to reduce poverty and increase incomes
2. Children and young people fulfil their potential
3. Children and young people grow up safe from harm
4. Residents are physically and mentally healthy, active and independent
5. Ealing has an increasing supply of quality and affordable housing
6. Crime is down and Ealing residents feel safe
7. The borough has the smallest environmental footprint possible
8. Ealing is a clean borough and a high quality place where people want to live
9. Ealing is a strong community that promotes diversity with inequality and discrimination reduced

In addition, a set of measures monitors progress under the modern council theme, such as better use of technology, being more efficient and commercial, and embedding new workforce values and behaviours throughout our practices and processes.

This report provides key performance headlines and a summary list of indicators with their year-to-date performance (Appendix 1).

Detailed performance information, including trends and analysis, is available on the Council's website through our public performance dashboard:

[www.ealing.gov.uk/performance](http://www.ealing.gov.uk/performance)

#### 3.2 Year-to-date performance against targets at the end of Quarter 3 2018/19

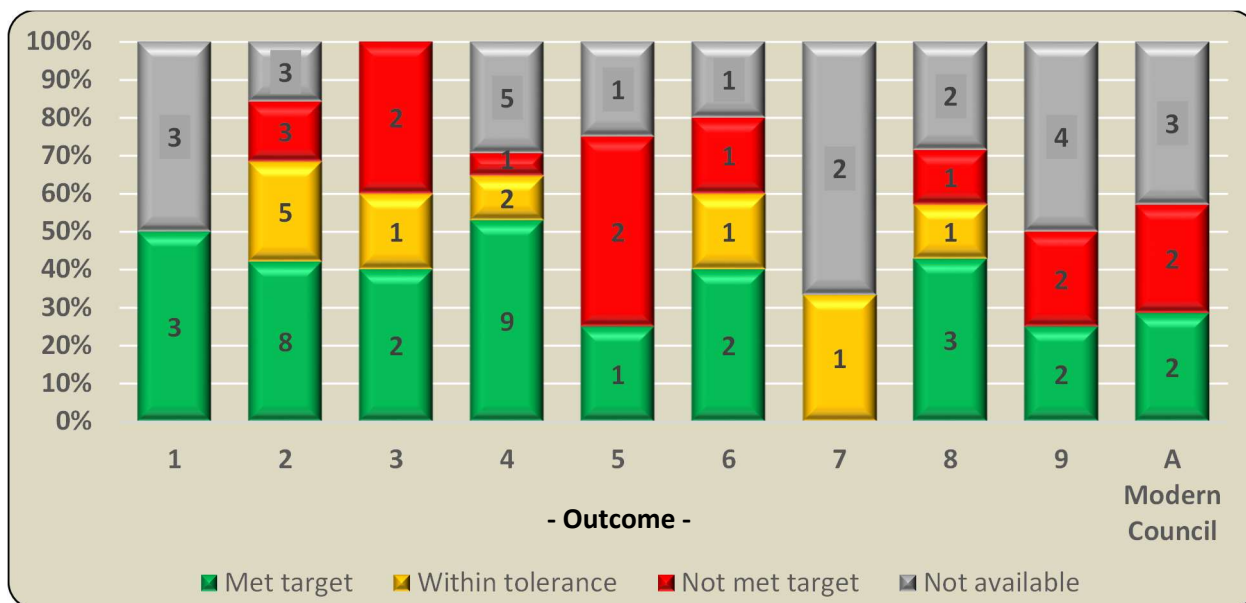
Performance outturns for their respective periods are now available for 52 of the 76 indicators. The remaining 24 indicators are time-lagged, that is their performance becomes available a certain period after the end of the quarter, therefore will be available later. Table 1 summarises the performance at the end of Q3 this year. Over three quarters (77%) of the available indicators have either met their period targets or were within tolerance of the same.

**Table 1: Performance against target – Year to date position as at Quarter 3 2018/19**

Status	Number of PIs	Percent of total PIs	Percent of available PIs
Green – Met target	30	39%	58%
Amber - Within tolerance	10	13%	19%
Red - Not met target	12	16%	23%
Not available	24	32%	-
<b>Total</b>	<b>76</b>	<b>100%</b>	<b>100%</b>

Figure 1 below shows the performance status of all the indicators organised by each of the nine Future Ealing outcomes and the Modern Council theme. Each bar represents 100% of the measures within an outcome, and the actual number of measures that are Red, Amber, Green or Not available are also shown on the bars. For example, out of the six measures in Outcome 1, three (50%) have met their targets (Green) while the other three (50%) have no data available at present (Grey). Note that five measures are common to two of the Future Ealing outcomes; since these measures are included under both outcomes in the chart below, the total number of measures adds up to 81 even though the number of unique indicators is 76.

**Figure 1: Year to Date (YTD) 2018/19 performance status by Future Ealing outcome**



The following sections of the report highlight key areas that have shown good performance during the year so far, as well as those that need improvement in order to meet their targeted performance. The full list of indicators and their performance is included at Appendix 1.

### 3.3 Areas showing good performance

- **First time entrants (FTEs) to the Youth Justice System** – the rate of 10-17 year old FTEs was 154.9 per 100,000 at the end of Quarter 3, well within the targeted level of 247.5 per 100,000.
- **Young people who have re-offended** – at the end of Quarter 2 (most recent data available), 3.6% of young people in Ealing who had committed serious youth violence, had re-offended in the year, which was within the targeted level of 4.0%.
- **Young people not in education employment, or training (NEETs)** – the percentage of 16-17 year olds not in education, employment and training at the end of Quarter 3 was 1.3%, against the target of 1.9%.
- **Residents supported to gain work and achieving accredited qualifications** – this year to date, 487 out of work residents have been supported to gain work, while 947 accredited qualifications have been achieved; this performance is better than the targeted levels for both measures as outlined in Table 2.

**Table 2: Out of work residents supported to gain work and achieve qualifications 2018/19 YTD**

Performance measures	Perf. YTD 2017/18	Target YTD 2018/19	Perf. YTD 2018/19	RAG status YTD 2018/19
Number of out of work residents supported to gain work	439	392	487	Green
Number of accredited qualifications achieved by out of work residents	930	643	947	Green

- **Households in temporary accommodation** – there were 2,244 households living in temporary accommodation at the end of the third quarter, within the targeted level of 2,274 households and a slight improvement upon the Quarter 2 figure of 2,253.
- **London Living Wage (LLW) employers** – four more employers in Ealing registered as a London Living Wage employer in the third quarter of this year, taking the total to 26, thus meeting the targeted level of 26 employers.
- **Admissions into permanent residential and nursing care (aged 65+)** – the rate of admissions at the end of Quarter 3 was 282.2 per 100,000, well below the targeted level of 407.4 per 100,000.
- **Delayed transfers of care (DTOC)** – at the end of Quarter 3, all three delayed transfer of care measures were performing well against their targets and showing an improvement on performance for the corresponding period last year (Table 3).

**Table 3: Delayed transfers of care per 100,000 population, 2018/19 YTD**

Performance measures	Perf. YTD 2017/18	Target YTD 2018/19	Perf. YTD 2018/19	YTD RAG status
Delayed transfers of care - Social care (Rate of delayed beds per 100,000 population)	6.8	4.6	3.3	Green
Delayed transfers of care - NHS & Social care (Rate of delayed beds per 100,000 population)	0.7	0.3	0.2	Green
Delayed transfers of care - Whole system (Rate of delayed beds per 100,000 population)	11.0	7.1	6.7	Green

- **Incidents of domestic abuse** – during Quarter 3, the rate of incidents of domestic abuse (violence with injury) was 2.06 per 1,000 population, within the targeted level of 2.13 per 1,000.
- **Incidents of assault with injury** – the rate of incidents of assault with injury, grievous bodily harm/ wounding and common assault, was 13.14 per 1,000 at the end of Quarter 3, performing within targeted level of 13.17 per 1,000.
- **Delivering the Better Lives programme** – the Better Lives programme aims to transform the delivery of adult social care services and achieve better outcomes for residents. Year-to-date performance of the indicators in this set is shown in the table

below (Table 4). Only the number of care reviews failed to achieve its year to date target, with 2,811 reviews completed against a target of 3,839. While the lower than targeted level of review activity reflects the resource pressures in some areas of the service, there are still a number of reviews which haven't yet been included in the year to date total and are subject to ratification. Once records have been fully updated and the reviews ratified, performance should show an improvement.

**Table 4: Better Lives performance 2018/19 YTD**

Performance measures	Target YTD 2018/19	Perf. YTD 2018/19	YTD RAG status
Average waiting time for assessments (days)	108	86	Green
Number of contacts to the front door	33,843	24,464	Green
Number of referrals from the contact centre	1,202	824	Green
Number of care reviews	3,839	2,811	Red

- **Green Flag awards** – Ealing was ranked sixth amongst London boroughs for the number of Green Flag awards received for well managed parks and green spaces in the borough, exceeding the targeted rank of eighth.
- **Street cleanliness** – the four street cleanliness measures are assessed through three tranches of surveys carried out every year. Results from the second tranche in 2018/19, outlined in Table 5, show that two of the four measures performed better than their targets. The second two measures are included in section 3.4.

**Table 5: Street Cleanliness performance 2018/19 YTD**

Performance measures	Perf Tranche 2 2017/18	Target Tranche 2 2018/19	Perf Tranche 2 2018/19	RAG Tranche 2 2018/19
Percent of streets free from litter	92.8%	93.0%	93.7%	Green
Percent of streets free from detritus	96.1%	92.0%	93.9%	Green

### 3.4 Areas for improvement

- **Number of Looked After Children (LAC) and the Rate of LAC per 10,000 children** – at the end of Quarter 3 there were 361 (44.1 per 10,000) children who were being looked after by the Council, greater than the targeted figure of 299 (36.6 per 10,000) and an increase on the 338 children (41.3 per 10,000) being looked after at the end of Quarter 2.

The number of Unaccompanied Asylum-seeking Children (UASC) has been a contributing factor to the overall rise in the looked after children number in Ealing over the last quarter, with 42 UASC starting to be looked after in Quarter 3 compared with 25 in the previous quarter.

Despite performance remaining poor against the set targets this quarter, performance remains strong compared with the London (49.0 per 100,000) and England (64.0 per 100,000) averages from year-end 2017/18.

- **Genuinely affordable homes** – the number of genuinely affordable homes at council, social and London Living rents completed in the borough by the end of Quarter 3 was 130, against the targeted output of 145 homes. The balance of these homes has slipped into the final quarter of the year. At this point a total of 611 houses at council, social and London living rents are forecast to be completed by year-end 2018/19, against a target of 654 units. The nature of housing development means that both housing starts and completions can move in and out of financial years.

The Council's new build programme is on track delivering 98 homes as at Q3 and a total of 115 by year-end. The slippage is the portion of new supply coming from housing associations, where a number of schemes have been slower to start than anticipated. Officers regularly liaise with housing associations working in the borough to ensure that completions and start on sites are tracked and recorded in a timely manner. Over half of the genuinely affordable homes are to be delivered by housing associations who have been more exposed to wider market conditions, resulting in delays to some completions of genuinely affordable homes.

It should be noted that some shared ownership homes may also be included in the genuinely affordable housing delivery figure, where those homes are at a lower price point. We are forecast to have delivered 243 such homes by the end of this financial year, thus providing a total of 854 homes being built.

- **Repeat victims of anti-social behaviour** – at the end of Quarter 3 the rate of repeat victims of anti-social behaviour was 0.88 per 1,000. Though this is greater than the targeted year to date level of 0.81 per 1,000, it represents the maintenance of performance at the same level as at the end of Quarter 2.
- **Household waste sent for reuse, recycling and composting** – at the end of Quarter 3 this year, 51.4% of the Ealing's waste was sent for reuse, recycling and composting; missing the year to date target (53.0%), but within tolerance.

Whilst slightly more material was collected for recycling from the kerbside in Quarter 3 this year (20,533 tonnes) than in the same quarter last year (20,387 tonnes), increased contamination levels meant that a larger than anticipated proportion of this had to be sent for disposal. Responsibility for the removal of contamination before the material for recycling is sent for further reprocessing falls to the contractor. The Council will therefore be more closely monitoring this process at Greenford Depot for the remainder of the financial year.

By way of comparison, Quarter 2 LAPS benchmarking data compiled by London Councils shows that the London average recycling rate was 33.8% (based on data supplied by 13 boroughs), which would suggest that Ealing is performing strongly when compared with other boroughs.

- **Street cleanliness** – the four street cleanliness measures are assessed through three tranches of surveys carried out every year. Results from the second tranche in 2018/19, outlined in Table 6, show that one measure (percent of streets free from

graffiti) performed within tolerance, while another (percent of streets free of fly posting) fell short of its target.

**Table 6: Street Cleanliness performance 2018/19 YTD**

<b>Performance measures</b>	<b>Perf Tranche 2 2017/18</b>	<b>Target Tranche 2 2018/19</b>	<b>Perf Tranche 2 2018/19</b>	<b>RAG Tranche 2 2018/19</b>
Percent of streets free from graffiti	96.3%	97.0%	96.5%	<b>Amber</b>
Percent of streets free of fly posting	95.2%	98.0%	96.6%	<b>Red</b>

#### **4. Financial**

The Council maintained a strong financial position, reporting a forecast general fund revenue outturn position for 2018/19 at the end of period 8 of £245.020m (£246.683m at period 6). This represents a forecast net overspend of £0.959m (0.39%) (£2.622m and 1.07% at period 6) against a General Fund revenue budget of £244.061m.

It achieved this by delivering £8.122m of savings, use of corporate budgets and reserves, in year mitigations and through monthly monitoring of its financial budgets.

There are no direct financial implications arising from this report. Where appropriate, improvement actions to any indicators performing below target will be delivered within existing budgets.

#### **5. Legal**

There are no direct legal implications as part of this report.

#### **6. Value for Money**

Having clear objectives and measurable targets assists the Council to ensure that all activity is focused on delivery, makes managers accountable for that delivery and increases effectiveness.

#### **7. Sustainability Impact Appraisal**

None.

#### **8. Risk Management**

There is a clear link between managing performance and risk management. Performance indicators are used to regularly monitor the performance of services – this information is used to highlight trends in performance and enable the early identification of any potential issues. Through this regular monitoring of information by both members and senior officers, informed decisions can be made regarding any mitigating actions that need to be taken.

#### **9. Community Safety**

There are no direct community safety implications as part of this report.

## 10. Links to the 3 Key Priorities for the Borough

Performance measures in the framework contribute to all three key priorities for the borough.

## 11. Equalities, Human Rights and Community Cohesion

None.

## 12. Staffing/Workforce and Accommodation implications:

None.

## 13. Property and Assets

None.

## 14. Any other implications:

None.

## 15. Consultation

None. This report is for information only.

## 16. Timetable for Implementation

Implementation is dependent on specific action plans for improving performance in key areas.

## 17. Appendices

Appendix 1: Complete list of indicators and year to date performance at the end of Q3 2018/19

## 18. Background Information

- Ealing Corporate Plan 2018-2022

### Consultation

Name of consultee	Post held	Date sent to consultee	Date response received	Comments appear in paragraph:
Internal				
Stephen Meah-Sims	Head of Strategy and Performance	12/02/2019		

Kieran Read	Director of Strategy and Engagement	12/02/2019	20/02/2019	Section 3
Brian Khumalo	Senior Finance Business Advisor	14/02/2019	21/02/2019	Section 4
Corporate Board		21/02/2019	27/02/2019	
Councillor Mahfouz	Cabinet Member for Finance and Leisure	22/02/2019	26/02/2019	
<b>External</b>				

### **Report History**

<b>Decision type:</b>	<b>Urgency item?</b>
For information	
<b>Report no.:</b>	<b>Report authors and contact for queries:</b>
	Rajiv Ahlawat – extn 6380 Rowena Steward – extn 8983



## Appendix 1: Complete list of indicators and year to date performance at the end of Q3 2018/19

The table below lists all the 76 performance indicators under the nine Future Ealing outcomes and the Modern Council theme. It also shows their year-to-date (YTD) performance against the YTD targets, as well as performance status in terms of being Red, Amber or Green (RAG). The column titled 'Period' shows which period the performance relates to, as performance for some of the annual measures has already become available (e.g. the educational attainment indicators).

**Table 1.1: Year to date (YTD) Performance scorecard for Quarter 3 2018/19**

Performance measures	Scale	Period	YTD Performance	YTD Target	YTD RAG status
<b>Outcome 1: A growing economy creates jobs and opportunities for Ealing residents to reduce poverty and increase incomes</b>					
Number of new homes delivered	Bigger is better	Annual	Not available	1297	Not available
New employment/ commercial floorspace	Bigger is better	Annual	Not available	25,000 sq.m	Not available
Number of out of work residents supported to gain work	Bigger is better	Quarter 3	487	392	Green
Number of accredited qualifications achieved by out of work residents	Bigger is better	Quarter 3	947	643	Green
Number of apprenticeship vacancies generated	Bigger is better	Annual	Not available	187	Not available
Number of registered London Living Wage employers	Bigger is better	Quarter 3	26	26	Green
<b>Outcome 2: Children and young people fulfil their potential</b>					
Percentage of children achieving a good level of development at the Early Years Foundation Stage	Bigger is better	Annual	72.0%	73.3%	Amber
Percentage of pupils reaching the expected standard in Reading, Writing and Maths at Key Stage 2	Bigger is better	Annual	67.8%	67.0%	Green
Percentage of pupils receiving a standard pass in English and Maths at Key Stage 4	Bigger is better	Annual	69.4%	71.5%	Amber
Progress 8 (Progress across 8 qualifications)	Bigger is better	Annual	0.53	0.31	Green
Percentage of students achieving level 3 by 19	Bigger is better	Annual	70.1%	70.0%	Green
Percentage of Ealing schools rated good or outstanding - primary	Bigger is better	Quarter 3	88.2%	89.7%	Amber
Percentage of Ealing schools rated good or outstanding - secondary	Bigger is better	Quarter 3	92.9%	93.3%	Amber
Percentage of Ealing schools rated good or outstanding - Special	Bigger is better	Quarter 3	100.0%	100.0%	Green
Percentage of pupils with special educational needs (SEN) reaching the expected standard in Reading, Writing and Maths at Key Stage 2	Bigger is better	Annual	23.9%	25.0%	Red

Performance measures	Scale	Period	YTD Performance	YTD Target	YTD RAG status
Percentage of pupils with special educational needs (SEN) receiving a standard pass in English and Maths at Key Stage 4	Bigger is better	Annual	34.9%	32.0%	Green
Percentage of students with special educational needs (SEN) achieving level 3 by 19	Bigger is better	Annual	46.7%	47.5%	Amber
Gap between Black Caribbean pupils and all pupils nationally reaching the expected standard in Reading, Writing and Maths at Key Stage 2	Smaller is better	Annual	11.6% points	13.0% points	Green
Gap between Black Caribbean pupils and all pupils nationally receiving a standard pass in English and Maths at Key Stage 4	Smaller is better	Annual	13.9% points	14.0% points	Green
Gap between disadvantaged pupils and all other pupils nationally reaching the expected standard in Reading, Writing and Maths at Key Stage 2	Smaller is better	Annual	12.5% points	9.0% points	Red
Gap between disadvantaged pupils and all other pupils nationally receiving a standard pass in English and Maths at Key Stage 4	Smaller is better	Annual	11.4% points	10.0% points	Red
Percentage of 16-17 year olds not in education, employment or training	Smaller is better	Quarter 3	1.3%	1.9%	Green
Proportion of schools compliant with statutory obligations relating to securing independent and impartial information, advice and guidance from qualified professionals	Bigger is better	Annual	Not available	90.0%	Not available
Proportion of state funded secondary schools that are 50% compliant with Gatsby Standards	Bigger is better	Annual	Not available	50.0%	Not available
Proportion of state funded secondary schools that are 75% compliant with Gatsby Standards	Bigger is better	Annual	Not available	35.0%	Not available
<b>Outcome 3: Children and young people grow up safe from harm</b>					
Rate of looked after children per 10,000 population aged 0-17	Smaller is better	Quarter 3	44.1	36.6	Red
Number of looked after children	Smaller is better	Quarter 3	361	299	Red
First time entrants to the Youth Justice System aged 10-17 (Rate per 100,000 10-17 population)	Smaller is better	Quarter 3	154.9	247.5	Green
Percentage of young people who have committed serious youth violence, who have re-offended in the year	Smaller is better	Quarter 2	3.6%	4.0%	Green
Percentage of young offenders engaged in suitable education, training or employment	Bigger is better	Quarter 3	80.3%	83.5%	Amber

Performance measures	Scale	Period	YTD Performance	YTD Target	YTD RAG status
<b>Outcome 4: Residents are physically and mentally healthy, active and independent</b>					
Average waiting time for assessments (days)	Smaller is better	Quarter 3	86	108	Green
Number of contacts to the front door	Smaller is better	Quarter 3	24,464	33,843	Green
Number of referrals from the contact centre	Smaller is better	Quarter 3	824	1202	Green
Number of care reviews	Bigger is better	Quarter 3	2,811	3,839	Red
Admissions into permanent residential and nursing care (Rate per 100,000 population aged 65+)	Smaller is better	Quarter 3	282.2	407.4	Green
Percentage of service users who have control over their daily life	Bigger is better	Annual	Not available	67.9%	Not available
Percentage of service users who say that care and support services help them in feeling safe	Bigger is better	Annual	Not available	85.3%	Not available
Percentage people who use services who are satisfied with their care and support	Bigger is better	Annual	Not available	61.5%	Not available
Percentage of people who use services who find it easy to find information about support	Bigger is better	Annual	Not available	72.5%	Not available
Delayed transfers of care - Whole system (Rate per 100,000 population)	Smaller is better	Quarter 3	6.7	7.1	Green
Delayed transfers of care - Social care (Rate per 100,000 population)	Smaller is better	Quarter 3	3.3	4.6	Green
Delayed transfers of care - NHS & Social care (Rate per 100,000 population)	Smaller is better	Quarter 3	0.2	0.3	Green
Child excess weight in 4-5 year olds	Smaller is better	Annual	21.4%	20.7%	Amber
Child excess weight in 10-11 year olds	Smaller is better	Annual	37.8%	38.0%	Green
Percentage of children achieving a good level of development at the Early Years Foundation Stage	Bigger is better	Annual	72.0%	73.3%	Amber
Percentage of 5-year olds who are free from obvious dental decay	Bigger is better	Biennial	69.3%	62.5%	Green
Rate of hospital admissions per 100,000 population for alcohol-related conditions (Narrow definition)	Smaller is better	Annual	Not available	645.0	Not available
<b>Outcome 5: Ealing has an increasing supply of quality and affordable housing</b>					
Percentage of total homes delivered that are genuinely affordable	Bigger is better	Annual	Not available	50%	Not available
Genuinely affordable homes at council, social and London Living rents	Bigger is better	Quarter 3	130	145	Red

Performance measures	Scale	Period	YTD Performance	YTD Target	YTD RAG status
Percentage of homelessness approaches resulting in placements into temporary accommodation	Smaller is better	Quarter 2	16.5%	13.5%	Red
Number of households in temporary accommodation	Smaller is better	Quarter 3	2,244	2,274	Green
<b>Outcome 6: Crime is down and Ealing residents feel safe</b>					
Rate of repeat victims of anti-social behaviour per 1,000 population	Smaller is better	Quarter 3	0.88	0.81	Red
Rate of incidents of domestic abuse - Violence with injury per 1,000 population	Smaller is better	Quarter 3	2.06	2.13	Green
Rate of incidents of assault with injury, grievous bodily harm/ wounding and common assault per 1,000 population	Smaller is better	Quarter 3	13.14	13.17	Green
Percentage of people feeling safe in the local neighbourhood after dark	Bigger is better	Biennial	Not available	74.0%	Not available
Rate of drug/ alcohol related ambulance attendances per 1,000 population	Smaller is better	Quarter 3	7.78	7.59	Amber
<b>Outcome 7: The borough has the smallest environmental footprint possible</b>					
Percentage of household waste sent for reuse, recycling and composting	Bigger is better	Quarter 3	51.4%	53.0%	Amber
Percentage of trips by walking, cycling and public transport	Bigger is better	Annual	Not available	62%	Not available
Inward investment secured in walking, cycling and public transport	Bigger is better	Annual	Not available	£3.209m	Not available
<b>Outcome 8: Ealing is a clean borough and a high quality place where people want to live</b>					
Street cleanliness (Percentage streets free of litter)	Smaller is better	Tranche 2	93.7%	93.0%	Green
Street cleanliness (Percentage streets free of detritus)	Smaller is better	Tranche 2	93.9%	92.0%	Green
Street cleanliness (Percentage streets free of graffiti)	Smaller is better	Tranche 2	96.5%	97.0%	Amber
Street cleanliness (Percentage streets free of fly posting)	Smaller is better	Tranche 2	96.6%	98.0%	Red
Rank among all London boroughs for number of Green Flag awards received	Smaller is better	Annual	6	8	Green
Number of participants attracted to take part in the borough's cultural offer annually	Bigger is better	Annual	Not available	Not available	Not available
Percentage of parks and green spaces with an active group carrying out management and maintenance	Bigger is better	Annual	Not available	15.0%	Not available

Performance measures	Scale	Period	YTD Performance	YTD Target	YTD RAG status
<b>Outcome 9: Ealing is a strong community that promotes diversity with inequality and discrimination reduced</b>					
Difference in employment rate of residents from White and ethnic minority groups	Smaller is better	Annual	Not available	14.9	Not available
Gap between Black Caribbean pupils and all pupils nationally reaching the expected standard in Reading, Writing and Maths at Key Stage 2	Smaller is better	Annual	11.6	13.0	Green
Gap between Black Caribbean pupils and all pupils nationally receiving a standard pass in English and Maths at Key Stage 4	Smaller is better	Annual	13.9	14.0	Green
Gap between disadvantaged pupils and all other pupils nationally reaching the expected standard in Reading, Writing and Maths at Key Stage 2	Smaller is better	Annual	12.5	9.0	Red
Gap between disadvantaged pupils and all other pupils nationally receiving a standard pass in English and Maths at Key Stage 4	Smaller is better	Annual	11.4	10.0	Red
Percentage of adults who have done voluntary work in the last 12 months	Bigger is better	Biennial	Not available	27.0%	Not available
Percentage of residents who agree that people get on well with those from different backgrounds	Bigger is better	Biennial	Not available	92.0%	Not available
Percentage of people who report having sufficient social contact	Bigger is better	Annual	Not available	72.6%	Not available
<b>A Modern Council</b>					
Percentage of customers who feel the council is easy to contact	Bigger is better	Every six months	Not available	75.0%	Not available
Percentage of customers who have their issue resolved at the first point of contact	Bigger is better	Every six months	80.0%	80.0%	Green
Percentage of customers completing their current/started transaction	Bigger is better	Every six months	Not available	Not available	Not available
Percentage of customers using digital to access council services	Bigger is better	Every six months	64.0%	75.0%	Red
Percentage of services available and easily accessed through digital	Bigger is better	Quarter 3	60.0%	65.0%	Red
Mean gender pay gap for council employees	Smaller is better	Annual	Not available	4.2% points	Not available
Sickness absence (Number of days lost per FTE)	Smaller is better	Quarter 3	7.2	7.2	Green

<b>Contains Confidential or Exempt Information</b>	<b>NO</b>	<b>11.</b>
<b>Title</b>	Authority to Tender the School Meal Service	
<b>Responsible Officer</b>	Gary Redhead, Assistant Director, Schools Planning and Resources, Ext. 5773, E-mail: <a href="mailto:gredhead@ealing.gov.uk">gredhead@ealing.gov.uk</a>	
<b>Author</b>	Laurence Field, 020 8825 5425, Email: <a href="mailto:fieldl@ealing.gov.uk">fieldl@ealing.gov.uk</a> Richard Sims, 020 8825 9807, Email: <a href="mailto:SimsR@ealing.gov.uk">SimsR@ealing.gov.uk</a>	
<b>Portfolio</b>	Councillor Johnson, Cabinet Member for Schools and Children's Services and Deputy Leader	
<b>For Consideration By</b>	Cabinet	
<b>Date to be Considered</b>	19 March 2019	
<b>Implementation Date if Not Called In</b>	01 April 2019	
<b>Affected Wards</b>	All	
<b>Keywords/Index</b>	School Catering, Meals, Re-tendering, Procurement, Ealing School Catering Consortium (ESCC)	

**Purpose of Report:**

To obtain authority from Cabinet to tender a contract for the school meal service on behalf of 57 Primary and Special schools which form the Ealing School Catering Consortium (ESCC) as the existing contract with Harrison Catering Services Limited expires on 31<sup>st</sup> July 2020.

To obtain authority from Cabinet to research options available in respect of tendering the contract for this service with either a neighbouring authority and/or a consortium of other London Boroughs.

To seek a commitment for the Council to provide contract management services for the duration of the new contract.

**1. Recommendations**

It is recommended that Cabinet:

- 1.1 authorises the Executive Director for Children, Adults and Public Health to invite and evaluate tenders for the contract for the provision of the school meal service either for Ealing Council alone or as a joint procurement with one or more other London Boroughs;
- 1.2 notes that authority will be sought from Cabinet to award the new contract in early 2020, with the new contract commencing from 1<sup>st</sup> August 2020;
- 1.3 agrees to the Council providing contract management services for the duration of the new contract, provided that the Council's own management costs are reimbursed by the ESCC.



## 2. Reason for Decision and Options Considered

- 2.1 The existing contract, currently in place with Harrison Catering Services Limited, is due to expire on 31<sup>st</sup> July 2020.
- 2.2 The management committee of the ESCC, on behalf of its members, has requested the Council to:
  - 2.2.1 undertake the work of tendering and evaluation of tenders and the subsequent award of the contract, as it cannot enter contracts in its own right;
  - 2.2.2 provide contract management services for the duration of the new contract.

The ESCC is prepared to reimburse the Council the cost of undertaking this work.

## 3. Key Implications

- 3.1 Responsibility for the provision of a mid-day meal service has been delegated to all schools and their governing bodies. The provision of a meal service is a legal requirement under the Education Act 1996. Consequently, schools are able to make their own arrangements regarding the provision of a mid-day meal service.
- 3.2 The ESCC was created in 2002, the principle being to allow schools to buy into a single centralised meals service, via the ESCC, which offers value for money through economy of scale. The contract is managed by the Council and fully funded by schools directly as a consortium model. 57 schools currently buy in to the service. There are no direct costs to the Council. The operating budget is set annually to determine the forecast contribution of each school, with each school paying a membership fee and receiving a performance budget, performance against which is monitored and reported on a monthly basis. There is a mechanism by which at the end of each year those schools that have operated at a profit may receive a rebate and those schools which have performed below target may be liable for a further contribution.
- 3.3 The ESCC cannot at this stage guarantee the numbers of schools that will commit to the new contract, but Council officers will visit member schools in the spring term of 2019 to establish the potential level of commitment prior to going out to tender. In addition, they will visit the primary schools that have alternative arrangements to establish if they are interested in joining the ESCC arrangements.
- 3.4 The continuation of a consortium of schools is regarded as desirable as it offers economies of scale, protects smaller schools, provides consistency across all member schools, offers training opportunities to the staff that would be difficult for an individual school to provide, assists with making improvements to the staff's employment terms & conditions and reduces the administrative burden for member schools.
- 3.5 Prior to tendering the contract for this service, it is proposed that the Council and the ESCC will investigate if a greater economy of scale is

available by completing a tender procedure with either a neighbouring Borough and/or a consortium of other London Boroughs. This will be conditional upon other authorities' contracts being due to expire at a similar time and the other authorities having a similar philosophy with respect to school meal provision.

3.6 The ESCC management committee has been supportive of the Council's desire to see staff employed by contractors paid as a minimum the Mayor of London's living wage. Progress has been made during the current contract as below:

- The Minimum Living Wage for persons aged 25 and over is currently £8.21/hr.
- Lowest paid role Kitchen assistant on the contract is currently paid at £8.66/hr.
- The Mayor's Living Wage is £10.55/hr.

The Tender documents for the new contract will provide that the Council supports the London Living Wage and seek proposals from tenderers for moving towards that during the contract term.

## 4. Financial

### 4.1 Financial impact on the budget

- 4.1.1 All schools receive the funding through the fair funding formula, which provides most of the funding for their catering service for free meals.
- 4.1.2 The service is also funded from DfE grant for Universal Infant Free School Meals (UIFSM) for infant age pupils (Reception, Year 1 and Year 2), and money collected from parent and carers for payment for meals, so there is no direct financial impact on the Council.
- 4.1.3 The ESCC currently pays the cost of the contract management services provided by Children and Adults Services.

### 4.2 Financial background

- 4.2.1 The current cost of a meal to parents is £2.30 (September 2018) and parent/carers can pay by debit cards over the telephone or on-line.
- 4.2.2 The contractor is responsible for the collection of payments from parent/carers, with support from the schools.
- 4.2.3 As a typical funding profile, the contract's current annual value 2018/19 is £6.64 million and supplies 2.85 million school meals a year. The cost of the meals for 2018/19 is funded as follows:
  - UIFSM payment: £3.27m (actual allocation from DfE for children in Reception, Year 1 and Year 2);
  - Traditional Free School Meals contribution and membership fee: £1.57m (actual contribution from the schools);
  - Paying parents: £1.8m (budget forecast).
- 4.2.4 Take up rates have increased since this contract started in 2008 and stands at around 46%, which is slightly higher than the School Food Trust's 2011 national annual survey of take-up for primary schools of



44%. Higher take up on the contract as a whole and significant improvements at individual schools with low take-up have improved the financial performance of the contract.

## 5. Legal

- 5.1 The Council has a duty under s512 of the Education Act 1996-LEA Functions concerning provision of meals etc and section (ss)of Schedule 1 of the Contracting Out (Local Authority Education Functions)(England) Order 2002-s512 (provision of meals etc at schools maintained by local education authorities) for the provision of school meals to schools it maintains and therefore to enter into a contract for the school catering service on behalf of the schools that delegate the responsibility back to the Council.
- 5.2 The tender process to be followed for the procurement of this contract will be compliant with the Council's Contract Procedure Rules, the Public Contract Regulations 2015 (as amended) or the Concession Contracts Regulations 2016 as applicable.
- 5.3 Any food provided under the contract must comply with the nutritional standards for food and drink supplied in maintained schools under The Education (Nutritional Standards and Requirements for School Food)(England) Regulations 2007.
- 5.4 Under s512 Education Act 1996 as amended, local authorities may provide registered pupils at their schools with milk, meals and other refreshments, either on school premises or elsewhere where education is being provided. Local authorities are to provide facilities for the consumption of food and drink brought to school by pupils. On request, they are to provide school lunches as defined and in whatever form they think fit unless circumstances make it unreasonable to do so or the child is a part-time pupil under compulsory school age. They may charge for these refreshments and lunches. If they do charge, the cost is to be the same for all except that school lunches (and milk if provided) are to be free of charge for pupils whose parents (or who themselves) are on specified benefits.
- 5.4 The Secretary of State may by order set conditions that must be met before a local authority is required to provide school lunches. The Secretary of State may by order (applying to all or to specified maintained schools) transfer from local authorities to governing bodies the duty to provide school lunches, to provide them free and to provide free milk.
- 5.5 The Transfer of Undertakings (Protection of Employment) Regulations 2006 (TUPE) may potentially be applicable in the event that:
  - (a) the activities which are being carried out , on the Council's behalf, under the current arrangements are sufficiently similar to the activities which will be carried out, on the Council's behalf, under the new arrangements; and
  - (b) the current provider(s) has an organised team of employees whose main purpose is to perform the Ealing work

Where TUPE applies, employees of the existing contractor(s) may have the right to be transferred to the new contractor(s), on the same terms and

conditions and with their employment law rights preserved. There are certain conditions to be fulfilled and there are some exceptions. There are obligations to inform/consult representatives of the affected employees. The outgoing contractor has obligations to supply information about relevant employees to the incoming contractor.

The legal obligations to comply with TUPE fall on the respective incoming and outgoing contractors.

## **6. Value For Money**

- 6.1 The current contract pays a management fee to the contractor, which is linked to meals numbers. The more meals the contractor sells the higher the fee. The budget is set annually, by the contractor and the Council, with a stop loss limit being agreed to cap the Council's liability in the event of the budget not being achieved and a loss being incurred. Should the actual performance produce a surplus this is shared between the contractor, their staff and the ESCC. This method of working means that joint decisions are made about issues that relate to the financial performance of the contract and we can respond quickly to changes. The ESCC is looking to continue with a similar arrangement for the new contract.
- 6.2 The management committee of the ESCC meets each term in the academic year and deals with strategic issues, which include agreeing the budget, the contractor's proposed wage increase for their staff and setting the meal price.
- 6.3 Monthly contract review meetings are held with the contractor, culminating in an annual review in late August.
- 6.4 Council officers are members of a pan London group of officers with responsibility for school catering, who meet each academic term to discuss latest developments and to compare services. Our current meal price has remained fixed at £2.30 since 2012, with external pressures coming to bear to increase. The current price of meals in other London boroughs ranges from £2.10 to £2.40, although this may not be directly comparable on a like for like basis.

## **7. Sustainability Impact Appraisal**

- 7.1 The contractor has use of kitchens in ESCC member schools and consume energy. Whenever new equipment is purchased for use in the kitchens any purchasing decision will take into account energy consumption. The energy used is provided by the school without charge to the contractor, who acting responsibly by instructing their staff to use energy sensibly, without compromising the provision of the meal service.
- 7.2 The current contractor supports the two recycling initiatives that the Council has introduced (waste cooking oil and schools cardboard and food recycling), and both of these would be included in the service specification of the new contract.
- 7.3 Since the last tendering exercise in 2012/2013, the Council and schools have through rebuilding schools and making improvements reduced the

transporting of food from a production kitchen in a school from 11 to 5. This has reduced CO2 emissions. The local food miles reflect the city nature of the borough and fruit and vegetable travel from New Covent Garden, meat comes from Park Royal and dry and frozen food from either Basingstoke or Slough. The current contractor's suppliers have minimised the deliveries into schools, despite our reliance on predominately fresh food: 2 a week for fruit & vegetables and 1 to 2 for frozen food and 1 for dry goods.

## **8. Risk Management**

As schools are responsible for the provision of the mid-day meal they may decide not to support the ESCC. If significant numbers of schools with high meal numbers left the ESCC, costs would increase for those remaining. A priority for the officer visits mentioned in Paragraph 3.2 above will be to those schools to ensure the service specification of the new contract reflects the ESCC's member's future requirements.

## **9. Community Safety**

None

## **10. Links to the 3 Priorities for the Borough**

The project is linked to 'Opportunities and living incomes' and 'A healthy and great place' priorities.

The consortium approach delivers value for money in a direct way as well as by improving children's eating habits, by providing a nutritious meal that meets the government's guidelines for school food, which ultimately will reduce the future costs of the Health service.

## **11. Equalities, Human Rights and Community Cohesion**

An equalities impact assessment has been carried out and is attached at Appendix A.

## **12. Staffing/Workforce and Accommodation implications:**

- 12.1 Should there be no support for the continuation of the ESCC, then potentially the loss of income to Children and Adults, could have an impact on jobs unless an alternative source of income could be found.
- 12.2 The Transfer of Undertakings (Protection of Employment) Regulations 2006 (TUPE) may be applicable as explained in more detail under section 5.5 (Legal).
- 12.3 Schools and Corporate HR have been consulted and will be kept up to date with progress.

## **13. Property and Assets**

- 13.1 The contractor is given use of school kitchens, which are maintained and the responsibility of the schools. Funding of minor repairs is included in the funding provided in the Fair Funding Formula to schools. Any major works required will be prioritised with other works needed in the school and if necessary consider for inclusion in the Council's capital program.

## **14. Any other implications:**

None

## 15. Consultation

- 15.1 All member schools will be visited by a Council officer to discuss their catering requirements and these discussions will inform the writing of the service specification for the new contract.
- 15.2 Pupils, school staff and governors will be involved in the tender process, as it is intended that, for those contractors that pass the pre-tender qualification and are invited to tender, there will be a visit to a school(s) to provide a sample of food which will be evaluated by pupils, school staff and governors as part of the tender process.
- 15.3 Members of the ESCC management committee will evaluate the final part of the tender process that will involve a presentation by the contractors that have been invited to tender and make the final recommendation to Cabinet for the award of the contract in the spring of 2020.
- 15.4 As a major contract that schools can access we will need to consult with the Ealing Schools Forum and it is proposed that this will be included on the agenda for their November 2019 meeting.

## 16. Timetable for Implementation

It is intended that the indicative timetable for the procurement of the new contract will be as follows, and if there are any changes to the procurement process the timetable shall be revised accordingly:

<b>Date</b>	<b>Action</b>	<b>Measurable Outcomes</b>
19 March 2019	Cabinet approval to tender	Given go ahead
Spring 2019	Initial contact with other London Boroughs to see if joint procurement could be possible	Contact other London boroughs. Establish how they provide a school meals service and whether they are interested in Joint procurement
Spring 2019	Determine procurement strategy	Procurement strategy agreed
March to April 2019	Officer to visit 57 schools	To confirm support for ESCC to continue
March to April 2019	If a partner(s) London borough is/are found develop a strategy to deliver joint procurement	Strategy written and timetable, key dates agreed
April to June 2019	Decide if joint procurement is possible and Draft Specification, Selection Questionnaire (SQ) and evaluation criteria prepared	Documentation completed
June/July 2019	Advertise and invite expression of interest and	Sufficient expressions of interest received

	issue SQ	
September/October 2019	Evaluate SQ and issue invitations to tender	Documentation issued
November 2019	Visits	Visits completed
December 2019	Evaluate tenders	Tender submissions evaluated
January 2020	Presentation and ESCC make recommendation	Presentation evaluated and ESCC able to recommend preferred contractor
March 2020	Cabinet approval	Approval received
April to August 2020	Contract awarded, TUPE consultation, mobilisation starts, and school sign up begins	Schools sign up, contract is awarded, TUPE consultation undertaken
September 2020	First meals served in schools	All signed up schools receive a meal service starting at the beginning of the 1 <sup>st</sup> day of term

## 17. Appendices

Appendix A – EAA

## 18. Background Information

The School Food Plan 2014

## Consultation

Name of consultee	Post held	Date sent to consultee	Date response received	Comments appear in paragraph:
<b>Internal</b>				
Cllr Yvonne Johnson	Portfolio Holder, Schools and Children's Services	21/2/19	21/2/19	Throughout
Judith Finlay	Executive Director Children, Adults & Public Health	21/2/19	21/2/19	
Gary Redhead	Assistant Director Schools Planning and Resources:	21/2/19	4/3/19	Throughout
Sajal O'Shaughnessy	Lawyer (Legal Contracts)	9/1/19 and 14/2/19	14/1/19 and 18/02/19	Throughout
Geraldine Chadwick	Interim Senior Finance Business Advisor	9/1/19 and 14/2/19		
Craig McDowell	Category Lead (People)	9/1/19 and 14/2/19		
Kamaljit Kaur	Interim Finance Business Partner– Children & Schools	9/1/19 and 14/2/19	15/1/19 and 18/2/19	
Mark Nelson	Head of School HR Consultancy	9/1/19 and 14/2/19	14/1/19 and 14/2/19	12
Rhiannon Evans	Solicitor (Social Care & Education)	9/1/19 and 14/2/19	15/1/19	5
Justin Morley	Head of Legal Services (Social Care and Education)	9/1/19 and 14/2/19	14/1/19	
Paddy Quill	Senior Lawyer (Legal Services)	9/1/19 and 14/2/19	14/1/19	Throughout
<b>External</b>				
Sharon Fida	Chair of the Ealing School Catering Consortium	21/2/19	28/2/19	

## Report History

<b>Decision type:</b>	<b>Urgency item?</b>
Key decision	No
Report no.:	Report author and contact for queries:
	Laurence Field, Programme Manager; 020 8825 5425





## Appendix A – EAA - Full Equalities Analysis Assessment

1. Proposal Summary Information	
EAA Title	Authority to Tender the School Meal Service
Please describe your proposal?	<b>Contract:</b> tender the contract for the school meal service on behalf of primary and special schools which form the Ealing School Catering Consortium (ESCC)
Is it HR Related?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Corporate Purpose	<b>Cabinet Decision</b>

1. What is the Initiative/Function/Policy/Project/Scheme (pick one) looking to achieve? Who will be affected?
<p>Seeks authority from Cabinet to tender the contract for the school meal service on behalf of 57 Primary and Special schools which form the Ealing School Catering Consortium (ESCC) as the existing contract with Harrison Catering Services Limited expires on 31st July 2020.</p> <p>Seeks authority from Cabinet to research options available in respect of tendering the contract for this service with either a neighbouring authority and/or a consortium of other London Boroughs.</p> <p>Seeks a commitment for the Council to provide contract management services for the duration of the new contract.</p>

2. What will the impact of you proposal be?
<p>The impact of the proposal is to commence the process to ensure a new school meal service contract is in place for when the existing school meal service contract comes to an end on 31<sup>st</sup> July 2020.</p> <p>Responsibility for the provision of a mid-day meal service has been delegated to all schools and their governing bodies. The provision of a meal service is a legal requirement under the Education Act 1996. Consequently, schools are able to make their own arrangements regarding the provision of a mid-day meal service.</p> <p>The continuation of a consortium of schools is regarded as desirable as it offers economies of scale, protects smaller schools, provides consistency across all member schools, offers training opportunities to the staff that would be difficult for an individual school to provide, assists with making improvements to the staff's employment terms &amp; conditions and reduces the administrative burden for member schools.</p>

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### 2. Impact on Groups having a Protected Characteristic

**AGE:** *A person of a particular age or being within an age group.*

**State whether the impact is positive, negative, a combination of both, or neutral:**

**Describe the Impact**

It is considered that this will have a positive effect on young people who use the service through the provision of high quality nutritional meals and the good value that meals purchased through the contract will provide to schools.

**Alternatives and mitigating actions which have been considered in order to reduce negative effect:**

**Describe the Mitigating Action**

No negative effect identified.

**DISABILITY:** *A person has a disability if s/he has a physical or mental impairment which has a substantial and long term adverse effect on their ability to carry out normal day to day activities<sup>1</sup>.*

**State whether the impact is positive, negative, a combination of both, or neutral:**

**Describe the Impact**

This proposal should have a positive impact on users of the service who have disabilities.

**Alternatives and mitigating actions which have been considered in order to reduce negative effect:**

**Describe the Mitigating Action**

No negative effect identified.

<sup>1</sup> Due regard to meeting the needs of people with disabilities involves taking steps to take account of their disabilities and may involve making reasonable adjustments and prioritizing certain groups of disabled people on the basis that they are particularly affected by the proposal.

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<b>GENDER REASSIGNMENT:</b> <i>This is the process of transitioning from one sex to another. This includes persons who consider themselves to be trans, transgender and transsexual.</i>
<b>State whether the impact is positive, negative, a combination of both, or neutral:</b>
<b>Describe the Impact</b>
There should be a neutral impact on gender reassignment.
<b>Alternatives and mitigating actions which have been considered in order to reduce negative effect:</b>
<b>Describe the Mitigating Action</b>
No negative effect identified.

<b>RACE:</b> <i>A group of people defined by their colour, nationality (including citizenship), ethnic or national origins or race.</i>
<b>State whether the impact is positive, negative, a combination of both, or neutral:</b>
<b>Describe the Impact</b>
There should be a neutral impact on race.
<b>Alternatives and mitigating actions which have been considered in order to reduce negative effect:</b>
<b>Describe the Mitigating Action</b>
No negative effect identified.

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**RELIGION & BELIEF:** *Religion means any religion. Belief includes religious and philosophical beliefs including lack of belief (for example, Atheism). Generally, a belief should affect a person's life choices or the way you live for it to be included.*

State whether the impact is positive, negative, a combination of both, or neutral:

**Describe the Impact**

There should be a neutral impact on religion and belief.

**Alternatives and mitigating actions which have been considered in order to reduce negative effect:**

**Describe the Mitigating Action**

No negative effect identified.

**SEX:** *Someone being a man or a woman.*

State whether the impact is positive, negative, a combination of both, or neutral:

**Describe the Impact**

Neutral effect identified in terms of the above recommendations.

**Alternatives and mitigating actions which have been considered in order to reduce negative effect:**

**Describe the Mitigating Action**

No negative effect identified.

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<b>SEXUAL ORIENTATION:</b> <i>A person's sexual attraction towards his or her own sex, the opposite sex or to both sexes.</i>
<b>State whether the impact is positive, negative, a combination of both, or neutral:</b>
<b>Describe the Impact</b>
No differential impact on people based on sexual orientation so neutral impact identified.
<b>Alternatives and mitigating actions which have been considered in order to reduce negative effect:</b>
<b>Describe the Mitigating Action</b>
No negative effect identified.

<b>PREGNANCY &amp; MATERNITY:</b> <i>Description: Pregnancy: Being pregnant. Maternity: The period after giving birth - linked to maternity leave in the employment context. In the non-work context, protection against maternity discrimination is for 26 weeks after giving birth, including as a result of breastfeeding.</i>
<b>State whether the impact is positive, negative, a combination of both, or neutral:</b>
<b>Describe the Impact</b>
There should be a neutral impact on pregnancy & maternity.
<b>Alternatives and mitigating actions which have been considered in order to reduce negative effect:</b>
<b>Describe the Mitigating Action</b>
No negative effect identified.

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<b>MARRIAGE &amp; CIVIL PARTNERSHIP:</b> <i>Marriage: A union between a man and a woman. or of the same sex, which is legally recognised in the UK as a marriage</i> <i>Civil partnership: Civil partners must be treated the same as married couples on a range of legal matters.</i>
<b>State whether the impact is positive, negative, a combination of both, or neutral:</b>
<b>Describe the Impact</b>
There should be a neutral impact on marriage & civil partnership.
<b>Alternatives and mitigating actions which have been considered in order to reduce negative effect:</b>
<b>Describe the Mitigating Action</b>
No negative effect identified.

<b>3. Human Rights<sup>2</sup></b>
<b>4a. Does your proposal impact on Human Rights as defined by the Human Rights Act 1998?</b>
Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>4b. Does your proposal impact on the rights of children as defined by the UN Convention on the Rights of the Child?</b>
Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>4c. Does your proposal impact on the rights of persons with disabilities as defined by the UN Convention on the rights of persons with disabilities?</b>
Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

<sup>2</sup> For further guidance please refer to the Human Rights & URNC Guidance on the Council Equalities [web page](#).

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<b>4. Conclusion</b>
The proposals are not considered to disadvantage any equality groups or sub-groups. The proposals are considered to have a positive impact on children at schools who use the service.
<b>4a. What evidence, data sources and intelligence did you use to assess the potential impact/effect of your proposal? Please note the systems/processes you used to collect the data that has helped inform your proposal. Please list the file paths and/or relevant web links to the information you have described.</b>
School Food Plan, School Food Standards <a href="http://www.schoolfoodplan.com/">http://www.schoolfoodplan.com/</a>



<b>5. Action Planning: (What are the next steps for the proposal please list i.e. what it comes into effect, when migrating actions<sup>3</sup> will take place, how you will measure impact etc.)</b>				
Action	Outcomes	Success Measures	Timescales/ Milestones	Lead Officer (Contact Details)
<b>Additional Comments:</b>				
No mitigating actions to be taken.				

<sup>3</sup> Linked to the protected characteristics above



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### 6. Sign off: (All EAA's must be signed off once completed)

Completing Officer Sign Off:	Service Director Sign Off:	HR related proposal (Signed off by directorate HR officer)
Signed:    Name (Block Capitals):  L M FIELD  Date:  28 February 2019	Signed:    Name (Block Capitals):  G REDHEAD  Date:  28 February 2019	Signed:    Name (Block Capitals):    Date:
For EA's relating to Cabinet decisions: received by Committee Section for publication by (date):		

### Appendix 1: *Legal obligations under Section 149 of the Equality Act 2010:*

- As a public authority we must have due regard to the need to:
  - a) Eliminate discrimination, harassment, victimisation and any other conduct that is prohibited by or under this Act;
  - b) Advance equality of opportunity between persons who share a relevant protected characteristic and persons who do not share it;
  - c) Foster good relations between persons who share a relevant protected characteristic and persons who do not share it.
- The protected characteristics are: AGE, DISABILITY, GENDER REASSIGNMENT, RACE, RELIGION & BELIEF, SEX, SEXUAL ORIENTATION, PREGNANCY & MATERNITY, MARRIAGE & CIVIL PARTNERSHIP
- Having due regard to advancing equality of opportunity between those who share a protected characteristic and those who do not, involves considering the need to:
  - a) Remove or minimising disadvantages suffered by persons who share a relevant protected characteristic that are connected to that characteristic
  - b) Take steps to meet the needs of persons who share a relevant characteristic that are different from the needs of the persons who do not share it.
  - c) Encourage persons who share a relevant protected characteristic to participate in public life or in any other activity in which participation by such persons is disproportionately low.
- Having due regard to fostering good relations between persons who share a relevant protected characteristic and persons who do not, involves showing that you are tackling prejudice and promoting understanding.

Complying with the duties may involve treating some people more favourably than others; but this should not be taken as permitting conduct that would be otherwise prohibited under the Act.